FACULTY OF LAW

ACADEMIC CURRICULA

POSTGRADUATE DEGREE PROGRAMME

Legum Magister (Corporate Law)

(LL.M.)

TWO Years

Learning Outcome Based Education Choice

Based Flexible Credit System

Academic Year

2024 - 2025

FACULTY OF LAW

SRM INSTITUTE OF SCIENCE AND TECHNOLOGY

(Deemed to be University u/s 3 of UGC Act, 1956)

Kattankulathur, Chengalpattu District 603203, Tamil Nadu, India

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1. Vision Statement	
Stmt - 1	*To attain a globally recognized position in the field of legal education, practice and research*
Stmt - 2	*To create a unique learning experience in legal education that are of international standards*
Stmt - 3	*To…..*

2. Mission Statement	
Stmt - 1	*To create, construct, disseminate knowledge and help learners to acquire professional skills and practices in the legalprofession*
Stmt - 2	*To cultivate a research mindset and conduct research on facts, concepts, principles, theories and laws in legal education and legal practice*
Stmt - 3	*To produce law professionals who possess intellectual genius, moral consciousness, honor values, maintain integrity,honesty and social responsibility to ensure Rule of Law in the Constitution of India*
Stmt - 4	*To maintain the highest Quality standards in professional knowledge dissemination, research and in behavior*
Stmt - 5	*To….*

3. Program Education Objectives (PEO)	
PEO - 1	*To equip students with a strong foundation in the field of Corporate Law, including its principles and practices, to excel in their professional careers.*
PEO - 2	*To develop the ability to critically analyze complex legal issues related to corporate governance, mergers and acquisitions, and financial regulations*
PEO - 3	*To instill a passion for continuous learning and adaptability in the ever-evolving field of Corporate Law*
PEO - 4	*To prepare students to gain recognition and excel as legal professionals in law firms, corporate legal departments, or as legal advisors to contribute to corporate growth and compliance.*
PEO - 5	*To inspire students to engage in legal practices that promote ethical conduct, corporate social responsibility, and the rule of law.*

4. Consistency of PEO's with Mission of the Department

	Mission Stmt. - 1	Mission Stmt. - 2	Mission Stmt. - 3	Mission Stmt. - 4	Mission Stmt. - 5
PEO - 1	*H*	*H*	*H*	*M*	*M*
PEO - 2	*H*	*H*	*H*	*M*	*M*
PEO - 3	*H*	*H*	*H*	*M*	*M*
PEO - 4	*M*	*M*	*M*	*H*	*H*
PEO - 5	*M*	*M*	*M*	*H*	*H*

H – High Correlation, M – Medium Correlation, L – Low Correlation

5. Consistency of PEO's with Program Learning Outcomes (PLO)

	Program Learning Outcomes (PLO)											
	1.	2.	3.	4.	5.	6.	7.	8.	9.	10.	11.	12.
	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
PEO - 1	*H*	*H*	*M*	*H*	*H*	*H*	*M*	*H*	*M*	*H*	*H*	*H*
PEO - 2	*H*	*M*	*M*	*H*	*H*	*H*	*M*	*H*	*H*	*H*	*H*	*H*
PEO - 3	*M*	*M*	*M*	*H*	*H*	*H*	*M*	*M*	*M*	*H*	*M*	*H*
PEO - 4	*H*	*M*	*M*	*H*	*H*	*H*	*H*	*M*	*M*	*H*	*M*	*H*
PEO - 5	*H*	*H*	*M*	*H*	*H*	*H*	*M*	*M*	*H*	*H*	*H*	*H*

H – High Correlation, M – Medium Correlation, L – Low Correlatio

6. Programme Structure (Total Credits: 90 Credits)

1. Professional Core Courses (C)
(11 Courses)

Course Code	Course Title	Hours/ Week			C
		L	T	P	
PLCL24101T	Law and Justice in the Globalizing World	3	1	2	5
PLCL24102T	Comparative Public Law	3	1	2	5
PLCL24103T	Foundations of Corporate Law	3	1	2	5
PLCL24104T	Principles of Corporate Finance	3	1	2	5
PLCL24201T	Judicial Process	3	1	2	5
PLCL24202T	Law of Corporate Management and Governance	3	1	2	5
PLCL24203T	Corporate Restructuring – Law and Practice	3	1	2	5
PLCL24204T	Securities Regulation and Compliance	3	1	2	5
PLCL24301T	Legal Research Methodology	3	1	2	5
PLCL24302T	Law Relating to Derivative Contracts	3	1	2	5
PLCL24303T	Insolvency and Bankruptcy Law	3	1	2	5

2. Professional Elective Courses (E)
(4 Courses)

Course Code	Course Title	Hours/ Week			C
		L	T	P	
PLCL24304T	Corporate Taxation	3	1	2	5
PLCL24305T	Commercial Arbitration: Law and Practice	3	1	2	5
PLCL24401T	Law of Investment and Foreign Exchange	3	1	2	5
PLCL24402T	Competition Law	3	1	2	5

3. Core Practical Courses (P)
(2 Courses)

Course Code	Course Title	Hours/ Week			C
		L	T	P	
PLCL24306P	Mini Project	0	1	4	3
PLCL24403P	Dissertation		2	20	12

7. Implementation Plan

SEMESTER – I

Code	Course Title	Hours/ Week			C
		L	T	P	
PLCL24101T	Law and Justice in the Globalizing World	3	1	2	5
PLCL24102T	Comparative Public Law	3	1	2	5
PLCL24103T	Foundations of Corporate Law	3	1	2	5
PLCL24104T	Principles of Corporate Finance	3	1	2	5
				Total Learning Credits	**20**

SEMESTER – II

Code	Course Title	Hours/ Week			C
		L	T	P	
PLCL24201T	Judicial Process	3	1	2	5
PLCL24202T	Law of Corporate Management and Governance	3	1	2	5
PLCL24203T	Corporate Restructuring – Law and Practice	3	1	2	5
PLCL24204T	Securities Regulation and Compliance	3	1	2	5
				Total Learning Credits	**20**

SEMESTER – III

Code	Course Title	Hours/ Week			C
		L	T	P	
PLCL24301T	Legal Research Methodology	3	1	2	5
PLCL24302T	Law Relating to Derivative Contracts	3	1	2	5
PLCL24303T	Insolvency and Bankruptcy Law	3	1	2	5
PLCL24304T	Corporate Taxation	3	1	2	5
PLCL24305T	Commercial Arbitration: Law and Practice	3	1	2	5
PLCL24306P	Mini Project	0	1	4	3
				Total Learning Credits	**28**

SEMESTER – IV

Code	Course Title	Hours/ Week			C
		L	T	P	
PLCL24401T	Law of Investment and Foreign Exchange	3	1	2	5
PLCL24402T	Competition Law	3	1	2	5
PLCL24403P	Dissertation	0	2	20	12
				Total Learning Credits	22

Total No. Learning Credits : 90

8.Program Articulation Matrix

CourseCode	Course Name	Programme Learning Outcomes											
		Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
PLCL24101T	*Law and Justice in the Globalizing World*	3	3	2	3	3	3	-	2	2	3	3	3
PLCL24102T	*Comparative Public Law*	3	2	2	3	2	3	1	2	2	2	3	3
PLCL24103T	*Foundations of Corporate Law*	3	2	1	2	2	3	1	2	2	2	3	3
PLCL24104T	*Principles of Corporate Finance*	3	3	2	2	3	3	-	2	2	2	3	3
PLCL24201T	*Judicial Process*	3	2	2	3	2	3	-	2	2	2	3	3
PLCL24202T	*Law of Corporate Management and Governance*	3	3	2	2	3	3	1	2	2	3	3	3
PLCL24203T	*Corporate Restructuring – Law and Practice*	3	3	2	3	3	3	-	2	2	3	3	3
PLCL24204T	*Securities Regulation and Compliance*	3	3	3	3	2	3	1	2	2	2	3	3
PLCL24301T	*Legal Research Methodology*	3	3	2	3	2	3	-	2	2		3	3
PLCL24302T	*Law Relating to Derivative Contracts*	3	3	2	3	2	3	-	2	2	2	3	1
PLCL24303T	*Insolvency and Bankruptcy Law*	3	2	2	3	3	3	-	2	3	3	3	3
PLCL24304T	*Corporate Taxation*	3	3	2	2	2	3	-	2	3	3	2	3
PLCL24305T	*Commercial Arbitration: Law and Practice*	3	3	2	3	2	3	-	2	3	3	3	3
PLCL24306P	*Mini Project*	-	1	2	-	3	1	-	3	3	3	2	-
PLCL24401T	*Law of Investment and Foreign Exchange / Competition Law*	3	3	2	3	3	3	-	2	2	3	3	3
PLCL24402T	*Competition Law*	-	2	2	-	3	1	1	3	3	3	2	2
PLCL24403P	*Dissertation*	3	2	2	3	2	3	1	2	2	3	3	-

H – High Correlation, M – Medium Correlation, L – Low Correlation

Code	PLCL24101T	Title	LAW AND JUSTICE IN GLOBALIZING WORLD	Category		Professional Core	L	T	P	C
							3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses	Nil	Co-requisite Courses	Nil	Progressive Courses	Nil	Data Book / Codes/Standards	

Title & Content	INTRODUCTION	GLOBALIZATION AND FREE MARKET	CONCEPT OF JUSTICE IN GLOBALISING WORLD	GLOBALIZATION AND CULTURAL IMPACT	GLOBALIZATION AND CONFLICT RESOLUTION
Duration (hour)	18	18	18	18	18
SLO-1	*Examine what globalization means and the forces behind globalization* *Explore about the scope and significance of globalization*	*Examine the concept of globalization and free market, Elucidate the historical context of globalization and its relationship with free market principles*	*Analyze the difficulties and intricacies of attaining justice in a worldwide world, the influence of universal values on creating ideas of justice, and how justice is changing in response to globalization.*	*Comprehend the concept of globalization and its influence on culture.*	*Identify the foundational concepts of globalization and its impact on conflicts worldwide*
SLO-2	*Examine how globalization has affected culture* *Case studies illustrating globalization's effects on society*	*Extrapolate the fundamental principles and characteristics of free market economics* *Analyze the impact of globalization on the expansion of free market ideologies*	*Examine the tension between cultural relativism and universalism in the context of justice.*	*Examine the tensions between cultural homogenization and cultural diversity in a globalizing world.*	*Analyze the causes and characteristics of international conflicts in a globalized world*
SLO-3	*Examine International governance frameworks, the mechanics of power in a worldwide society.* *Subnational government versus national sovereignty* *Case studies of globalization's political effects.*	*Analyze possible approaches for preserving or modifying the welfare state in response to globalization.*	*Analyze the concepts of fairness, equality, and solidarity within the framework of global justice, and the difficulties associated with implementing principles of justice across international boundaries.*	*Gain a comprehensive understanding of the impact of globalization on cultural heritage places, traditions, and behaviors.*	*Explore the role of diplomatic negotiations, mediation, and arbitration in resolving disputes*
SLO-4	*Tutorial: Comparative analysis of trade and globalization, focusing on foreign direct investment (FDI) and multinational corporations (MNCs).*	*Tutorial: Discuss comprehensive understanding of different methods to balance welfare state, free market and global economy*	*Tutorial: Engage in an in-depth discussion on principles of justice and equity and its evolution in the modern times*	*Tutorial: Analyze the international laws and principles in preserving culture*	*Tutorial: Examine the legal frameworks governing, exploring key treaties, agreements, and legal principles.*
SLO-5	*Practice: Analyze case studies in different countries post globalization*	*Practice: Examine methods to address legal issues in free market*	*Practice: Examine the methods of handling crisis in global justice*	*Practice: Evaluate the cases in which globalization deteriorated culture and revitalization measures*	*Practice: Analyze strategies for addressing grievances, promoting reconciliation, and building inclusive societies*

Title & Content	INTRODUCTION	GLOBALIZATION AND FREE MARKET	CONCEPT OF JUSTICE IN GLOBALISING WORLD	GLOBALIZATION AND CULTURAL IMPACT	GLOBALIZATION AND CONFLICT RESOLUTION
SLO-6	*Practice: Engage in discussion on landmarkcases illustrating the interpretation and application of laws in globalized world order*	*Practice: Explore special provisions related to international trade laws and other legislations to secure a global welfare state*	*Practice: Explore the various methods to in which universal principles influenced laws in nations*	*Practice: case studies on cultural appropriation and its impact on culturalheritage preservation*	*Practice: Examine and compare different strategies for promoting media literacy and constructive dialogue to support conflict resolution efforts*
SLO-7	*Elucidate the meaning and application of international law. Transnational legal framework examples Opportunities and challenges associated with global law*	*Examine the utilization and administration of natural resources in a worldwide economy.*	*Gain an understanding of the ethical responsibilities of individuals and societies within a cosmopolitan perspective, as well as the conflicts that arise between cosmopolitan principles and national interests.*	*Examine the influence of Western hegemony in the global media on culturaldiversity.*	*Examine the legal frameworks governing international taxation, focusing on bilateral and multilateral agreements that shape cross-border taxation policies.*
SLO-8	*Discuss the idea of state sovereignty, Globalization and sovereignty: issues for national security in a globalized world*	*Examine the socioeconomic consequencesof relocation resulting from development projects., the ethical factors associated with the displacement of people for the purpose of development and alternate strategies for development that give priority to social justice and humanrights.*	*Examine impact of globalization on thejudicial process and legal systems andchallenges of regulating transnational activities and enforcing global justice*	*Examine the impact of migration and transnationalism on cultural identities and behaviors.*	*Explore the role of media in exacerbating and mitigate conflicts through framing, propaganda, and peace journalism*
SLO-9	*Analyze the notions of Federalism and Globalization Decentralization and globalization Globalization's effects on democraticprocesses*	*Evaluate potential policy interventions to reduce economic inequality and promote social cohesion*	*Gain an understanding on diverse perspectives and arguments on issues such as economic inequality, human rights abuses, and environmental degradation*	*Analyze how globalization affects indigenous peoples' rights, languages, andcultural practices*	*Analyze the contributions of organizations such as the United Nations, NATO, and regional bodies to global peacekeeping efforts*
SLO-10	*Tutorial: Conduct in-depth discussions on fundamental principles of transnational lawsand their applications.*	*Tutorial: Discuss the social welfare laws intended to prevent social and economic disparity caused by globalization*	*Tutorial: Discuss the international judicial process*	*Tutorial – Discuss the power dynamics involved in cultural resistance and negotiation in a globalized world*	*Tutorial –Discuss the role of peacekeeping missions and interventions in resolving international conflicts*
SLO-11	*Practice: Conduct a workshop on essentialconcepts and provisions related to transnational laws and its binding effects*	*Practice: explore the ways in which globalization and free market policies contribute to economic inequality.*	*Practice: Explore the ways in which one nations act can impact another nation andthe recourse available*	*Practice: Analyze case studies to assess the impact of globalization in indigenous community*	*Practice: Analyze double taxation scenarios, applying the principles of Double Taxation Avoidance Agreements (DTAA) to resolve issues and ensure fair tax treatment.*

SLO-12	*Practice: Explain and discuss essential laws that serves as foundation for globalization*	*Practice: Analyze case studies involving loss of livelihood due to development and alternate measures taken.*	*Practice: Explore case studies on cross- border legal disputes and their implications for the administration of justice*	*Practice: Engage in developing various legal approaches to preserve indigenous culture and traditional knowledge and practices.*	*Practice: Analyze case studies illustrating successful and unsuccessful peacekeeping efforts*
SLO-13	*Explain international trade law frameworks Discuss dispute resolution mechanisms in trade law Analyze the impact of globalization on trade liberalization*	*Examine the rise of consumer culture in a globalized society and analyze the influence of consumerism on individual conduct and societal principles.*	*Acquire knowledge related to the role of international human rights law and institutions in promoting global justice*	*Comprehend the strategies for promoting gender equality and cultural diversity in a globalized society*	*Analyze the importance of multilateralism in addressing global conflicts*
SLO-14	*Analyze the impact of legislative changes onindividuals and businesses.*	*Examine the influence of free market principles on cultural trends and practices, emphasize the significance of safeguarding cultural diversity in an interconnected society, and explore methods for fostering cultural plurality and facilitating intercultural interaction.*	*Gain an understanding of the concept of environmental justice within the frameworkof globalization.*	*Comprehend the challenges of cultural governance in balancing economic, social, and cultural priorities*	*Analyze how climate change exacerbates existing conflicts and contributes to new security challenges*
SLO-15	*comprehend the main ideas that have been discussed thus far. Talk about recent occurrences pertaining to globalization. Examine how globalization has affected current concerns.*	*Comprehend role of civil society and grassroots movements in advocating for global regulation Elucidate the tensions between national sovereignty and global governance in a freemarket economy.*	*Comprehend the obstacles encountered by women and individuals who identify as gender minorities in obtaining legal recourse on a global scale.*	*examine the concept of soft power and its influence on global cultural dynamics*	*Examine the impact of technology on conflict dynamics, including cyber warfare, surveillance, and information warfare*
SLO-16	*Tutorial: Provide an overview of international legislation in the era of globalization*	*Tutorial: Explain case scenarios and simulate issues related to tensions between sovereigns and diplomatic ideals*	*Tutorial: Analyze case scenarios involvingglobal justice.*	*Tutorial: Gain an understanding of the practical insights into the ethical considerations and challenges of cultural diplomacy in a globalized world*	*Tutorial: Analyze contemporary challenges in international regulations through real-world case studies, exploring solutions and best practices.*
SLO-17	*Practice: Evaluate participants' ability to navigate complexities in determining casesinvolving multiple sovereigns*	*Practice: Evaluate case studies to identify legal solutions for conflicts betweensovereigns*	*Practice: Engage in debates and critical discussions on controversial global justicetopics*	*Practice: Discuss emerging trends and debates inthe field of cultural globalization*	*Practice: Identify the importance of sustained engagement and advocacy for promoting global peace and security*
SLO-18	*Practice: Enhance participants' critical thinking and problem-solving skills in addressing complex transnational issues*	*Practice: Assign and analyze case studies involving problems that require multinationalintervention*	*Practice: Examine penalties and offenses ofstates that impacts another*	*Practice: Analyze case studies presenting real-world best practices and innovative approaches to cultural-legal policy and management*	*Practice: Engage in discussion on scenario analysis, and presentations on future trends in global conflicts*

Resources			
1	Andrew Kuper, Democracy Beyond Borders: Justice and Representations in GlobalInstitutions (OUP, 2006).	2	David B. Goldman, Globalization and the Western Legal Tradition: Recurring Patterns of Law and Authority (Cambridge University Press, 2008).
3	Anthony McGrew, David Held (eds), Governing Globalization: Power, Authority and Global Governance (Polity Press, 2002).	4	World Commission on Social Dimension of Globalization, A Fair Globalization: Creating Opportunities for All (2004).
5	Simon Coney, Justice Beyond Borders: A Global Political Theory (Oxford UniversityPress,2005).		

Rationale (CLR)	*The purpose of learning this course is to:*
CLR-1	*Acquire a foundational understanding of laws in the era of globalization including background of transnational law applicability of set legal principle across sovereigns*
CLR-2	*Equip participants with advanced knowledge and practical skills in dealing with legal issues across borders*
CLR-3	*Provide participants with a comprehensive understanding of distributive justice and international judicial process*
CLR-4	*Equip participants with comprehensive knowledge and practical proficiency in Preservation of Cultural Heritage in a globalized environment*
CLR-5	*Equip participants with advanced proficiency in Global Diplomacy and MultilateralApproaches to Conflict Resolution*

Outcomes (CLO)	*At the end of this course, Students will be able to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
		1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
		Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLO-1	*Develop a profound understanding of the fundamentals of law in the globalized world, including the origins oftransnational law and the applicability of a given legal theory across sovereigns.*	✓	✓		-	2	85	75	3	-	-	1	-	3	-	2	2	1	2	3
CLO-2	*Master complex issues involving jurisdictional questions on critical areas such as environmental impacts and natural resources across border*	✓	✓	✓	-	2	85	75	3	2	1	2	2	3	-	1	2	1	2	3
CLO-3	*Analyze transnational issues involving global distributive justice and acquire remedies via international judicial process*	✓	✓	✓	✓	3	85	75	3	1	3	1	3	3	-	1	1	2	1	3
CLO-4	*Acquire comprehensive legal knowledge in Preservation of Cultural Heritage, cultural identity and language in a Globalized Environment*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	2	3	3	3	3
CLO-5	*Apply international principles in Multilateral Approaches to Conflict Resolution*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	3	3	3	3	3

Assessment Method (Max. Marks: 100)

Level of Thinking		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Designers					
Professional Experts		Higher Institution Experts		Internal Experts	
1	*Dr. Manoj Mate, Associate Professor of Law, DePaulUniversity College of Law*	*1*	*Dr. Ayan Hazra, Assistant Professor, HNLU*	*1*	*Prof.(Dr).Sree Sudha Dean, , School of Law, SRM IST*

Code	PLCL24102T	Title	COMPARATIVE PUBLIC LAW	Category		Professional Core	L	T	P	C
							3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses		Co-requisite Courses		Progressive Courses		Data Book / Codes/Standards	

Assessment Method (Max. Marks: 100)

Level of Thinking		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Strategies					
Technology		Pedagogy / Andragogy		Sustainable Development	
Simulations	✓	Clarification/Pauses	✓	Quality Education	✓
Presentation Tools				Good health and well- being	✓
Learning ManagementSystem		Group Discussion	✓	Reduced Inequalities	✓
		Group task	✓		
		Debate	✓		
		Interactive Lecture	✓		
		Case studies	✓		

Title & Content	COMPARATIVE CONSTITUTIONAL LAW	CONSTITUTIONAL FOUNDATIONS OF POWERS	SOVEREIGNTY: THEORY OF CONSTITUTIONAL STATE	CONSTITUTIONAL REVIEW	EMERGING TRENDS IN COMPARATIVE PUBLIC LAW
Duration (hour)	18	18	18	18	18
SLO-1	*Analyze the role of constitutions as supremelaws in various legal system*	*Examine the significance of constitutional foundations in shaping governmental powers*	*Analyze sovereignty and its importance inpolitical theory*	*Comprehend the definition and significanceof constitutional review*	*Provide insights into emerging topics in the field*
SLO-2	*Examine historical development of constitutionalism*	*Extrapolate the powers and functions of the legislature in lawmaking*	*Examine role of the sovereign in safeguarding individual rights and freedoms*	*Examine different methods such as judicial,political, and concentrated review*	*Analysis of challenges to constitutionalism globally*
SLO-3	*Examine the principles underlying constitutional design, such as separation of powers, checks and balances, and rule of law.*	*Analyze the significance of the rule of law in ensuring government accountability and protecting individual rights*	*Analyze The principles of legislative supremacy and the rule of law within theconstitutional state framework*	*Gain a comprehensive understanding of the role of courts in interpreting and applying constitutional provisions*	*Explore the threats to the rule of law and democratic institutions*
SLO-4	*Tutorial: Comparative analysis of constitutionin various nations*	*Tutorial: Discuss comprehensive understanding of different methods to ensure constitutional supremacy*	*Tutorial: Engage in an in-depth discussion on principles of constitutional principles and equity and its evolution in the modern times*	*Tutorial: Analyze the importance of constitutional review in upholding the rule of law*	*Tutorial: Discussion on the relevance and implications of studying emerging topics*
SLO-5	*Practice: Analyze case studies in different countries related to constitutional cases*	*Practice: Examine methods to address legal issues in constitution*	*Practice: Examine the methods of handlingconstitutional crisis*	*Practice: Evaluate the cases in which constitutional review aided in preservation ofconstitution*	*Practice: Discussion on issues such as digital rights, privacy, and surveillance*
SLO-6	*Practice: Engage in discussion on landmark cases illustrating the interpretation and application of constitutional provisions in different nations*	*Practice: Explore special provisions related to rule of law and separation of power*	*Practice: Explore the various methods to in which universal principles influenced constitutional laws in nations*	*Practice: case studies on landmark constitutional reviews*	*Practice: Examine and compare different methods to handle violation of constitutional rights by one sovereign's subjects by another*
SLO-7	*elucidate the role of comparative constitutional law in promoting constitutionalism, democracy, and humanrights globally*	*Modern interpretations and extensions of the rule of law concept*	*Compare and contrast the constitutional state with the social state*	*Examine the influence of Western philosophies in the constitutional review mechanism*	*Examine environmental rights and justice inconstitutional contexts*
SLO-8	*Discuss the challenges and limitations ofcomparative constitutional*	*Examine the inclusion of social and economic rights within the rule of lawframework*	*examine theories of natural rights, social contract, and human dignity in groundingconstitutional rights*	*Examine the political dynamics and implications of political review processes*	*Analysis of post-conflict constitution-building processes*
SLO-9	*Analyze how constitutional design influences the distribution of power and decision-making processes*	*Evaluate the role of independent institutions,such as constitutional courts and auditing bodies, in maintaining checks and balances*	*Gain an understanding on the impact of globalization, neoliberalism, and welfare states on constitutional rights*	*Analyze concentrated review, focusing on specialized constitutional review bodies or courts*	*Analyze the contributions of organizations such as the United Nations, NATO, and regional bodies in preserving constitutions ofcountries*

SLO-10	Tutorial: Conduct in-depth discussions on approaches to protecting fundamental rights in different constitutional systems	Tutorial: discuss the social welfare laws intended to enhance the principles of constitution	Tutorial: Discuss the constitutional rights litigation and social reform movements	Tutorial – Discuss the power dynamics involved in political review	Tutorial – Discuss the role of peacekeeping missions and interventions in preventing failure of constitution
SLO-11	Practice: Conduct a workshop on essential concepts and provisions related to universal constitutional provisions and its binding effects	Practice: case studies on the effectiveness of checks and balances in preventing governmental abuse of power	Practice: Explore the ways in which one nations act can impact another nation andthe recourse available	Practice: Analyze case studies involving political review of constitution	Practice: Analyze regulatory responses to technological advancements
SLO-12	Practice: case studies on significant constitutional amendments and its implications	Practice: Case studies on the enforcement of social and economic rights in different legal Systems	Practice: Explore case studies on legislative reforms and constitutional amendments	Practice: Engage in developing various legal approaches to preserve constitution and its ideals	Practice: Enumerate potential threats technology pose to constitutional values
SLO-13	Compare approaches to federalism and decentralization in different constitutionalsystems	Examine the rise of tyrannic governments and how constitutional provisions battling it	Acquire knowledge related to application of constitutional rights in addressing social and economic inequalities	Comprehend diffused review mechanisms, such as inter-branch checks and balances	Analyze legal responses to climate changeat national and international levels
SLO-14	Analyze the powers and limitations of constitutional courts in different legal systems	Examine the mechanisms for legislative oversight, such as committee hearings, inquiries, and budgetary control	Gain an understanding of strategies for defending sovereignty and constitutionalism in the face of challenges	Comprehend the limitations imposed on judicial review, such as justiciability, standing, and political questions	Analyze how climate change exacerbates existing issues and contributes to new constitutional challenges
SLO-15	comprehend the main ideas that have beendiscussed thus far. Talk about recent occurrences pertaining toglobalization. Examine how globalization has affected current concerns.	Comprehend the challenges of ensuring judicial independence in practice, including political interference and corruption.	Compare different approaches to sovereignty and constitutionalism in diverselegal systems	examine the methodologies such as textualism, originalism, purposivism, and living constitutionalism	Examine the impact of technology on constitutionalism
SLO-16	Tutorial: Discuss case studies on landmark judicial review decisions and their impact on legal and political developments	Tutorial: Explain case scenarios and simulate issues related to constitutionalviolations	Tutorial: Analyze case scenarios involvingconstitutional violations	Tutorial: Gain an understanding of the practical insights into the ethical considerations and challenges in constitutional review	Tutorial: Analyze contemporary challenges to constitutions that are transitioning in to future challenges
SLO-17	Practice: case studies on successful andfailed constitutional transitions	Practice: Evaluate case studies to identify legal solutions for deterioration of constitutional values	Practice: Engage in debates and critical discussions on global constitutional values	Practice: landmark judicial review cases from various jurisdictions	Practice: Identify the importance of sustained engagement and advocacy for promoting constitutionalism
SLO-18	Practice: Enhance participants' critical thinking and problem-solving skills in addressing complex constitutional l issues	Practice: Assign and analyze case studies involving problems on on judicial activism, judicial restraint	Practice: Examine future challenges toconstitutionalism and values	Practice: Analyze key principles and doctrines developed through case laws	Practice: Engage in discussion on scenario analysis, and presentations on future trends in constitutionalism

Resources			
1	D.D. Basu, Comparative Constitutional Law (Wadhwa and Company, 2008).	2	Dr.Subhash C Kashyap, Framing of Indian Constitution (Universal Law, 2004)
3	Mahendra P. Singh, Comparative Constitutional Law (Eastern Book Company, 1989).	4	Sunil Khilnani,VikramRaghavan, ArunThiruvengadam,Comparative Constitutionalism in South Asia (Oxford University Press, 2013).
5	M.V. Pylee, Constitution of the World (Universal, 2006)		

Rationale (CLR)	*The purpose of learning this course is to:*
CLR-1	*Gain a comprehensive understanding of the fundamental principles of constitutional law, including separation of powers, federalism, checks and balances, and individual rights.*
CLR-2	*Equip students with a comprehensive understanding of constitutional principles and structures governing the distribution of powers within governmental systems, fostering critical analysis and ethical engagement with issues of governance and rights.*
CLR-3	*Elucidate the theory and practice of sovereignty within the framework of constitutional governance, examining its implications for the rule of law, individual rights, and democratic accountability.*
CLR-4	*Equip participants with comprehensive knowledge and practical proficiency in various methods of constitutional reviews and their limitations*
CLR-5	*Equip participants with advanced knowledge to prognosticate future constitutional challenges*

Outcomes (CLO)	*At the end of this course, Students will be able to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
		1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
		Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLO-1	*Comprehend the basic tenets of constitutional law, such as individual rights, federalism, checks and balances, andthe division of powers.*	✓	✓		-	2	85	75	3	-	-	1	-	3	-	2	2	1	2	3
CLO-2	*Develop a profound understanding of the structural and constitutional precepts that regulate the allocation of authority within political institutions, encouraging moral and critical thinking on matters of rights and governance.*	✓	✓	✓	-	2	85	75	3	2	1	2	2	3	-	1	2	1	2	3
CLO-3	*Analyze the concept and application of sovereignty in the context of constitutional governance, considering theeffects it has on the rule of law, individual liberties, and democratic responsibility.*	✓	✓	✓	✓	3	85	75	3	1	3	1	3	3	-	1	1	2	1	3
CLO-4	*Acquire comprehensive legal knowledge on constitutional review, its purpose and methods*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	2	3	3	3	3
CLO-5	*Apply constitutional principles and methods to preserve it against future challenges*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	3	3	3	3	3

Designers		
Professional Experts	Higher Institution Experts	Internal Experts
1 *Dr. Arghya Sengupta, Associate Professor, O.P. JindalGlobal University*	1. *Dr.Aparna Chandra, Assistant Professor, National LawUniversity, Delhi*	1. *Dr. Sreelatha, Associate Professor, School of Law, SRM IST*

Assessment Method (Max. Marks: 100)												
		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
Level of Thinking		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Strategies					
Technology		Pedagogy / Andragogy		Sustainable Development	
Simulations	✓	Clarification/Pauses	✓	Quality Education	✓
Presentation Tools					
Learning Management System		Group Discussion	✓	Reduced Inequalities	✓
		Group task	✓		
		Debate	✓		
		Interactive Lecture	✓		
		Case studies	✓		

Code	PLCL24103T	Title	FOUNDATIONS OF CORPORATE LAW	Category		Professional Core	L	T	P	C
							3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses	Company Law	Co-requisite Courses	SEBI Regulation	Progressive Courses	Nill	Data Book / Codes/Standards	

Title & Content	INTRODUCTION TO COMPANY LAW	KINDS OF BUSINESS ENTITIES	FORMATION OF COMPANIES	ADMINISTRATION OF COMPANY	CORPORATE GOVERNANCE AND SOCIAL RESPONSIBILITY
Duration (hour)	18	18	18	18	18
SLO-1	*Develop a foundational understanding of nature and meaning of a company.*	*Attain a comprehensive understanding of the meaning, definition, and various types of the key characteristics of a partnership.*	*Critically analyze and examine the importance of promoters in the formation of a company*	*Critically analyze the meaning and role of directors.*	*Identify key milestones and regulatory developments shaping corporate governance in the country.*
SLO-2	*Examine the difference between companies, partnerships, and corporations.*	*Develop expertise in the concept of limited liability in an LLP.*	*Analyze the legal position of promoters*	*Explain the concept of key managerial personnel, and promoters in a company.*	*Compare and contrast corporate governance frameworks in different countries.*
SLO-3	*Learners will explore legal considerations in the implementation of instruction pipelining, focusing on regulatory compliance and potential legal challenges.*	*Assess integrity constraints and normalization techniques, identifying dependencies and eliminating redundancy in database schemas.*	*Examine application layer protocols including DNS, SMTP, POP, FTP, and HTTP, and their respective roles in network communication and data exchange.*	*Explore communication and web technologies, unraveling their evolution, functionalities, and impact on cyberspace architecture.*	*Explore the concept of digital devices security, covering endpoint devices, mobile phones, and associated security measures.*
SLO-4	Tutorial: *Conducting a legal analysis of a hypothetical cyber security scenario involving ALU, data-path, and control unit components.*	*Tutorial – Design an ER model for a given dataset, identifying entities, relationships, and attributes.*	*Tutorial –Conduct an analyses t explore TCP/IP fundamentals, including TCP, UDP, and sockets, through interactive demonstrations and hands-on exercises.*	*Tutorial – Explore case studies of successful social media marketing campaigns, focusing on their effectiveness in enhancing customer engagement.*	*Tutorial – Identify through discussion that elements of e-commerce security and common threats and discuss best practices for securing e-commerce platforms.*
SLO-5	*Practice: Reviewing and discussing landmark cases and analyzing legal cases related to technology and intellectual property*	*Practice: Engage in a workshop to design ER models for various real-world scenarios, emphasizing entity identification, relationship definition, and normalization.*	*Practice: Implement TCP and UDP socket communication in a simulated network environment.*	*Practice: Collaborate in groups to create diagrams and presentations illustrating the structure and functionality of cyberspace.*	*Practice: Respond to simulated security incidents, applying best practices to mitigate risks and protect sensitive information.*
SLO-6	*Practice: Conduct a detailed comparison of company and partnership structures*	*Practice: Assign students different jurisdictions to conduct a comparative analysis of a public or private company.*	*Practice: Establish a research clinic for LL.M. scholars to investigate and analyze the evolving jurisprudence the legal obligations that promoters have during the incorporation phase*	*Practice: Examine the components of director remuneration. Discuss the factors that should be considered in determining fair and reasonable compensation.*	*Practice: Assign learners different countries and ask them to conduct a detailed analysis of the corporate governance frameworks in those countries. Compare and contrast their findings.*

SLO-7	*Comprehend the legal aspects of legal and structural differences between companies and corporations.*	*Conduct legal analyses of issues related to the concept of limited and unlimited liability in companies.*	*Navigate the certificate of incorporation and its significance.*	*Examine the qualifications required for someone to become a director.*	*Explain corporate fraud and recognize different forms of fraudulent activities.*
SLO-8	*Evaluate the legal Importance of the corporate veil in corporate law.*	*Analyze the concept of members' liability in guarantee companies.*	*Attain advanced proficiency in conceptualizing the process and requirements for obtaining a certificate of incorporation.*	*Analyze the fiduciary duties and legal liabilities of directors.*	*Analyze insider trading and its legal implications.*
SLO-9	*Examine when and why the corporate veil might be lifted.*	*Analyze what an OPC is and its characteristics.*	*Demonstrate sophisticated skills in the legal implications and enforceability of pre-incorporation contracts and contracts made after incorporation.*	*Explore the importance of shareholder meetings.*	*Explore Corporate Social Responsibility (CSR) and its significance.*
SLO-10	*Tutorial: Engage in discussions providing examples and case studies illustrating the need to lift the corporate veil.*	*Tutorial: Engage in debates on the specific legal requirements and benefits associated with each type of Company*	*Tutorial: Analyse contracts made by promoters before and after the incorporation of a company*	*Tutorial – Examine Legal considerations, regulatory frameworks, and best practices in setting director compensation*	*Tutorial – Conduct a comparative analysis of corporate governance practices across different countries.*
SLO-11	*Practice: Analyze statutory provisions related to lifting the corporate veil.*	*Practice: Establish a research forum where students delve into the complexities of the criteria for a company to be classified as a small company.*	*Practice: Discuss the reasons and procedures for converting private companies to public companies and vice versa.*	*Practice: Create a mock agenda for an Annual General Meeting (AGM) and ask learners to identify the necessary procedural steps, including notice, quorum, and voting.*	*Practice: Present case studies of companies that have faced corporate fraud issues. Ask learners to analyse the governance lapses contributing to fraud and propose preventive measures.*
SLO-12	*Practice: Examine how courts interpret and apply the concept of piercing the corporate veil.*	*Practice: Conduct a jurisprudence review session where students explore landmark cases shaping concept of limited liability in an LLP.*	*Practice: Conduct workshop to explain the memorandum of association and articles of association.*	*Practice: Engage to analyze the functions of the Nomination and Remuneration Committee. Provide examples of how this committee contributes to effective governance.*	*Practice: Create a quiz with questions related to insider trading regulations in India. Assess learners' knowledge of legal provisions and penalties.*
SLO-13	*Explore the lessons learned from prominent legal cases.*	*Develop an understanding of the legal framework for benefits for producer companies*	*Attain an expert-level understanding of the interactions Explain the doctrine of ultra vires.*	*Undertake an in-depth study to differentiate between shareholder meetings and board of directors' meetings*	*Examine the role and composition of the board of directors in ensuring effective corporate governance.*
SLO-14	*Analyze the legal aspects and pros and cons of the corporate form of enterprise.*	*Analyse Section 8, their objectives and process of obtaining a Section 8 company status.*	*Exhibit advanced legal research competence, delving deeply to understand the significance and implications of acts beyond the company's legal authority.*	*Understand the roles and responsibilities of committees such as audit committees and nomination committees.*	*Explore the role of auditors in upholding corporate governance standards.*

SLO-15	*Gain a comprehensive understanding of the major topics covered in previous sessions.*	*Develop awareness of the concept of a company as a legal person.*	*Showcase an advanced appreciation of the multifaceted to define the doctrine of indoor management.*	*Explain the importance of notice, quorum, and proxies in the context of meetings.*	*Analyze the unique challenges and opportunities in corporate governance for family-owned businesses.*
SLO-16	*Tutorial: In-depth discussion to explore the pros and cons associated with the corporate structure*	*Tutorial: Arrange debates for exploration of the legal provisions and regulatory framework governing the formation, operation, and dissolution of different business structures*	*Tutorial: Facilitate a seminar to understand how various documents shape the governance and operations of a company*	*Tutorial: Examination of statutory committees like the Audit Committee, Nomination and Remuneration Committee, and Stakeholders Relationship Committee.*	*Tutorial: Delve into the dynamics of corporate fraud, exploring different types, root causes, and preventive measures.*
SLO-17	*Practice: Participate actively in group discussions on contemporary issues related to corporate law*	*Practice: Facilitate a roundtable discussion focused on understanding how the nationality and residence of a company are determined.*	*Practice: Analyze how the doctrine protects third parties dealing with the company in good faith.*	*Practice: Conduct a simulated meeting scenario (e.g., AGM or board meeting) and ask learners to navigate through the procedural aspects, including agenda, resolution, and minutes.*	*Practice: Simulate a stakeholder engagement scenario where learners need to strategize how a company can effectively engage with various stakeholders to fulfill its CSER commitments.*
SLO-18	*Practice: Engage in legal research and discussions on contemporary issues related to corporate law.*	*Practice: Assign students to analyze legal rights and responsibilities associated with a company's citizenship.*	*Practice: Advanced study to Understand the significance and implications of acts beyond the company's legal authority.*	*Practice: Provide case studies involving corporate governance issues. Ask learners to analyze the situation, identify relevant legal principles, and propose solutions.*	*Practice: Assign recent articles or cases related to corporate governance, fraud, or social responsibility for learners to read. Conduct a discussion or debate on the implications and potential solutions.*

Resources	
1 Taxmann's, Companies Act with Rules (Taxmann Publications, 2023)	2 Corporate Laws (Pocket Edition) (Taxmann Publications, 2023)
3 Avtar Singh, Company Law, (Eastern Book Company, 2023)	4. Brenda Hannigan, Butterworths Company Law Handbook (LexisNexis, 2021)
5. Charles Wild & Stuart Weinstein, Smith and Keenan's Company Law, (Pearson Longman, 2021)	

Rationale (CLR)	*The purpose of learning this course is to:*
CLR-1	*Provide students with a comprehensive understanding of corporate entities, covering company definitions, partnership versus corporation distinctions, corporate advantages and disadvantages, alongside legal concepts such as corporate personality, lifting the corporate veil, and limited liability.*
CLR-2	*Enable students to examine the characteristics and distinctions of partnership, LLP, cooperative societies, sole proprietorship, and various types of companies. The focus includes understanding the legal aspects, operational nuances, and implications associated with each business structure.*
CLR-3	*Equip learners with a thorough understanding of the essential elements in business formation, emphasizing promoters and the legal aspects of company incorporation..*
CLR-4	*Empowers students to delve deeply into corporate governance, emphasizing pivotal leadership roles within a company.*
CLR-5	*Provide students with a comprehensive understanding of the historical development of corporate governance norms in India, recognizing key milestones and regulatory frameworks.*

Outcomes (CLO)	*At the end of this course, Students will be able to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
		1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
		Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLO-1	*Acquire a holistic grasp of corporate structures, encompassing definitions and distinctions of business entities, while assessing the pros and cons of the corporate form, understanding corporate personality, exploring the legal aspects of lifting the corporate veil, and recognizing the importance of limited liability.*	✓	✓		-	2	85	75	3	-	-	1	-	3	-	2	2	1	2	3
CLO-2	*Possess a profound understanding of various business structures and the legal frameworks that govern them.*	✓	✓	✓	-	2	85	75	3	2	1	2	2	3	-	1	2	1	2	3
CLO-3	*Gain insights into the definition, importance, and legal position of promoters, along with the rights, duties, and liabilities they bear, and a comprehensive understanding of the legal intricacies surrounding business formation*	✓	✓	✓	✓	3	85	75	3	1	3	1	3	3	-	1	1	2	1	3
CLO-4	*Gain a comprehensive understanding of the meaning and various types of directors, the role of key managerial persons and promoters, and the qualifications, disqualifications, and remunerations associated with directors.*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	2	3	3	3	3
CLO-5	*Analyze corporate governance norms in India, enabling comparative analyses and ethical decision-making on fraud, insider trading, and corporate responsibility challenges, empowering participants for informed contributions in the corporate landscape.*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	3	3	3	3	3

Strategies					
Technology		*Pedagogy / Andragogy*		*Sustainable Development*	
Simulations	✓	*Clarification/Pauses*	✓	*Good Health & Well Being*✓	
Presentation Tools					
Learning Management System	✓	*Group Discussion*	✓	*Quality Education*✓	
		Hands-on Practice	✓		
		Debate	✓		
		Interactive Lecture	✓		
		Brainstorming	✓		

Assessment Method (Max. Marks: 100)												
		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
Level of Thinking		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Designers					
Professional Experts		Higher Institution Experts		Internal Experts	
1	Advocate K Sridhar Kumar, Madras High Court	1	Rajkishore, Rajkishore Associates, Chennai	1	*Gyanendra Kumar, Assistant Professor, School of Law, SRM IST*

Code	PLCL24104T	Title	PRINCIPLES OF CORPORATE FINANCE	Category	Professional Core	L	T	P	C
						3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses	Nil	Co-requisite Courses	Nil	Progressive Courses	Nil	Data Book / Codes/Standards	

Title & Content	INTRODUCTION	EQUITY FINANCING	DEBT FINANCING	REGULATION OF INTER-CORPORATE LOANS AND BORROWINGS	LAW OF FOREIGN DIRECT INVESTMENT
Duration (hour)	18	18	18	18	18
SLO-1	*Comprehend the principles and theories behind financial decision-making in corporations.*	*Examine the fundamental concepts of equity financing, including the definition of equity, different types of equity securities (common stock, preferred stock), and the role of equity in a company's capital structure.*	*Identify and examine various debt instruments, such as bonds, loans, and debentures.*	*Comprehend the legal framework governing inter-corporate loans within the context of company law.*	*Examine the key regulations governing Foreign Direct Investment in a given jurisdiction, including the legal frameworks and policies that shape FDI inflows and outflows*
SLO-2	*Explore the key legal concepts and principles that underpin corporate finance transactions, such as securities regulation, corporate governance, and fiduciary duties.*	*Analyze and examine the advantages and disadvantages of using equity financing for a business.*	*Examine the fundamental concepts of debt financing, including the definition of debt, key terms such as principal and interest, and the basic mechanics of borrowing money.*	*Gain a profound understanding about relevant statutes, regulations, and case laws that govern and influence the lending and borrowing activities between companies.*	*Develop the ability to analyze and interpret Bilateral Investment Treaties, identifying the rights and obligations of both host and home countries, and recognizing the impact of these treaties on FDI.*
SLO-3	*Develop the ability to navigate and comply with securities laws and regulations.* .	*Examine the steps involved in the equity issuance process, from preparing the business for fundraising to negotiating with potential investors.*	*Gain insights into the legal structures commonly used in debt financing, such as loan agreements, bonds, and debentures.*	*Develop the ability to identify and analyze the regulatory requirements that companies must adhere to when engaging in inter-corporate loans.*	*Assess various mechanisms for resolving investment disputes, such as Investor-State Dispute Settlement (ISDS), and learn the legal implications of such mechanisms on foreign investors and host countries.*
SLO-4	*Tutorial: Explore the legal requirements for the issuance, trading, and disclosure of securities*	*Tutorial: Comprehend the legal and regulatory framework governing the public offer of securities.*	*Tutorial: Assess the regulatory landscape surrounding debt financing.*	*Tutorial: Evaluate the significance of compliance with statutory provisions and the consequences of non-compliance.*	*Tutorial: Assess risks associated with foreign direct investment, including political, economic, and legal risks so as to formulate effective strategies to mitigate these risks.*

SLO-5	*Practice: Analyze the ethical considerations in corporate finance, including conflicts of interest and the duty to act in the best interest of the corporation and its stakeholders.*	*Practice: Examine the difference between various types of securities, such as stocks, bonds, and derivatives.*	*Practice: Classify debt instruments into different categories, such as bonds, loans, and debentures, and comprehend the distinctive features of each category.*	*Practice: Assess the importance of due diligence in evaluating the creditworthiness of borrowing companies and the role of covenants and security in risk management.*	*Practice: Acquire knowledge about the legal requirements and compliance standards related to foreign direct investment, ensuring they can guide businesses and investors in adhering to applicable laws and regulations.*
SLO-6	*Practice: Gain insights into how profit and wealth maximization influence strategic decision-making within an organization.*	*Practice: Analyse the process of issuing securities to the public, including the steps involved in preparing and filing a prospectus, regulatory approvals, and the role of underwriters in facilitating the issuance.*	*Practice: Learn drafting and reviewing key offering documents, such as prospectuses or offering memoranda, ensuring compliance with legal requirements and clarity for potential investors*	*Practice: Comprehend the legal provisions and frameworks related to borrowing in company law.*	*Practice: Comprehend the concept of the "Automatic Route" for FDI.*
SLO-7	*Evaluate the trade-offs and considerations involved in different business decisions that impact profits and wealth.*	*Identify and articulate the primary purpose of a prospectus in the context of financial markets.*	*Analyze the risk and return associated with various debt instruments. This includes Acquire knowledge of the relationship between interest rates, credit risk, and the potential returns of different debt instruments.*	*Gain an understanding of the different types of borrowing that companies engage in, such as loans, debentures, and other financial instruments.*	*Evaluate the advantages and limitations of the Automatic Route and Differentiate between the Automatic Route and Approval Route for FDI.*
SLO-8	*Examine the potential legal consequences for companies and their officers in case of non-compliance with capitalization rules.*	*Examine how to interpret financial statements and other quantitative data to evaluate the investment potential of a company.*	*Acquire knowledge of how debt offerings comply with securities laws and regulations, including disclosure requirements and anti-fraud provisions*	*Recognize the role of corporate governance in overseeing and approving borrowing decisions within a company.*	*Examine the sectors eligible for FDI through the Automatic Route.*
SLO-9	*Comprehend the role of regulatory bodies and the legal remedies available to stakeholders in case of disputes related to capitalization.*	*Gain insights into the legal and regulatory framework governing the issuance of prospectuses in relevant jurisdictions.*	*Apply the learned principles to real-world scenarios, such as personal property financing, secured transactions in business, and consumer transactions.*	*Examine the compliance requirements related to borrowing, including disclosure obligations and the consequences of non-compliance.*	*Identify potential challenges and uncertainties, developing skills to create effective risk management strategies.*

SLO-10	*Tutorial: Identify and examine various money market instruments such as Treasury bills, commercial paper, certificates of deposit, and repurchase agreements.*	*Tutorial: Explore the differences between different types of public offerings, such as Initial Public Offerings (IPOs) and Follow-on Public Offerings (FPOs).*	*Tutorial: Develop critical skills to assess the fairness and equity of security interest arrangements in various legal and business settings.*	*Tutorial: Develop financial models to analyze the impact of inter corporate loans on the financial statements of both the lending and borrowing companies.*	*Tutorial: Engage in discussions pertaining to the evolution of DIPP, emphasizing its role as the primary government body responsible for formulating and implementing industrial policies.*
SLO-11	*Practice: Explore how government agencies and financial institutions ensure the integrity and stability of the money market*	*Practice: Assess the impact of going public on a company's financial structure, governance, and overall business strategy.*	*Practice: Comprehend the concept of a charge in the context of company law.*	*Practice: Identify and discuss the key legal considerations and compliance requirements for both lending and borrowing entities.*	*Practice: Examine how the FDI policy has attracted investments, fostered technological advancements, and created employment opportunities.*
SLO-12	*Practice: Acquire practical skills in negotiating and drafting financial agreements.*	*Practice: Comprehend the concept of securities offerings and the significance of intermediaries in facilitating these transactions.*	*Practice: Examine the legal implications and significance of creating a charge on company assets.*	*Practice: Articulate the key provisions and limitations outlined in Section 186 of the Companies Act.*	*Practice: Acquire knowledge about documentation requirements, timelines, and key compliance considerations, fostering an ability to navigate the intricate procedural landscape associated with securing government approval for FDI.*
SLO-13	*Assess the different types of risks associated with money market instruments, such as interest rate risk, credit risk, and liquidity risk.*	*Identify the key players involved in public offers, such as investment banks, underwriters, and brokers.*	*Examine the differences between different types of charges, such as fixed charges and floating charges.*	*Develop problem-solving skills by evaluating different case studies or examples related to financial transactions and loans.*	*Examine regulatory framework governing FDI, including the legal and procedural requirements that businesses must navigate to secure government approval.*
SLO-14	*Identify potential legal risks associated with different forms of financing and develop strategies for risk management and mitigation.*	*Explore the due diligence process conducted by intermediaries to assess the financial health and compliance of the issuing company.*	*Acquire knowledge of the procedural requirements and documentation involved in creating a charge.*	*Recognize the importance of ensuring that financial transactions and corporate activities adhere to the legal framework.*	*Comprehend reporting obligations, and identify the specific regulatory bodies overseeing FDI in different jurisdictions.*
SLO-15	*Examine the difference between short-term, medium-term, and long-term legal considerations.*	*Examine the differences between material and immaterial information, recognizing the importance of disclosing information that could impact investors' decisions.*	*Identify the steps a company needs to take to properly create and register a charge.*	*Examine the specific rules and requirements outlined in the Companies Rules, 2014, to real-world scenarios.*	*Gain a profound understanding about knowledge of currency markets, including how they operate and the key factors influencing exchange rates.*
SLO-16	*Tutorial: Explore the complexities of long-term legal planning and the importance of foreseeing future implications.*	*Tutorial: Comprehend the various steps involved in the pre-issue process, including the preparation, planning, and due diligence required before a company decides to go public or issue securities*	*Tutorial: Examine and explore the concept of debentures in the context of company law.*	*Tutorial: Develop skills in legal research to navigate and interpret the Companies Rules, 2014, and related legal documents.*	*Tutorial: Analyse the guidelines set by the Reserve Bank of India (RBI) concerning Foreign Direct Investment.*

SLO-17	*Practice: Examine the principles of corporate governance and learn the implications of agency theory, including the conflicts of interest that may arise between shareholders and managers, and how corporate governance mechanisms can mitigate these conflicts.*	*Practice: Explore the differences between ADRs, IDRs, and GDRs, highlighting the specific markets and regions they represent.*	*Practice: Compare the legal frameworks governing hybrid financial instruments in different jurisdictions.*	*Practice: Develop analytical skills to assess situations where penalties might be applicable and propose appropriate courses of action.*	*Practice: Through practical examples and case studies, acquire knowledge of developed skills in analyzing and preparing FDI reporting documentation*
SLO-18	*Practice: Engage in discussion on the implications of corporate structure in different industries.*	*Practice: Explore the impact of ADRs, IDRs, and GDRs on global capital markets and the broader process of globalization. This includes examining how these instruments contribute to the integration of financial markets and the implications for international investment strategies.*	*Practice: Analyze the methods used for the valuation of convertible instruments.*	*Practice: Analyze actual loan agreements, acquire knowledge of the implications of specific covenants on both borrowers and lenders, and considering how economic and industry factors can influence covenant design.*	*Practice: Examine how foreign investments can enhance infrastructure, technology, and managerial practices, ultimately creating a more conducive environment for businesses.*

Resources			
1	Phillip R. Wood, Regulation of International Finance, TSM, 2009.	1	Paul L. Davies and Sarah Worthington, Gower: Principles of Modern Company Law, 10 ed. Sweet & Maxwell, 2016
2	Arvind P Datar, S. Balasubramanian, A. Ramaiya's the Guide to the Companies Act, 2013, 18 ed. LBW, 2014	2	Alastair Hudson, The Law of Finance, 2nd ed. Sweet & Maxwell, 2013.
3	Eilis Ferran and Look Chan Ho, Principles of Corporate Finance Law, 2nd ed. OUP, 2014.	3	Kraakman et al, Anatomy of Corporate Law, 3rd ed. OUP, 2017.
4	Geoffrey Fuller, Corporate Borrowing: Law and Practice, 5th ed. Jordan, 2016.	4	Louise Gullifer and Jennifer Payne, Corporate Finance Law: Principles and Policy, 2nd ed. Hart, 2015
5	*Louise Gullifer, Goode on Legal Problems of Credit and Security, 5 ed. Sweet & Maxwell, 2014.*	5	Corporate Finance Law: Principles and Policy – Jennifer Payne

Rationale (CLR)	*The purpose of learning this course is to:*
CLR-1	*Enable participants to identify and analyse the legal implications of financial decisions ensuring that corporate actions adhere to relevant laws and regulations.*
CLR-2	*Equip students to analyze and apply legal principles and regulations governing debt financing transactions.*
CLR-3	*Enable students to identify legal risks associated with financial decisions and implement strategies to manage and mitigate these risks.*
CLR-4	*Educate students on comprehending regulations and laws governing inter-corporate loans and borrowings.*
CLR-5	*Enable students to assess legal risks and opportunities associated with foreign direct investment, including political, regulatory, and market risks.*

Outcomes (CLO)	*At the end of this course, Students will be able to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
		1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
		Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLO-1	*Evaluate the advantages and disadvantages of equity financing for businesses, assess the various sources of equity financing available to entrepreneurs and companies, and develop strategies for effectively utilizing equity financing to fund business growth and expansion.*	✓	✓		-	2	85	75	3	-	-	1	-	3	-	2	2	1	2	3
CLO-2	*Comprehend the relevant laws, regulations, and judicial decisions that govern debt financing transactions, including securities laws, contract law, bankruptcy law, and regulatory requirements imposed by governmental authorities.*	✓	✓	✓	-	2	85	75	3	2	1	2	2	3	-	1	2	1	2	3
CLO-3	*Evaluate compliance requirements and strategies for financial organizations to adhere to regulatory standards.*	✓	✓	✓	✓	3	85	75	3	1	3	1	3	3	-	1	1	2	1	3
CLO-4	*Develop critical thinking skills to assess the impact of regulatory changes and emerging trends on corporate lending practices, enabling them to make informed decisions and effectively navigate complex financial environments.*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	2	3	3	3	3
CLO-5	*Comprehend the regulatory mechanisms, treaty obligations, and dispute resolution mechanisms relevant to FDI.*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	3	3	3	3	3

Assessment Method (Max. Marks: 100)

Level of Thinking		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Strategies		
Technology	Pedagogy / Andragogy	Sustainable Development

Simulations	✓	Clarification/Pauses	✓	Decent Work and Economic Growth	✓
Presentation Tools				Responsible Consumption and Production	
Learning Management System		Group Discussion	✓	Quality Education	✓
		Hands-on Practice	✓		
		Debate	✓		
		Interactive Lecture	✓		

Designers					
Professional Experts		Higher Institution Experts		Internal Experts	
1	*Mr. Anand Vermani, Partner, KPMG*	1	*Dr. M L Shankar Kaarmukilan, TNNLU, Tamil Nadu*	1	*Mr. Partheeswaran P, Assistant Professor, School of Law, SRM IST*

Code	PLCL24201T	Title	JUDICIAL PROCESS	Category		Professional Core	L	T	P	C
							3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses		Co-requisite Courses		Progressive Courses		Data Book / Codes/Standards	

Title & Content	NATURE OF JUDICIAL PROCESS	DIMENSIONS OF JUDICIAL PROCESS	JUDICIAL PROCESS IN INDIA	JUDICIAL PROCESS IN CONSTITUTIONAL AMENDMENT	JUDICIAL ADMINISTRATION
Duration (hour)	18	18	18	18	18
SLO-1	*Comprehend the stages and key components of judicial process, including initiation of legal action, pleadings, discovery, final verdict, judgment, appeals, enforcement of judgements.*	*Explain and comprehend different methods of interpretation to interpret law to ensure consistency and fairness in decision making.*	*Examine the structure of the judicial system in India including the hierarchy of courts, its jurisdiction and function at each level of judiciary.*	*Comprehend and analyze the rationale behind the doctrine of Prospective overruling and its implications for legal certainty, stability, and the rule of law.*	*Comprehend the process of selection and appointment of Judges* in district court, high court and supreme court of India.
SLO-2	*Examine the concepts of law, justice, ethics and morality including their interrelationships and distinctions*	*Examine the approaches and methodology employed in constitutional interpretation of the civil law and common law countries*	*Comprehend the system of alternative adjudication in India such as mediation, arbitration, and conciliation, as alternatives to traditional litigation*	*Examine and analyze the doctrine of colourable legislation*	*Examine the policies and procedures governing the transfer of judges within the judiciary, including the reasons for transfers and the mechanisms for ensuring transparency and fairness in the transfer process.*
SLO-3	*Analyze the intersection of legal decisions with ethical and moral considerations and how justice is sought through legal mechanisms*	*Comprehend the approaches and methodology employed in the statutory interpretation of civil law and common law countries.*	*Gain comprehensive understanding of the structure, jurisdiction, and effectiveness of Nyaya Panchayats in providing access to justice and resolving disputes at the grassroots level.*	*Gain a comprehensive understanding of the doctrine of harmonious interpretation and its role in reconciling conflicting provisions within statutes or constitutional provisions.*	*Analyze the importance of manpower planning in ensuring the effective functioning of the judiciary, including the allocation of human and financial resources.*
SLO-4	*Tutorial: Conduct an in-depth discussion on law, justice, ethics and morality.*	*Tutorial: Conduct an in-depth discussion on the comprehensive understanding of methods of interpretation*	*Tutorial: Conduct an in-depth discussion of advantages and limitations of alternative adjudication and its role in reducing the burden on the formal judicial system*	*Tutorial: Conduct an in-depth discussion on the doctrines of constitutional interpretation*	*Tutorial: Conduct an in-depth discussion on recent appointment and transfer of judges in high courts and supreme court of India*
SLO-5	*Practice: Evaluate and analyze hypothetical legal scenarios by applying the ethical considerations and reasoning techniques.*	*Practice: Evaluate different legal scenarios by employing different types of interpretation.*	*Practice: Engage in case studies involving landmark cases of alternative adjudication in India.*	*Practice: Engage in case studies involving landmark cases of prospective overruling.*	*Practice: Discuss the impact of transfer policies on judicial independence, efficiency, and accountability.*

SLO-6	Practice: Engage in making well-reasoned arguments and making sound legal judgements based on relevant laws,precedents and ethical norms.	Practice: Explore and differentiate between different methods of interpretation in civil and common law countries.	Practice: Classroom application of alternative dispute resolution methods for hypothetical cases.	Practice: Engage in case studies involving landmark cases of colourable legislation, harmonious construction.	Practice: Discuss the challenges and considerations involved in manpower planning, such as budget constraints, staffing levels, and workload distribution.
SLO-7	Comprehend the various components of legal reasoning and examine application of these reasoning to analyze legal issues and construct persuasive arguments.	Analyze the concept of judicial review and its importance in analyzing the constitutionality of laws.	Gain an understanding of the evolution of public interest litigation/ social interest litigation in India.	Comprehend and analyze other doctrines including pith and substance.	Examine the legal framework governing judicial impact assessment as a tool for evaluating the potential impact of judicial decisions, legislation, or policy changes on the judiciary and the legal system.
SLO-8	Discuss and understand deductive reasoning, inductive reasoning and dialectical reasoning.	Examine the concept of judicial activism, proactive role of judges in shaping individual rights and progressive social change.	Examine the procedural aspects of public interest litigation/ social interest litigation, including standing, locus standi, and the role of public interest petitioners and interveners.	Examine and analyze the origins, development, and significance of the Doctrine of Basic Structure theory in constitutional jurisprudence.	Analyze the methodology and process of conducting Judicial Impact Assessment and its role in promoting transparency, accountability, and evidence-based decision-making.
SLO-9	Comprehend the concept of analogy, reasoning by comparison to similar cases.	Comprehend the concept of judicial restraint	Gain an understanding of landmark public interest litigation/ social interest litigation cases and their impact on social justice, human rights, environmental protection, and governance in India.	Analyze the recent judicial decisions and developments related to the Doctrine of Basic Structure Theory, including its application to specific constitutional provisions and issues.	Examine the factors contributing to mounting arrears in the judicial system, such as backlog of cases, delays in court proceedings, and inefficiencies in case management.
SLO-10	Tutorial: Conduct in depth discussion on methods of legal reasoning.	Tutorial: Conduct an in-depth discussion on the proactive role of the judges through judicial activism as against the conventional separation of powers.	Tutorial: Conduct an in-depth discussion on public interest litigation in Indian jurisprudence	Tutorial –. Conduct an in-depth discussion on the basic structure doctrine and amendment of constitution.	Tutorial – Conduct an in-depth discussion on the judicial impact assessment.
SLO-11	Practice: Engage in giving illustration of cases to apply general principles to specific cases and specific principles to general cases.	Practice: Discuss in case studies involving judicial review.	Practice: Engage in case studies involving landmark cases of public interest litigation.	Practice: Engage in case discussion of Kesavananda Bharathi v State of Kerala	Practice: Discuss the potential effects of judicial decisions on various stakeholders and the broader legal system.
SLO-12	Practice: Engage in giving illustration of cases by comparison to similar cases to understand the concept of analogy	Practice: Discuss in case studies involving judicial activism and judicial restraint	Practice: Engage in the classroom drafting of public interest litigation petitions.	Practice: Engage in case discussion of other cases relating to basic structure doctrine.	Practice: Examine the practical consequences of mounting arrears on access to justice, judicial efficiency, and public confidence in the legal system.
SLO-13	Explore the tools and techniques employed by judges to creatively interpret laws to balance the competing interests	Explain the principles of judicial accountability, transparency and independence of judiciary as an essential component of fair and impartial judiciary.	Comprehend the concept of judicial policy-making and its role in shaping legal doctrines, principles, and public policy.	Comprehend and analyze the process of constitutional amendment in India	Examine the patterns of court management, such as case-flow management, docket management, and alternative dispute resolution mechanisms.

SLO-14	*Comprehend the ethical and practical implications in judicial creativity in promoting fairness and justice within the legal system.*	*Comprehend the mechanisms for promoting judicial accountability and transparency, such as judicial codes of conduct, judicial performance evaluations, and public access to court proceedings and records.*	*Gain an understanding of the evolution of doctrines and principles in India*	*Comprehend the process of constitutional amendment in America, the historical context of constitutional amendments and their impact on civil rights, governance, and federalism.*	*Explore the recommendations made by the Law Commission or similar bodies relating to court management, reforming the judiciary and improving the administration of justice.*
SLO-15	*Explore the importance of precedents in the common law system and how it operates as a source of legal authority in providing guidance to courts in similarcases.*	*Gain an understanding of the Bangalore principles of judicial conduct aimed at promoting judicial integrity*	*Gain an understanding of the landmark judgments and legal developments that have contributed to the evolution of Indian legal principles and doctrines over time.*	*Examine, compare and distinguish the constitutional amendment process in different legal systems such as India and America.*	*Examine and analyze the national case management system in India including the E court system in India.*
SLO-16	*Tutorial: Conduct in depth discussion on the tools and techniques of judicial creativity and its role in shaping legal principles and doctrines*	*Tutorial: Conduct an in-depth discussion on judicial integrity.*	*Tutorial: Conduct an in-depth* analysis and discussion on, judicial policy-making, and the evolution of legal doctrines and principles.	*Tutorial: Discuss the step-by-step walkthrough of the amendment process in India and USA, and the potential exemptions.*	*Tutorial: Discuss in detail the Lord Woolf's Report on "Case Management" (UK)- Australian Law Reform Commission on "Judicial and case Management"*
SLO-17	*Practice: Discuss on landmark cases illustrating the interpretation and application of these principles.*	*Practice:* Discuss the role of judges in safeguarding the rule of law and judicial integrity.	*Practice: Engage in discussion on landmark cases related to legal doctrine in India*	*Practice: Discuss landmark cases pertaining to constitutional amendment in the USA.*	*Practice: Discuss the practical feasibility and effectiveness of these recommendations in addressing systemic challenges and promoting judicial efficiency and accountability.*
SLO-18	*Practice: Discuss the case studies to understand the doctrine of precedent*	*Practice:* Discuss the key principles outlined in the Bangalore Principles and their relevance to ensuring judicial independence, impartiality, integrity, and competence.	*Practice: Discuss the ethical considerations and professional responsibilities involved in judicial decision-making, policy formulation, and legal advocacy.*	*Practice: Discuss case studies pertaining to constitutional amendment in India.*	*Practice: Discuss the concept of masterof roaster in India*

Resources			
1	*A. Lakshminath, "Precedent in Indian Law: Judicial Process" EBC Publication(2009*	2	*Aharon Barak, The Judge in a Democracy (Princeton University Press, 2008)*
3	*S.P. Sathe, Judicial Activism in India: Transgressing Borders and Enforcing Limits(2003)*	4	*Bernard C. Gavit, Ralph F. Fuchs, Cases and Materials on an Introduction toLaw and the Judicial Process (1952)*
5	*Mauro Cappellletti, The Judicial Process in Comparative Perspective (ClarendonPress: Oxford, 1989).*		

Rationale (CLR)	*The purpose of learning this course is to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
CLR-1	*Enable participants to gain a comprehensive understanding of the judicial process, including the inquiry intolaw, justice, ethics, and morality. To explore the components of legal reasoning, such as deductive, inductive, analogy, and dialectical reasoning, along with the tools and techniques of judicial creativity and precedent.*	1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
CLR-2		Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLR-3																				
CLR-4	*Provide participants with an understanding of various methods of interpretation, including constitutional interpretation and statutory interpretation in civil and common law countries. To explore concepts such asjudicial review, judicial activism, judicial self-restraint, judicial accountability, transparency, and independence, with a focus on the Bangalore Principles.*																			
CLR-5	*Provide participants with a comprehensive understanding of the structure of the judicial system in India, alternative methods of adjudication such as Nyaya Panchayats, the judicial process, Public Interest Litigation (PIL) or Social Action Litigation (SAL), judicial policy-making, and the evolution of legal doctrines and principles.*																			
Outcomes (CLO)	*At the end of this course, Students will be able to:*																			
CLO-1	*Gain a comprehensive understanding of the judicial process, legal reasoning, and the ethical dimensions of lawand justice, enabling them to navigate complex legal issues with analytical rigor and ethical awareness.*		✓		-	2	85	75	3	2	1	2	2	3	-	1	2	1	2	3
CLO-2	*Acquire understanding about various methods of interpretation employed by courts, the dynamics of judicial*	✓	✓	✓	✓	3	85	75	3	1	3	1	3	3	-	1	1	2	1	3
CLO-3	*decision-making, and the broader issues of judicial accountability, transparency, and independence.Also, analyze*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	2	3	3	3	3
CLO-4	*legal issues, assess judicial decisions, and contribute to discussions on judicial reform and governance.*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	3	3	3	3	3
CLO-5	*Gain comprehensive understanding about the functions of judiciary, the role of courts in promoting access to*	✓	✓	✓	✓	3	85	75												

Assessment Method (Max. Marks: 100)												
		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
Level of Thinking		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Strategies					
Technology		Pedagogy / Andragogy		Sustainable Development	
Simulations	✓	Clarification/Pauses	✓	Quality Education	✓
Presentation Tools	✓	Case studies	✓	Decent Work and Economic Growth	✓
Learning Management System	✓	Group Discussion	✓	Reduced Inequalities	✓
		Group task	✓		
		Debate	✓		
		Interactive Lecture	✓		

Designers					
Professional Experts		Higher Institution Experts		Internal Experts	
1	*Mr. Ajay Bahl, Founder & Managing Partner, AZB & Partners*	1	*Dr. Reddivari Revathi (Former HOD, Department of constitutional law , in School of Excellence in Law)*	1	*Dr. Sumana Vedanth, Associate Professor, School of Law, SRM IST*

Code	PLCL24202T	Title	LAW OF CORPORATE MANAGEMENT AND GOVERNANCE	Category	Professional Core	L	T	P	C
						3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses		Co-requisite Courses		Progressive Courses		Data Book / Codes/Standards	

Title & Content	INTRODUCTION	CORPORATE SECURITIES	CORPORATE GOVERNANCE AND BOARD MANAGEMENT	TRANSPARENCY, DISCLOSURES AND CORPORATE SOCIAL RESPONSIBILITY	NATIONAL AND INTERNATIONAL LEGAL FRAMEWORK OF CORPORATE GOVERNANCE
Duration (hour)	18	18	18	18	18
SLO-1	*Identify the core principles that form the foundation of effective corporate governance.*	*Identify the key functions and responsibilities that shareholders undertake within the corporate structure.*	*Critically analyze the role and authority of the board of directors in corporate governance.*	*Gain an understanding of the importance of disclosure and transparency concepts for stakeholder trust and accountability.*	*Gain an understanding of the historical development and evolution of corporate governance in the UK.*
SLO-2	*Analyze various theories that underpin corporate governance practices.*	*Explore the rights granted to shareholders in a company.*	*Analyze the different types of directors and their specific roles*	*Explore the role of financial institutions in promoting disclosure and transparency*	*Examine the fundamental structures and principles of the U.S. Corporate Governance System.*
SLO-3	*Examine the interests, rights, and responsibilities of shareholders, employees, and other stakeholders.*	*Discuss the impact of responsible shareholder behavior on corporate governance.*	*Examine the principles and mechanisms behind managerial remuneration.*	*Analyze how significance the Memorandum and Articles of Association provide the framework for corporate operations and governance.*	*Demonstrate and compare key features with the UK Corporate Governance Code.*
SLO-4	*Tutorial: Engage in discussion on fundamental principles, including transparency, accountability, and fairness.*	*Tutorial: Explain practical tips on addressing shareholder concerns and building positive relationships.*	*Tutorial: Encourage critical thinking and discussions on the evolving landscape of corporate governance.*	*Tutorial: Analyze the role of financial institutions in promoting transparency.*	*Tutorial: Explore the key principles and components of the UK Corporate Governance Code and the U.S. Corporate Governance System.*
SLO-5	*Practice: Analyze historical cases pertaining to the evolution of corporate governance.*	*Practice: Engage in discussion pertaining to proposals, and cast mock votes*	*Practice: Organize a symposium for students to conduct a board where learners take on different roles within the board structure.*	*Practice: Engage learners in a workshop to identify and explore various tools for effective disclosure.*	*Practice: Conduct a detailed comparative analysis, highlighting similarities, differences, and the rationale behind distinct approaches.*

SLO-6	*Practice: Evaluate the interests and influence of different stakeholders on corporate governance.*	*Practice: Assign students to analyze the impact of market conditions on debenture valuation.*	*Practice: Establish a research clinic for scholars to discuss the implications of ethical lapses on corporate governance.*	*Practice: Provide learners with sample Memorandum and Articles of Association and analyse the content.*	*Practice: Assign learners to simulate a U.S. corporate governance scenario, requiring learners to make decisions in line with U.S. governance principles.*
SLO-7	*Examine the concept of shareholder activism and its impact on corporate decision-making.*	*Evaluate the role of regulatory bodies and corporate governance frameworks in safeguarding shareholder interests.*	*Navigate and critically assess the fiduciary duties and rights of directors.*	*Examine the importance of providing timely and accurate information in disclosure.*	*Explore global regulatory frameworks governing international trade and investment.*
SLO-8	*Evaluate the ethical considerations and challenges associated with technology in the context of governance.*	*Examine the rights granted to debenture holders.*	*Examine the role of the board in safeguarding shareholder interests.*	*Discuss the extent and scope of disclosure required in different contexts.*	*Analyze domestic investment law and its role in regulating national investment activities.*
SLO-9	*Explore the effects of globalization on corporate governance structures.*	*Analyze the impact of responsible debenture holder behavior on financial stability.*	*Investigate legal remedies for oppression, mismanagement, and class actions.*	*Explain Corporate Social Responsibility (CSR) and its components and the evolving role of CSR in modern business practices.*	*Explore the legal considerations and challenges in cross-border investments.*
SLO-10	*Tutorial: Engage in discussion on the evolving roles of institutional investors and their influence on corporate governance.*	*Tutorial: Analyse yield-to-maturity calculations, credit risk assessment, and bond diversification.*	*Tutorial: Analyze the nuanced aspects of fiduciary duties of directors in different jurisdictions.*	*Tutorial – Examine Legal implications of the Memorandum and Articles of Association in defining a company's constitution.*	*Tutorial – Examine the regulatory frameworks governing international trade and investment relations.*
SLO-11	*Practice: Examine strategies to address shareholder concerns while maintaining corporate integrity.*	*Practice: Examine case studies on shareholder activism.*	*Practice: Facilitate a workshop on the role of the board in shareholder protection through disclosure and transparency.*	*Practice: Create a mock to engage in group discussions to determine best practices in disclosure.*	*Practice: Assign learners specific international trade agreements to analyse.*
SLO-12	*Practice: Explain potential privacy concerns and propose solutions to maintain a balance.*	*Practice: Simulate a scenario where learners manage a portfolio of debentures.*	*Practice: Simulate scenarios depicting conflicts between majority and minority shareholders.*	*Practice: Assign roles to learners representing different stakeholders in a CSR context.*	*Practice: Create a role-play cross-border investment negotiations, with learners representing different stakeholders.*
SLO-13	*Investigate the correlation between corporate governance practices and corporate social responsibility.*	*Evaluate the role of regulatory bodies and financial governance frameworks in safeguarding debenture holder interests.*	*Analyze the obligations and responsibilities of the board in managing liabilities during liquidation.*	*Examine the international dimensions of CSR and global best practices.*	*Discuss the concept of host state obligations in protecting foreign investments.*
SLO-14	*Analyze and examine the importance of legal compliance in ensuring effective governance structures.*	*Explain the diverse roles, rights, and responsibilities of various stakeholders in the corporate ecosystem.*	*Examine the importance of accounting and auditing in governance.*	*Evaluate how disclosure practices and CSR efforts can be integrated for comprehensive corporate governance.*	*Explore the role of corporations in upholding human rights standards.*

SLO-15	*Gain a comprehensive understanding of the potential challenges and propose strategies to adapt to the evolving landscape of corporate governance.*	*Evaluate the impact of their interactions on corporate decision-making and financial stability.*	*Evaluate the impact of effective investor protection on capital markets and corporate behavior.*	*Explore national and international regulatory frameworks governing disclosure and CSR*	*Analyze the integration of corporate governance principles with sustainable and socially responsible practices.*
SLO-16	*Tutorial: In-depth exploration of the role of technology in enhancing transparency, communication, and data security in corporate governance.*	*Tutorial: Exploration of successful stakeholder engagement leading to sustainable corporate practices.*	*Tutorial: Facilitate a seminar to cover advanced topics in auditing, focusing on emerging trends and technological innovations.*	*Tutorial: Examine the statutory concept of Corporate Social Responsibility (CSR) and its evolving role in corporate governance.*	*Tutorial: Discuss the intersection of corporate responsibility with human rights and environmental issues in the context of international trade and investment.*
SLO-17	*Practice: Develop risk management strategies that align with global governance challenges.*	*Practice: Facilitate a workshop on effective stakeholder engagement strategies.*	*Practice: Evaluate the committee's role in ensuring financial transparency and compliance.*	*Practice: Conduct case studies highlighting international challenges in implementing CSR initiatives.*	*Practice: Discuss case studies illustrating scenarios where host states have obligations to protect foreign investments.*
SLO-18	*Practice: Engage in case study analysis focusing on compliance with corporate governance codes.*	*Practice: Provide case studies comparing the roles and interests of shareholders and debenture holders.*	*Practice: Engage in discussions on the role of institutional investors in mitigating risks and protecting shareholder interests.*	*Practice: Conduct case studies to develop analytical skills in assessing CSR programs and their outcomes.*	*Practice: Engage learners in discussions on corporate responsibility, human rights, and environmental considerations.*

Resources			
1	*Taxmann, Corporate Governance (Taxmann Publications Pvt. Ltd., 2015)*	2	*V. Balachandran, V. Chandraasekaran, Corporate Governance, Ethics and Social Responsibility (PHI Learning, 2011)*
3	*A.C Fernando et al., Corporate governance- Principles, policies and Practices (Pearson Education, 2018)*	4	*Sharma J.P, Corporate Governance and Social Responsibility of Business (Ane Books India, 2019)*
5	*Marc Moore et al., Corporate Governance: Law, Regulation and Theory: 1 (Corporate and Financial Law), (Red Global Press, 2017)*	6	*Bob Tricker, Corporate Governance: Principles, Policies and Practices (Oxford University Press, 2018)*

Rationale (CLR)	*The purpose of learning this course is to:*
CLR-1	*Equip learners with a comprehensive understanding of corporate governance dynamics by exploring historical evolution, theoretical frameworks, and practical applications.*
CLR-2	*Bridge foundational knowledge with intermediate concepts, focusing on shareholder dynamics, debenture investments, and stakeholder collaboration.*
CLR-3	*Equip learner with an in-depth understanding of intricate legal frameworks and governance structures that govern corporations.*
CLR-4	*Provide participants with comprehensive understanding of the key concepts like disclosure, transparency, corporate documents, and Corporate Social Responsibility, aiming to equip participants with the skills and perspectives needed to contribute responsibly to modern governance practices.*
CLR-5	*Elucidate the intricate regulatory frameworks shaping international trade and investment relations, with a particular focus on domestic investment law, cross-border investment law, and the protection of foreign investment within host states.*

Outcomes (CLO)	*At the end of this course, Students will be able to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
		1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
		Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLO-1	*Demonstrate a comprehensive understanding of corporate governance, integrating historical perspectives, theoretical frameworks, and practical applications.*	✓	✓		-	2	85	75	3	-	-	1	-	3	-	2	2	1	2	3
CLO-2	*Possess a well-rounded skill set suitable for real-world application and further exploration into advanced corporate finance topics.*	✓	✓	✓	-	2	85	75	3	2	1	2	2	3	-	1	2	1	2	3
CLO-3	*Demonstrate a thorough understanding of fiduciary duties and ethical considerations in corporate governance.*	✓	✓	✓	✓	3	85	75	3	1	3	1	3	3	-	1	1	2	1	3
CLO-4	*Exhibit a comprehensive understanding of corporate governance, transparency, and Corporate Social Responsibility (CSR), including the significance of disclosure tools like Memorandum and Articles of Association, the role of financial institutions in disclosure, and the evaluation of international CSR aspects through real-world case studies.*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	2	3	3	3	3
CLO-5	*Develop a nuanced understanding of corporate governance codes, gaining insights into international trade and investment regulations, analyze the role of International Economic Law, evaluating investment laws, and navigating issues of expropriation and human rights in international business contexts.*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	3	3	3	3	3

Assessment Method (Max. Marks: 100)												
		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
Level of Thinking		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Strategies					
Technology		Pedagogy / Andragogy		Sustainable Development	
Simulations		Clarification/Pauses		Good Health & Well Being	
Presentation Tools					
Learning ManagementSystem		Group Discussion		Quality Education	
		Hands-on Practice			
		Debate			
		Interactive Lecture			
		Brainstorming			

Designers					
Professional Experts		Higher Institution Experts		Internal Experts	
1	*Advocate Harishankar, Madras High Court*	1	*Dr. Sudhanshu Kumar, Associate Professor, NLSIU*	1	*Ms. Mariya Joseph, Assistant Professor, School of Law, SRM IST*

Code	PLCL24203T	Title	CORPORATE RESTRUCTURING – LAW AND PRACTICE	Category	Professional Core	L	T	P	C
						3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses		Co-requisite Courses		Progressive Courses		Data Book / Codes/Standards	

Title & Content	BASICS OF CORPORATE RE-STRUCTURING, MERGERS AND ACQUISITIONS	NEGOTIATION, DEAL STRUCTURING AND METHODS OF PAYMENT IN MERGERS AND ACQUISITIONS	LEGAL AND PROCEDURAL ROADMAP FOR MERGERS	VALUATION OF TARGET COMPANIES	LAW AND PROCEDURE OF TAKEOVER AND ACQUISITION
Duration (hour)	18	18	18	18	18
SLO-1	*Develop a foundational understanding of Corporate Restructuring: Meaning, Need and Scope*	*Attain a comprehensive understanding of the Structuring of transactions*	*Critically analyze and evaluate the Legal and Procedural Aspects of Mergers*	*Achieve a nuanced comprehension of Concept of Value of a Company*	*Demonstrate an advanced understanding of Corporate Demergers*
SLO-2	*Gain comprehensive knowledge of Modes of Corporate Restructuring*	*Develop expertise in managing legal aspects of regulatory approval*	*Demonstrate advanced expertise in dissecting intricate Accounting and Human Aspects of Mergers*	*Conduct advanced research on the Firm Valuation Models on Merger*	*Conduct a critical analysis of Reverse Mergers*
SLO-3	*Analyze the concept of Joint Ventures*	*Acquire proficiency in addressing and identity deal making in India*	*Conduct in-depth examinations of Taxation Aspects of Mergers*	*Develop expertise in scrutinizing Firm Valuation Models on Acquisition*	*Demonstrate a Comparative analysis of Procedural Aspects and Tax Implications*
SLO-4	*Tutorial: Analyze a recent case, discussing regulatory aspects and legal frameworks of Corporate structuring*	*Tutorial: Analyze cases, compare regulations for Negotiations and deal making in India*	*Tutorial: Engage students in an advanced workshop focusing on legal roadmap for Merger*	*Tutorial :Explore International Valuation of Companies and process*	*Tutorial : Explore legal frameworks and implications in a takeover situation*
SLO-5	*Practice: Students conduct an in-depth analysis of key features of different modes of Corporate restructuring*	*Practice: Organize a symposium where students present their research findings on payment modes in Mergers and Acquisitions*	*Practice: Organize a symposium for LLM students to present and discuss advanced legal research on various aspects of merger*	*Practice: Role-play different scenarios of comparison between domestic and international valuation model*	*Practice: Engage students in a detailed analysis of legal frameworks and implications, encouraging critical thinking and advanced understanding.*
SLO-6	*Practice: Participants conduct an in-depth analysis of various theories associated with Joint ventures in Indian legal scenario*	*Practice: Assign students different cases to study on Mergers and Acquisitions in India and abroad*	*Practice: Establish a research clinic for LL.M. scholars to investigate and analyze the evolving jurisprudence behind Applicability and Parties choice of merger and consequences*	*Practice: Conduct a mock where participants analyze valuation model for both M&A*	*Practice: Conduct a hands-on simulation where students formulate legal strategies for procedural aspects in mergers*
SLO-7	*Comprehend the legal aspects of Disinvestment and Slump Sale*	*Conduct legal analyses of issues related to methods of payment in M&A*	*Acquire proficiency in addressing and identify the Stamp Duty on Merger Transactions*	*Undertake comprehensive research on the DCF Model*	*Gain Insight of the Takeover and Acquisition*
SLO-8	*Assess the jurisprudential review of Financial Restructuring*	*Master the legal dimensions of stock transactions*	*Attain advanced proficiency in Rule of Post Merger Aspects*	*Conduct a critical assessment of the Comparable Company*	*Analyze the Takeover and Acquisition and Meaning, Concept and Types*
SLO-9	*Delve into the Uses of Concepts Buy Back, Reduction and Reorganization of Capital*	*Gain insights into the legal complexities in cash transactions*	*Demonstrate sophisticated skills in analyzing Rules of Reorganization and De-listing*	*Conduct advanced academic research on the Book Value*	*Explore the Legal and Procedural Aspects of Takeover*

SLO-10	*Tutorial: Simulate a hypothetical scenario to explore legal considerations for theories of financial restructuring*	*Tutorial: Assign scenarios to students to understand and discuss the cases where payments modes are challenged during M&A*	*Tutorial: Conduct a tutorial exploring sophisticated strategies for Merger process and its essential elements for validity*	*Tutorial – Dive into the legal intricacies of Law applicable to the Adjusted Book Value*	*Tutorial – An in-depth discussion on legal and procedural aspects of Merger*
SLO-11	*Practice: Groups delve into the cases of buy back and capital recognition in India*	*Practice: Establish a research forum where students delve into legal dimensions of difference in cash and stock transactions*	*Practice: Simulate International Mergers and challenging LLM students to navigate complex legal issues related to post merger*	*Practice: Organize a research symposium where students present findings on Enterprise Value*	*Practice: conduct an interactive workshop focusing on regulations on Acquisition process*
SLO-12	*Practice: Learners explore jurisdictional nuances through the critiques of Indian regulations for Financial Restructure*	*Practice: Conduct a review session where students explore the process of merger and Acquisitions*	*Practice: Thematic Legal Writing Workshop: Crafting Advanced Writings on Amalgamation as per AS-14*	*Practice: Engage in a hands-on workshop exploring practical applications of valuation of targeted companies*	*Practice: Organize a debate-style activity where students discuss and analyze concepts of takeover and merger in India and abroad*
SLO-13	*Explore the legal implications of Funding Options for Corporate Restructuring*	*Develop legal competence in Types of exchange of shares*	*Attain an expert-level understanding of the International Financial Reporting Standards (IFRS)*	*Undertake an in-depth exploration of the Valuation system*	*Examine the legal frameworks governing the Disclosures*
SLO-14	*Analyze the legal aspects of Venture Capital*	*Attain proficiency in legal frameworks for Basic Principles of Drafting of Merger Scheme*	*Exhibit advanced legal research competence, delving deeply into SEBI regulations on Takeovers in India (Takeover Code)*	*Perform an analytical study of the Concept of Three Stage growth model*	*Apply the Regulation of Open Offer and Exemptions*
SLO-15	*Comprehensive understanding of the Angel Investment and Private Equity*	*Develop awareness of elements in Drafting of Shareholders Agreement*	*Showcase an advanced appreciation of Role of Merchant Bankers in Mergers & Acquisition*	*Develop expertise in the Swap Ratio*	*Showcase advanced legal study of Due Diligence in Valuation*
SLO-16	*Tutorial: Facilitate a discussion of Capital funding system in India*	*Tutorial: Simulate an insight to exchange of shares during M&A*	*Tutorial: Facilitate a seminar guiding students through complex Amalgamation rules*	*Tutorial: Examine legal aspects of Valuation Practices in India*	*Tutorial: conduct a seminar focusing on Interest of Small Investors and Minorities in Corporate Restructuring*
SLO-17	*Practice: Students perform legal research to identify relevant legal precedents and case law in Venture capital and other funding options*	*Practice: Facilitate a roundtable discussion focused on elements in drafting Shareholders Agreement*	*Practice: International Dispute Resolution Symposium on International standards adopted by India*	*Practice: Simulate domain dispute resolution scenarios applying principles from the LBO, MBO and Indian Practice for valuation*	*Practice: Conduct a workshop exploring the legal implications of Corporate Insolvency*
SLO-18	*Practice: Participants engage in legal research to comprehend and compare the Funding options for corporate*	*Practice: Assign students to analyze Merger Process in India*	*Practice: Advanced Roundtable on SEBI regulations and Merchant Bankers*	*Practice: Participate in a legislative drafting challenge where students comprehend the companies valuation system across the globe*	*Practice: Engage students in an exercise highlighting legal considerations corporate restructure process*

Assessment	*Continuous Learning Assessment – 1*	*Continuous Learning Assessment – 2*
	Continuous Learning Assessment – 3	

Resources			
1	*Ramaiya, Guide to Companies Act (LexisNexis Butterworths, 2020)*	2	*M.C. Bhandari, Guide to Company Law Procedures (LexisNexis Butterworths, 2023)*
3	*Taxmann, Companies Act with Rules (Taxmann Publications Private Limited, 2023)*	4	*S K Kataria, Bloomsbury's Company Law Manual - The Companies Act, 2013 with Rules and Ready Referencer (Bloomsbury Publishing, 2023)*
5	*Sridharan and Pradhan, Guide to Takeovers and Mergers (LexisNexis,2017)*	6	*ICSI Handbook on Mergers Amalgamations and Takeovers (Latest Eds.)*
7	*K.R. Sampath, Law and Procedure for Mergers/Amalgamations, Takeovers, Joint Ventures, LLPs and Corporate*	8	*S. Ramanujam, Mergers et al (LexisNexis, 2019)*

	Restructure (Snow White Publications, 2018)		
9	Ray, Kamal Ghosh, Mergers and Acquisitions Strategy, Valuation and Integration (PHI, 2023)	10	

Rationale (CLR)	The purpose of learning this course is to:
CLR-1	Provide learners with advanced expertise in understanding all aspects and intricacies of law and practical issues related to Corporate Restructuring. Elevate learners' legal proficiency specifically in Valuation and Insolvency, recognizing its technical nature and the need for continuous refinement due to legal and business developments.
CLR-2	Provide learners with advanced expertise in understanding all aspects and intricacies of law and practical issues related to Corporate Restructuring. Elevate learners' legal proficiency specifically in Valuation and Insolvency, recognizing its technical nature and the need for continuous refinement due to legal and business developments
CLR-3	Provide learners with advanced expertise in understanding all aspects and intricacies of law and practical issues related to Corporate Restructuring.
CLR-4	Enable learners to master anti-takeover strategies, which are crucial for preventing hostile acquisitions and safeguarding corporate interests. Enable learners to master anti-takeover strategies, which are crucial for preventing hostile acquisitions and safeguarding corporate interests.
CLR-5	Develop learners' analytical skills through the analysis of real-world cases, enabling them to identify the need for corporate restructuring in different business scenarios.

Outcomes (CLO)	At the end of this course, Students will be able to:	Depth				Attainment			Program Learning Outcomes (PLO)											
		1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
		Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLO-1	Demonstrate a comprehensive understanding of the legal, accounting, and practical aspects of corporate restructuring, including the need for reconstruction, relevant Company Law provisions, and various methods of internal reconstruction with corresponding legal and accounting treatments.	✓	✓		-	2	85	75	3	-	-	1	-	3	-	2	2	1	2	3
CLO-2	Achieve advanced legal proficiency spanning diverse domains, including the ability to distinguish between domestic and international Merger and Acquisition processes.	✓	✓	✓	-	2	85	75	3	2	1	2	2	3	-	1	2	1	2	3
CLO-3	Illustrate the accounting treatment related to mergers and purchases, including the computation of purchase consideration and understanding the nature of merger accounting.	✓	✓	✓	✓	3	85	75	3	1	3	1	3	3	-	1	1	2	1	3
CLO-4	Achieve proficiency in solving problems related to Standard Costing and Responsibility Accounting, while also gaining insights into designing production systems.	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	2	3	3	3	3
CLO-5	Identify and analyze changes in both internal and external aspects of reorganization, gaining a holistic view of the impacts and strategies involved.	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	3	3	3	3	3

Designers

	Professional Experts		Higher Institution Experts		Internal Experts
1	Anant Merathia, Managing Partner, Anant Merathia & Associates, Chennai	1	Rahul Singh, Associate Professor, NLSIU.	1	Mr. Partheeswaran, Assistant Professor, School of Law, SRM IST
2		2			

Code	PLCL24204T	Title	SECURITIES REGULATION AND COMPLIANCE	Category	Professional Core	L	T	P	C
						3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses		Co-requisite Courses		Progressive Courses		Data Book / Codes/Standards	

Assessment Method (Max. Marks: 100)

Level of Thinking		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Strategies					
Technology		*Pedagogy / Andragogy*		*Sustainable Development*	
Simulations	▯	*Clarification/Pauses*	▯	*Good Health & Well Being*	▯
Presentation Tools					
Learning Management System		*Group Discussion*	▯	*Quality Education*	▯
		Hands-on Practice	▯		
		Debate	▯		
		Interactive Lecture	▯		
		Brainstorming	▯		

Title & Content	INTRODUCTION TO SECURITIES AND SECURITIES MARKET	INVESTMENT CAPITAL, INVESTOR BEHAVIOR AND SECONDARY MARKET REGULATION	REGULATIONS RELATING TO MARKET INTERMEDIARIES	LAW RELATING TO TRANSFER OF SECURITIES	CIVIL AND CRIMINAL LIABILITY RELATING TO SECURITIES TRANSACTIONS
Duration (hour)	18	18	18	18	18
SLO-1	*Explore securities and the capital market, including the key roles they play in the global financial system.*	*Grasp the fundamental concepts of investor protection, exploring the regulatory frameworks and mechanisms in place to safeguard investors' rights and interests within the financial markets.*	*Analyze the regulatory framework established by the Ministry of Corporate Affairs (MCA) in governing corporate entities.*	*Comprehend the various regulatory bodies and frameworks governing securities purchases, such as the SEBI in the India or equivalent entities in other jurisdictions.*	*Gain an understanding of the legal frameworks and regulations governing UTPs in their jurisdiction.*
SLO-2	*Gain a profound knowledge about various types of securities, such as stocks, bonds, and derivatives, and their characteristics.*	*Explore the intricacies of investment risk, providing with tools and strategies to identify, assess, and manage various types of risks associated with different investment instruments.*	*Analyze and interpret key provisions of the Companies Act, 2013, and subsequent amendments, ensuring a thorough grasp of corporate compliance requirements.*	*Gain the ability to recognize and understand insider trading practices, including the legal definitions, consequences, and measures taken to prevent such activities.*	*Examine the consumer rights and the importance of protecting consumers from deceptive business practices.*

SLO-3	*Explore the diverse landscape of the capital market, identifying the different market structures and the roles played by various participants, including investors, issuers, and intermediaries.*	*Gain insights into the legal frameworks governing investor protection, including the examination of securities laws, regulations, and the role of regulatory bodies in maintaining market integrity.*	*Equip participants with the knowledge to navigate the intricate processes of company registration, incorporation, and compliance with MCA guidelines.*	*Analyze different types of trading restrictions, such as blackout periods, trading windows, and other regulatory limitations imposed on individuals with access to non-public information.*	*Explore how UTPs can harm consumers and erode trust in the marketplace*
SLO-4	*Tutorial: Engage in discussions on regulatory bodies governing securities and capital markets, and rules and compliance requirements.*	*Tutorial: Comprehend and navigate market risks, encompassing systematic and unsystematic risks, market volatility, and the impact of economic factors on investment portfolios.*	*Tutorial: Develop a nuanced understanding of corporate governance principles mandated by the MCA, promoting ethical business practices and transparency.*	*Tutorial: Develop a comprehensive understanding of market manipulation techniques and the regulations in place to prevent and penalize such activities.*	*Tutorial: Analyze real-world examples and case studies of companies engaging in Unfair Trade Practices.*
SLO-5	*Practice: Analyze and assess the risk and return associated with different securities, enabling them to make informed investment decisions.*	*Comprehend disclosure requirements for financial instruments, exploring how transparency and timely dissemination of information contribute to informed decision-making and investor confidence.*	*Explore the evolving regulatory landscape, staying updated on recent changes and amendments introduced by the MCA to adapt to dynamic business environments.*	*Explore the connection between securities purchases and anti-money laundering efforts.*	*Explore strategies for ethical decision-making and responsible business conduct to avoid engaging in Unfair Trade Practices*
SLO-6	*Practice: Explore the distinction between primary and secondary markets providing insights into how securities are issued and traded in these markets.*	*Practice: Elucidate the critical role of corporate governance in protecting investor interests, examining how effective governance structures enhance transparency, accountability, and ethical business practices.*	*Practice: Filing various statutory documents with the MCA, enhancing participants' practical skills in compliance management.*	*Practice: Comprehend the concept of share warrants are, including their purpose and how they differ from other financial instruments like stocks or options.*	*Practice: Identify the role and functions of SFIO in investigating serious financial frauds*
SLO-7	*Practice: Through exercises, equip participants with knowledge about equities, market indices, and the factors influencing stock prices.*	*Practice: Develop an understanding of common investment scams and frauds, learning how to recognize warning signs and protect themselves and their clients from potentially fraudulent activities.*	*Practice: Acquire knowledge about the MCA's role in overseeing the functioning of regulatory bodies such as the National Company Law Tribunal (NCLT) and Appellate Tribunal (NCLAT).*	*Practice: Identify and analyze the key features of share warrants, such as the expiration date, exercise price, and the rights associated with holding warrants.*	*Practice: Comprehend the regulatory framework governing the issuance of securities and the role of prospectuses in various jurisdictions.*
SLO-8	*Examine the bond market, various types of bonds, their valuation, and the impact of interest rates on bond prices.*	*Acquire ethical considerations in investment practices, emphasizing the importance of maintaining integrity, honesty, and ethical behavior in dealings with clients and stakeholders.*	*Comprehend the significance of MCA initiatives like the Corporate Social Responsibility (CSR) framework, promoting responsible business practices.*	*Assess the risks and rewards associated with investing in share warrants.*	*Explore and examine instances of misrepresentation in prospectus content, including material omissions, false statements, or misleading information*

SLO-9	*Explore the concept of derivatives, including futures and options, and their role in managing risk and speculation.*	*Comprehend the significance of investor education in empowering individuals to make informed investment decisions, promoting financial literacy, and reducing vulnerability to financial exploitation.*	*Examine the essence of MCA's efforts to digitize processes, enabling to leverage online platforms for seamless compliance and reporting.*	*Explore how share warrants can be used in financial strategies, including hedging, speculation, and income generation.*	*Critically analyze financial information, legal disclosures, and other relevant sections to identify potential red flags.*
SLO-10	*Tutorial: Explore the complexities of the foreign exchange market, including exchange rates, currency pairs, and the factors influencing currency values.*	*Tutorial: Explore the concept of the risk-return tradeoff, understanding how different investment strategies balance risk and potential returns to align with investors' risk tolerance and financial goals.*	*Tutorial: Equip participants with the skills to interpret MCA notifications and circulars, enabling them to stay abreast of regulatory changes that impact corporate operations.*	*Tutorial: Examine the regulatory framework governing share warrants and the legal aspects related to their issuance and trading.*	*Tutorial: Explore the importance of ethical decision-making in financial institutions and the role of compliance measures in preventing fraudulent activities*
SLO-11	*Practice: Gain hands-on experience in examining various bond types, valuing bonds amidst interest rate changes*	*Practice: Examine the responsibilities and ethical obligations of financial advisors in protecting the interests of their clients, emphasizing the importance of clear communication and fiduciary duty.*	*Practice: Explore the implications of MCA's initiatives in promoting ease of doing business, fostering a business-friendly environment in the country.*	*Practice: Deduce the concept of forfeiture of shares, demonstrating a clear understanding of the circumstances under which shares may be forfeited.*	*Practice: Deduce the importance of complying with legal standards and ethical considerations to avoid contractual disputes and tortious liabilities.*
SLO-12	*Practice: Explore current trends and innovations in capital markets, such as financial technology (fintech) and blockchain technology.*	*Practice: Gain insights into global perspectives on investor protection, understanding the variations in regulatory frameworks and best practices across different financial markets and jurisdictions.*	*Practice: Grasp the MCA's role in facilitating corporate restructuring, mergers, and acquisitions, enhancing their strategic decision-making capabilities.*	*Practice: Identify and analyze the various reasons for the forfeiture of shares, such as non-payment of calls, breach of terms, or other stipulated conditions, and recognize the legal implications of these actions.*	*Practice: Identify key stakeholders involved in insider trading, such as insiders, tippees, and market participants.*
SLO-13	*Comprehend the concept of market efficiency will be explored, along with discussions on market anomalies and their implications for investors.*	*Explore the impact of technological advancements on investor security, addressing cybersecurity concerns and discussing measures to protect sensitive financial information in the digital age.*	*Examine the MCA's role in the resolution of corporate disputes and the functioning of the Insolvency and Bankruptcy Code.*	*Gain the ability to apply accounting principles related to the forfeiture of shares, including the treatment of forfeited shares in the company's books, understanding the impact on share capital, and recognizing how to reflect these changes in financial statements*	*Explore the regulatory framework governing insider trading in relevant jurisdictions.*

SLO-14	*Analyse behavioral finance principles thereby recognizing psychological factors influencing market behavior.*	*Comprehend effective risk diversification strategies, including the importance of asset allocation, portfolio diversification, and risk mitigation techniques to optimize investment outcomes.*	*Comprehend the role and functions of SEBI in regulating the securities market in India, gain an understanding of SEBI's authority and powers in overseeing various market participants.*	*Comprehend the legal procedures involved in the forfeiture of shares, including the rights and obligations of both the company and the shareholders, and the steps that need to be taken to affect the forfeiture in compliance with relevant laws and regulations.*	*Analyze the elements necessary for establishing criminal liability in insider trading cases, considering factors like intent, materiality of information, and the connection between the insider and the traded securities.*
SLO-15	*Gain insights into cultural finance and capital markets, understanding the principles and practices adhered to in cultural financial systems.*	*Analyze instances of investor protection successes and failures, applying theoretical knowledge to practical situations and honing their decision-making skills.*	*Gain a profound understanding of the role and responsibilities of a stock broker.*	*Analyze the perspectives of both shareholders and the company in the context of share forfeiture, understanding how the process can protect the interests of the company while considering the implications for shareholders and their investment in the company.*	*Comprehend the range of penalties and consequences associated with insider trading convictions, such as fines, imprisonment, disgorgement of profits, and potential civil liabilities.*
SLO-16	*Tutorial: Equip participants with a thorough understanding of socially responsible investing, emphasizing the strategic integration of environmental, social, and governance (ESG) factors in investment decisions for fostering adjective-aligned and sustainable financial choices.*	*Tutorial: Examine the significance of regulatory compliance in building and maintaining investor trust, exploring how adherence to laws and regulations fosters a secure and reliable investment environment.*	*Tutorial: Examine the role and significance of NSDL in the financial markets and how NSDL facilitates the electronic holding and transfer of securities.*	*Tutorial: Examine the fundamental concept of Securities Transaction Tax, including its purpose, scope, and applicability in financial market.*	*Tutorial: Recognize and identify various forms of insider trading activities, such as trading based on non-public information, tipping, and other related offenses.*
SLO-17	*Practice: Deduce how to use derivatives for hedging against risks and for speculative purposes, enhancing their risk management skills.*	*Practice: Analyse behavioral finance, understanding how psychological factors influence investor decision-making and exploring strategies to mitigate the impact of cognitive biases on investment choices.*	*Practice: Engage in activities focusing on exploring the role of credit rating agencies in the financial markets..*	*Practice: Gain a comprehensive understanding of the legal framework governing Securities Transaction Tax, including relevant laws, regulations, and amendments. should be able to identify the compliance requirements for market to ensure adherence to STT regulations.*	*Practice: Examine the role of corporate governance in preventing insider trading within organizations.*

SLO-18	*Practice: Comprehend about various portfolio management strategies, enabling to construct and optimize investment portfolios based on their financial goals and risk tolerance.*	*Practice: Comprehend dynamic nature of financial markets and the need for continuous learning and adaptation to evolving regulatory landscapes, market trends, and emerging risks in order to stay informed and make effective investment decisions.*	*Practice: Identify the key functions and responsibilities of credit rating agencies*	*Practice: Through case studies examine the ability to analyze and contrast the tax implications of slump sale with other modes of transferring assets, like asset sales or share transfers.*	*Practice: Examine the importance of compliance programs, codes of conduct, and disclosure requirements for companies to adhere to SEBI regulations.*

Resources			
1	**Prescribed Books** *Sumit Agarwal and Robin J. Baby, The SEBI Act, 1992, Taxmann (2011)*	2	**Books for Reference** *Taxmann : SEBI Manual*
3	*E. Gordon &: Capital Market in India; Himalaya Publishing House, Ramdoot, K.Natarajan, Dr. Bhalerao Marg*	*4*	*Stephen M. Bainbridge, Securities Laws Insider Trading, Foundation Press (2009)*
5.	*Sanjeev Aggarwal: Guide to Indian Capital Market; Bharat Law House, 22, Tarun Enclave, Pitampura*	*6.*	*James D. Cox et al., Securities Regulation Cases and Materials, Aspen (6th Ed., 2009)*
7.	*S. Suryanarayanan &: SEBI – Law, Practice & Procedure; Commercial Law Publishers (India)*	*8.*	*John C. Coffee, Jr. and Hillary A. Sale, Securities Regulation, Thomson West (11th Ed., 2010)*
9.	*Shashi K Gupta: Financial Institutions and Markets ; Kalyani Publishers,*	*10.*	*Larry D. Soderquist and Theresa Gabaldon, Securities Law: Concepts and Insights Series, Foundation Press (2007)*

Rationale (CLR)	*The purpose of learning this course is to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
CLR-1	*Equip students to evaluate the laws and regulations governing securities, which are essential for individuals and entities involved in financial markets.*	1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
CLR-2	*Enable students to deduce the Law of Securities including an examination of enforcement mechanisms, involving an understanding of how regulatory bodies monitor and enforce compliance, investigate violations, and take legal action when necessary..*	Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLR-3	*Equip students to examine laws, rules, and regulations that govern the activities of brokers, dealers, investment advisers, and other market intermediaries in the financial industry.*																			
CLR-4	*Equip students to analyze and evaluate securities transactions to identify potential civil and criminal liabilities.*																			
CLR-5	*Equip students to examine laws, rules, and regulations that govern the activities of brokers, dealers, investment advisers, and other market intermediaries in the financial industry.*																			
Outcomes (CLO)	*At the end of this course, Students will be able to:*																			
CLO-1	*Demonstrate an understanding of the fundamental principles and concepts of securities and the securities market, including the types of securities, their characteristics, and their role in the financial system*	✓	✓		-	2	8	7	3	-	-	1	-	3	-	2	2	1	2	3
CLO-2	*Analyze the impact of investor behaviour on financial markets, including the factors influencing investment decisions, the role of psychological biases, and the implications for market efficiency and stability.*	✓	✓	✓	-		5	5	3	2	1	2	2	3	-	1	2	1	2	3
CLO-3	*Comprehend the regulatory framework governing market intermediaries including brokers, dealers, investment advisers, and other financial entities, and their obligations to maintain compliance with relevant laws and regulations.*	✓	✓	✓	✓	3	85	75	3	1	3	1	3	3	-	1	1	2	1	3
CLO-4	*Apply legal principles to real-world scenarios involving the transfer of securities, including issues related to fraud, forgery, and unauthorized transfers*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	2	3	3	3	3
CLO-5	*Analyze and evaluate the legal principles governing civil and criminal liability in securities transactions*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	3	3	3	3	3

Assessment Method (Max. Marks: 100)

Level of Thinking		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Strategies					
Technology		Pedagogy / Andragogy		Sustainable Development	
Simulations	✓	Clarification/Pauses	✓	Good Health & Well Being	✓
Presentation Tools					
Learning ManagementSystem		Group Discussion	✓	Quality Education	✓
		Hands-on Practice	✓		
		Debate	✓		
		Interactive Lecture	✓		
		Brainstorming	✓		

Designers					
Professional Experts		Higher Institution Experts		Internal Experts	
1	*Mr. Akash Bajaj, Khaitan & Co.*	1	*Dr. S. Mohammed Azaad, TNNLU*	1	*Mr. Gyanendra Kumar, Assistant Professor, School of Law, SRM IST*

Code	PLCL24301T	Title	LEGAL RESEARCH METHODOLOGY	Category	Professional Core	L	T	P	C
						3	1	2	5

Course Offering Department	School of Law	Prerequisite Courses		Co-requisite Courses		Progressive Courses		Data Book / Codes/Standards	

Title & Content	LEGAL EDUCATION	TEACHING AND EXAMINATION	RESEARCH	RESEARCH PROCESSES	ANALYSIS AND REPORT WRITING
Duration (hour)	18	18	18	18	18
SLO-1	*Comprehend the historical evolution of legal education from ancient times to the present day, including key milestones.*	*Comprehend various methods of teaching used in legal education, including their objectives, principles, and application in different contexts.*	*Comprehend the meaning and purpose of research in the field of law.*	*Comprehend the importance of conducting a comprehensive review of existing literature.*	*Comprehend the principles of statistical analysis and its application to legal research.*
SLO-2	*Examine the primary objectives of legal education, including preparing students for the legal profession, promoting critical thinking and analytical skills, fostering ethical values and professionalism, and advancing justice and the rule of law.*	*Examine the concept of problem method of teaching as an active learning approach, that involves presenting students with real-life legal problems to solve.*	*Examine and articulate the objectives of legal research.*	*Examine the formulation of research design such as experimental, correlation, descriptive, and qualitative designs that aligns with their research objectives, questions, and methodology.*	*Explore the interpretation of collected data.*
SLO-3	*Analyze the key provisions and standards outlined in the Part IV of the Bar Council of India Regulations 2008, pertaining to legal education and training requirements for aspiring lawyers.*	*Comprehend the concept of seminar method of teaching, which involves students conducting research, presenting findings, and engaging in scholarly discourse on specific legal topics.*	*Analyze the different types of research methodologies employed in legal and research, including qualitative, quantitative, mixed-methods, doctrinal, empirical, and comparative research.*	*Examine various methods of data collection used in legal research, including surveys, interviews, observations, document analysis, and archival research.*	*Explore and interpret the results of statistical analysis and evaluate their implications for legal theory, practice, and policymaking.*
SLO-4	*Tutorial: Conduct an in-depth analysis and discussion on the evolution and objectives of legal education.*	*Tutorial: Conduct an in-depth analysis and discussion on the* strengths, limitations, and suitability of each teaching method for enhancing student learning and engagement.	*Tutorial: Conduct an in-depth discussion on different types of research methodologies employed in legal research.*	*Tutorial: Conduct an in-depth discussion on review of literature and research design.*	*Tutorial: Conduct an in-depth discussion on statistical analysis.*
SLO-5	*Practice: Discuss the factors shaping the evolution of legal education, such as societal needs, technological advancements, globalization, and legal reforms.*	*Practice: Discuss how the problem method promotes critical thinking, problem-solving skills, and practical application of legal principles.*	*Practice: Discuss the role of research in generating new knowledge, addressing questions, and solving problems in the legal field and society at large.*	*Practice:* Engage in hands-on exercise by reviewing the existing literature and identifying the research gap.	*Practice: Discuss statistical analysis including data collection, organization, summarization, and interpretation.*

SLO-6	*Practice: Examine the effectiveness of legal education in developing practical legal skills and gaining real-worldexperience.*	*Practice: Discuss how the seminar method promotes independent research,oral presentation skills, and critical analysis of legal literature.*	*Practice: Discuss the characteristics, strengths, and limitations of each research type and their suitability for different research objectives and contexts.*	*Practice:* Engage in hands-on exercise by formulating *research designs for identified legal issues.*	*Practice: Discuss the various methods of interpretation of data collection.*
SLO-7	*Comprehend the role of the University Grants Commission (UGC) in regulating and overseeing higher education, including legal education, in India.*	*Comprehend the concept of discussion method which involves interactive exchanges among students and between students and instructors to explore legal concepts, cases, and issues.*	*Comprehend the social science research methods and their application to legal studies, including approaches such as surveys, interviews, case studies, content analysis, and ethnography.*	*Examine the quantitative methods of datacollection*	*Comprehend the concept of hypothesis testing and its role in scientific inquiry and empirical research.*
SLO-8	*Examine the role of the state government, judiciary, legal profession, and otherinstitutions in shaping legal education policies, standards, and practices.*	*Analyze the concept of Socratic method which is characterized by a dialogue between instructor and students those challenges assumptions, promotes critical thinking, and encourages students to articulate and defend their viewpoints.*	*Examine distinct characteristics of legal research, including its focus on legal norms, principles, doctrines, and institutions.*	*Examine the qualitative methods of datacollection.*	*Examine how to write research reports that effectively communicate the findings of their research projects.*
SLO-9	*Analyze the impact of UGC, state government and other institutions on legal education.*	*Comprehend the concept of Case law method of teaching, which involves studying legal cases in-depth to understand legal principles, doctrines, and precedents.*	*Gain an understanding of socio legal research which integrates legal analysis with insights from social sciences to examine the impact of law on society and vice versa.*	*Analyze sampling techniques used in legal research, including probability and non-probability sampling methods.*	*Explore the principles of clarity, coherence, and accuracy in report writing.*
SLO-10	*Tutorial: Conduct in-depth discussions on the role of UGC and other institutions in promoting legal education.*	*Tutorial: Conduct in-depth discussions on different methods of teaching.*	*Tutorial: Conduct in-depth discussions on socio legal research.*	*Tutorial – Conduct in-depth discussions on the strengths, limitations, and ethical considerations associated with each data collection method and select the most suitable approach based on their research objectives and constraints.*	*Tutorial – Conduct in-depth discussions on each hypothesis testing and select the most appropriate test based on their research design and objectives.*
SLO-11	*Practice: Discuss the contributions and responsibilities of these entities in promoting quality legal education, access to justice, and professional development.*	*Practice: Discuss how the discussion method fosters collaboration, communication skills, and deeper understanding of legal principles through peer learning.*	*Practice: Discuss how social science research methods can be used to investigate legal phenomena, understand human behavior, and inform legal policymaking.*	*Practice:* Engage in hands-on exercise by collecting data for identified legal issues by employing qualitative data collection	*Practice: Engage in* hands-on exercise by testing the formulated hypotheses

SLO-12	*Practice: Discuss the gaps and challenges in promoting quality legal education including outdated curriculum ,ethical and professionalism deficits .*	*Practice: Discuss the effectiveness of the Socratic method in fostering intellectual curiosity, analytical skills, and confidence in legal reasoning.*	*Practice: Discuss how socio-legal research methods can be used to explore complex legal issues, understand legal processes, and evaluate the effectiveness of legal reforms.*	*Practice:.*Engage in hands-on exercise by collecting data for identified legal issues by employing qualitative data collection	*Practice: Engage in hands-on exercise by* writing research reports.
SLO-13	*Comprehend the recommendations on legal education made by the National Knowledge Commission (NKC), a high- level advisory body to the Government ofIndia.*	*Comprehend various methods of examination and evaluation used in legal education, including written exams, essays, presentations, and practical assessments.*	*Comprehend the process of identifying research gaps, formulating research problems based on theoretical and practical considerations.*	*Comprehend the various sources of* legal material including statutes, subordinate legislation, notifications, policy statements. decisional material, foreign Judgments, legal databases.	*Comprehend and analyze the common statistical and experimental tests used in legal research, including the chi square test, T test ,Anova tests*
SLO-14	*Analyze the findings and recommendations of the 184th Report of the Law Commission of India (LCI) on legal education reforms.*	*Explore extension activities such asclinical programs, legal aid clinics, legal literacy initiatives, and participation in lawreforms.*	*Comprehend the concept of hypothesis in research, including its role in formulating testable propositions and guiding empirical investigations.*	*Analyze the importance of the reports of various commissions and committees in legal research.*	*Examine the ethical principles and standards governing research conduct, including honesty, integrity, objectivity, and respect for human subjects.*
SLO-15	*Analyze and examine the recommendations of various committees and commissions tasked with reviewing and reforming legal education in India.*	*Comprehend various law reforms related to legal education.*	*Comprehend the formulation of clear, specific, research questions, that align with the objectives and scope of the research project.*	*Identify and analyze the challenges involved in the research process.*	*Identify the ethical considerations in legal research, such as informed consent, confidentiality, conflicts of interest, and data integrity.*
SLO-16	*Tutorial: Conduct an in-depth discussion on the Law Commission of India's recommendations and their potential impact on legal education policy, curriculum development, and institutionalgovernance.*	*Tutorial: Conduct an in-depth discussion on the* various *assessment and evaluation methods suited for the legal field.*	*Tutorial:* Conduct an in-depth discussionon identifying research gaps, formulating hypothesis and framing research questions	*Tutorial:* Conduct an in-depth discussion on primary legal sources to understand legal principles, doctrines, and precedents relevant to their research topic.	*Tutorial: Conduct in-depth discussions on the structure and components of research reports, including theintroduction, literature review, methodology, results, discussion, and conclusion sections.*
SLO-17	*Practice: Discuss the implementation challenges of the recommendations of the Law Commission of India and their implications for legal education stakeholders.*	*Practice: Discuss the principles of fair assessment, feedback mechanisms, and strategies for promoting academic integrity and rigor.*	*Practice:* Engage in hands-on exercise by framing the hypothesis for any legal issues	*Practice: Discuss various stages of research including the review of literature, research design.*	*Practice: Discuss various ethical principles associated with legal research.*

SLO-18	*Practice: Discuss the implementation challenges of National Knowledge Commission recommendations.*	*Practice: Discuss the role of extension activities in bridging the gap between theory and practice, promoting access to justice, and fostering social responsibility among law students.*	*Practice:* Engage in hands-on exercise by framing *the research question for* any legal issues	*Practice: Discuss the various stages of research including data collection methods, sampling techniques, and sources of legal and decisional material.*	*Practice:* Engage in hands-on exercise by conducting the research related to the identified legal area.

Resources			
1	*WilliamJ. Goode and Paul K. Hatt, Methods in Social Research (2017)*	2	*Adam Podgorecki, Law and Society, Routledge & Kagal Paul,London, 1974*
3	*Prof.P. IshwaraBhat, Idea and Methods of Legal Research (2019)*	4	*Anwarul Yaqin, Legal Research and Writing Methods (2008)*
5	*S.K.Verma, M. Afzal Wani, Legal Research and Methodology, Indian LawInstitute (2010)*		

Rationale (CLR)	*The purpose of learning this course is to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
CLR-1	*To acquire a foundational understanding of the evolution of legal education, including the objectives outlined by the Bar Council of India (BCI) Regulations of 2008, as well as the roles of various institutions such as the University Grants Commission (UGC), the State, and other relevant bodies, also, the 184th report of National Knowledge Commission (NKC) on Legal Education, offer insights into the challenges faced by the legal education system and proposed reforms to address them.*	1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
CLR-2	*Equip participants with various methods of teaching, including the Problem Method, Discussion Method, Seminar Method, Socratic Method, and Case Method, as well as techniques for examination and evaluation. Additionally, participants will explore extension activities such as clinical work, legal aid, legal literacy, andinvolvement in law reforms.*																			
CLR-3	*Equip students to examine laws, rules, and regulations that govern the activities of brokers, dealers, investment advisers, and other market intermediaries in the financial industry.*																			
CLR-4	*Equip students to analyze and evaluate securities transactions to identify potential civil and criminal liabilities.*																			
CLR-5	*Equip students to examine laws, rules, and regulations that govern the activities of brokers, dealers, investment advisers, and other market intermediaries in the financial industry.*																			
Outcomes (CLO)	*At the end of this course, Students will be able to:*	Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLO-1	*Gain a foundational understanding of the evolution, objectives, regulations, and stakeholders involved in legal education in India. Develop a comprehensive understanding of legal education policies, standards, and reforms in India, enabling them to contribute effectively to the advancement of legal education and the legal profession.*	✓	✓		-	2	8	7	3	-	-	1	-	3	-	2	2	1	2	3
CLO-2	*Gain comprehensive understanding of effective teaching methods, assessment practices, and extension activitiesin legal education, also enhance learning, engagement, and professional development in the field of law.*	✓	✓	✓	-	2	5	5	3	2	1	2	2	3	-	1	2	1	2	3
CLO-3	*Enhance their research skills and capabilities, enabling them to conduct rigorous and impactful research in their respective fields of study or professional practice.*	✓	✓	✓	✓	3	85	75	3	1	3	1	3	3	-	1	1	2	1	3
CLO-4	*Critically evaluate existing literature, design and execute research projects, and contribute to the advancement of knowledge in the legal field. Navigate the complexities of research design, data collection, and analysis, as well as effectively utilize legal materials and other relevant sources to produce high-quality research outputs.*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	2	3	3	3	3
CLO-5	*Develop advanced research skills and competencies necessary for conducting rigorous and ethically sound legalresearch. Equipped to analyze legal data, test research hypotheses, communicate research findings, and contribute to evidence-based decision-making in the legal profession and academia.*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	3	3	3	3	3

Assessment Method (Max. Marks: 100)		
	Continuous Learning Assessment (CLA 40% Weightage)	Final

Level of Thinking		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities		Exam	
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Strategies					
Technology		Pedagogy / Andragogy		Sustainable Development	
Simulations	✓	Clarification/Pauses	✓	Quality Education	✓
Presentation Tools	✓	Case studies	✓	Decent Work and EconomicGrowth	✓
Learning ManagementSystem	✓	Group Discussion	✓	Reduced Inequalities	✓
		Group task	✓		
		Debate	✓		
		Interactive Lecture	✓		

Designers					
Professional Experts		Higher Institution Experts		Internal Experts	
1		1	*Dr. Reddivari Revathi* (Former HOD, Department of constitutional law , in School of Excellence in Law)	1	*Dr.Sreelatha, Associate Professor, School of Law, SRM IST*

Code	PLCL24302T	Title	LAW RELATING TO DERIVATIVE CONTRACTS	Category		Professional Core	L	T	P	C
							3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses		Co-requisite Courses		Progressive Courses		Data Book / Codes/Standards	

Title & Content	INTRODUCTION TO DERIVATIVES	PRICING OF FUTURES AND OPTIONS	TRADING CLEARING AND SETTLEMENT OF OPTIONS AND FUTURES	HEDGING AND STOCK INDEX FUTURES	FINANCIAL DERIVATIVES MARKET IN INDIA
Duration (hour)	18	18	18	18	18
SLO-1	*Develop a foundational understanding of Derivatives*	*Attain a comprehensive understanding of the Pricing of the future Options and Swaps*	*Critically analyze and evaluate the concept of settlement of Options and Futures*	*Achieve a nuanced comprehension of diverse regulatory frameworks Hedging and Stock Index Futures*	*Demonstrate an advanced understanding of Financial Derivatives Market in India*
SLO-2	*Gain comprehensive knowledge of Features of a Financial Derivative exploring its scope*	*Develop expertise in managing legal aspects of Concept of Options and Types of Options*	*Demonstrate advanced expertise in dissecting intricate Financial Futures Contracts*	*Conduct advanced research on the international legal regime on different concepts and Perfect Hedging Model*	*Conduct a critical analysis of Need for Derivatives*
SLO-3	*Analyze the Types of Financial Derivatives*	*Acquire proficiency in addressing and identity Option Valuation process*	*Conduct in-depth examinations of Types of Financial Futures Contract*	*Develop expertise in scrutinizing domestic legal regimes on Basic Long and Short Hedges*	*Demonstrate advanced proficiency in implementing Major Recommendations of Dr. L.C. Gupta Committee*
SLO-4	*Tutorial: Analyze a recent case, discussing regulatory aspects and legal frameworks in Types of Financial Derivatives*	*Tutorial: Analyze cases, compare regulations for Option Positions Naked and Covered Option*	*Tutorial: Engage students in an advanced workshop focusing on Future Financial Contracts*	*Tutorial : Explore international and domestic legal regimes Cross Hedging*	*Tutorial : Explore legal frameworks and implications in an advanced tutorial on Evolution of Derivatives in India*
SLO-5	*Practice: Students conduct an in-depth analysis of key features of derivatives and its scope,*	*Practice: Organize a symposium where students present their research findings on options and valuation process*	*Practice: Organize a symposium for LLM students to present and discuss advanced legal research on options and future financial contracts*	*Practice: Role-play different scenarios to apply the Indian framework on Hedging and its classifications*	*Practice: Engage students in a detailed analysis of legal frameworks and implications, encouraging critical thinking and advanced understanding.*
SLO-6	*Practice: Participants conduct an in-depth analysis of types of financial derivatives and its nature*	*Practice: Assign students different topics of options and swaps for a discussion*	*Practice: Establish a research clinic for LL.M. scholars to investigate and analyze the evolving jurisprudence behind Futures and its categories*	*Practice: Conduct a mock where participants analyze real-world cases Hedging in India and other nations*	*Practice: Conduct a hands-on simulation where students formulate legal strategies for Financial Derivatives in India and its advancement in India*

SLO-7	*Comprehend the legal aspects of Basic Financial derivatives*	*Conduct legal analyses of issues related to Underlying Assets in Exchange*	*Acquire proficiency in addressing and identify the Evolution of Futures Market in India*	*Undertake comprehensive research on the Basis Risk and Hedging*	*Navigate the legal aspects of Strengthening of Cash Market*
SLO-8	*Assess the History of Derivatives Markets*	*Master the legal dimensions of Traded Options*	*Attain advanced proficiency in Traders in Futures Market in India*	*Conduct a critical assessment of the Basis Risk Vs Price Risk*	*Analyze the legal implications of Benefits of Derivatives in India*
LO-9	*Delve into the Uses of Derivatives*	*Gain insights into the legal complexities Determinants of Option Prices*	*Demonstrate sophisticated skills in analyzing Traders in Futures Market in India*	*Conduct advanced academic research on the legal control mechanisms Hedging Effectiveness*	*Explore the legal considerations surrounding Categories of Derivatives Traded in India*
SLO-10	*Tutorial: Simulate a hypothetical scenario to explore legal considerations, contract and break down the critiques of Derivatives*	*Tutorial: Assign scenarios to students to understand and discuss the Binomial Option Pricing Model*	*Tutorial: Conduct a tutorial exploring sophisticated strategies for protecting future markets*	*Tutorial – Dive into the legal intricacies of hedging and its mechanism*	*Tutorial – Conduct a workshop guiding students in Derivatives Trading at NSE/BSE*
SLO-11	*Practice: Groups delve into the historical background of derivatives in different markets*	*Practice: Establish a research forum where students delve into legal dimensions of traded options and determinants of option pricing*	*Practice: Simulate cross-border IPR negotiations, challenging LLM students to navigate complex legal issues, negotiate agreements, and analyze the implications of international law.*	*Practice: Organize a research symposium where students present findings on Risks and Hedging, encouraging academic discussion and collaboration.*	*Practice: conduct an interactive workshop focusing on legal leadership in Derivatives Trading at NSE/BSE, Stock and Cash Market in India*
SLO-12	*Practice: Learners explore jurisdictional nuances through the critiques of derivatives*	*Practice: Conduct a review session where students explore binomial option pricing model*	*Practice: Thematic Legal Writing Workshop: Crafting Advanced Writings on the Intricate Relationship Traders and Future Markets of India*	*Practice: Engage in a hands-on workshop exploring practical applications of devising a Hedging Strategy*	*Practice: Organize a debate-style activity where students discuss and analyze legal perspectives on Eligibility of Stocks*
SLO-13	*Explore the legal implications of Forward Market: Pricing*	*Develop legal competence in Black-Scholes Option Pricing*	*Attain an expert-level understanding of the Futures Market Trading Mechanism and its growth*	*Undertake an in-depth exploration of the Hedging Objectives and its management*	*Examine the legal frameworks governing the Emerging Structure of Derivatives Markets in India*
SLO-14	*Analyze the legal aspects of Forward Market: Pricing and Trading Mechanism*	*Attain proficiency in legal frameworks for Basic Principles of Option Trading,*	*Exhibit advanced legal research competence, delving deeply into Specification of the Future Contract and Clearing Houses*	*Perform an analytical study of the Concept of Stock Index and Stock Index Futures*	*Apply the Regulation of Financial Derivatives in India*
SLO-15	*Comprehensive understanding of the Forward Contract concept*	*Develop awareness of jurisdictional issues in SWAP: Concept, Evaluation and Features of Swap*	*Showcase an advanced appreciation of the multifaceted roles played by Operation of Margins and Settlements*	*Develop expertise in the Stock Index Futures as a Portfolio management Tool*	*Showcase advanced legal leadership in Structure of the Market and Trading system*

SLO-16	*Tutorial: Facilitate a discussion Features of Forward Contract and Classification of Forward Contracts*	*Tutorial: Simulate an insight to Types of Financial Swaps, Interest Rate Swaps and Currency Swap with Debt- Equity Swap*	*Tutorial: Facilitate a seminar guiding students through complex Theories of Future prices and Aversion*	*Tutorial: Examine legal aspects of domain disputes, analyzing the Speculation and Stock Index Futures*	*Tutorial: conduct a seminar focusing on Badla system in Indian Stock Market*
SLO-17	*Practice: Students perform legal research to identify relevant legal precedents and case law Forward Trading Mechanism and Forward Prices Vs Future Prices*	*Practice: Facilitate a roundtable discussion focused on various types of concepts of option pricing*	*Practice: International Dispute Resolution Symposium on Forward Contract Vs. Futures Contracts*	*Practice: Simulate domain dispute resolution scenarios applying principles from the Stock Index Futures Trading in Indian Stock Market*	*Practice: Conduct a workshop exploring the legal implications of Regulatory Instruments in trading system in India*
SLO-18	*Practice: Participants engage in legal research to benchmark forward contracts and trading mechanisms*	*Practice: Assign students to analyze SWAP and its types in detail*	*Practice: Advanced Roundtable on Future Contracts and Future Prices in India*	*Practice: Participate in a legislative drafting challenge where students draft sections aligning with international and domestic legal regimes on Hedging and Sock Index*	*Practice: Engage students in an exercise highlighting legal considerations in derivative structure and stock market*

esources			
1	Prakash Yaragol, *Financial Derivatives: Text & Cases* (Vikas Publishing House, 2018)	2	Gupta S.L., *Financial Derivatives Theory, Concepts and Problems* (PHI, Delhi, 2017)
3	N.R. Parasuraman, *Fundamentals of Financial Derivatives* (Wiley, 2014)	4	Chance, Don M, *Derivatives and Risk Management Basics* (Cengage Learning, 2008)
5	Stulz M. Rene, *Risk Management & Derivatives* (South-Western, 2002)	6	Hull, JC, *Options, Futures, and Other Derivatives* (Pearson Education, 2018)
7	D. C. Patwari, *Options and Futures- An Indian Perspective* (Jaico Publishing House, 2000)	8	Punithavati Pandian, *Security Analysis and Portfolio Management* (Vikas Publishing House, 2012)
9	Prasanna Chandra, *Security Analysis and Portfolio Management* (Tata McGraw Hill, 2021)	10	Sanjeev Aggarwal, *A Guide to Indian Capital Markets* (Bharat Publishing, 2000)

Rationale (CLR)	*The purpose of learning this course is to:*
CLR-1	*Enable students to develop advanced expertise in derivatives, including understanding the basics, types, and jurisprudential aspects of derivatives markets, with a focus on concepts of forward market, pricing, and trading mechanisms, and distinguishing forward prices vs. future prices.*
CLR-2	*Elevate legal proficiency in Swaps and Options and its types with trading system in India. It aims to cultivate a nuanced understanding of complex legal issues, encourage strategic application of legal frameworks, and develop leadership skills to navigate contemporary challenges in the dynamic legal landscape*
CLR-3	*Foster students' advanced knowledge by mastering global and local regulatory frameworks related to trading systems and financial derivative markets in India, emphasizing a comprehensive understanding of trading mechanisms and legal compliance in diverse national and international contexts*
CLR-4	*Cultivate students' advanced legal proficiency by mastering international and domestic regulatory frameworks related to Hedging and Stock Exchange, specifically Stock Index Futures Trading in the Indian Stock Market.*
CLR-5	*Enable students to attain advanced expertise in the financial future market and its evolution in India, exploring various theories and mechanisms at both international and domestic levels, with emphasis on understanding the influential role of public and private international law in shaping legal frameworks.*

Outcomes (CLO)	*At the end of this course, Students will be able to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
		1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
		Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLO-1	*Acquire advanced legal expertise in Financial Derivatives, covering basics, types, uses, Forward Market concepts, pricing, trading mechanisms, and distinguishing Forward Prices Vs Future Prices.*	✓	✓		-	2	8	7	3	-	-	1	-	3	-	2	2	1	2	3
CLO-2	*Attain advanced legal proficiency across diverse domains, including Swaps and Options and their types within the trading system in India.*	✓	✓	✓	-		5	5	3	2	1	2	2	3	-	1	2	1	2	3
CLO-3	*Attain advanced expertise in the financial future market and its evolution in India, covering various theories and mechanisms globally.*	✓	✓	✓	✓	3	85	75	3	1	3	1	3	3	-	1	1	2	1	3
CLO-4	*Cultivate advanced legal proficiency by mastering the international and domestic regulatory frameworks of Hedging and Stock Exchange, particularly Stock Index Futures Trading in the Indian Stock Market.*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	2	3	3	3	3
CLO-5	*Enhance legal proficiency in navigating regulatory frameworks for Stock Index Futures Trading in the Indian Stock Market, as part of developing expertise in the Financial Derivatives Market in India*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	3	3	3	3	3

Designers					
Professional Experts		Higher Institution Experts		Internal Experts	
1		1	*Dr. Shyam Kishore, Dean, Alliance School of Law*	1	*Mr. Gyanendra Kumar, Assistant Professor, School of Law, SRM IST*

Assessment Method (Max. Marks: 100)

		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
Level of Thinking		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Strategies					
Technology		Pedagogy / Andragogy		Sustainable Development	
Simulations		Clarification/Pauses		Good Health & Well Being	
Presentation Tools					
Learning Management System		Group Discussion		Quality Education	
		Hands-on Practice			
		Debate			
		Interactive Lecture			
		Brainstorming			

Code	PLCL24303T	Title	INSOLVENCY AND BANKRUPTCY LAW	Category		Professional Core	L	T	P	C
							3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses		Co-requisite Courses		Progressive Courses		Data Book / Codes/Standards	

Title & Content	INTRODUCTION	RESOLUTION STRATEGIES AND BANKRUPTCY BOARD OF INDIA	LIQUIDATION PROCESS AND ADJUDICATING	PPIRP, DEBT RECOVERY AND SECURITISATION	STATUTORY OFFENCES UNDER INDIAN PENAL CODE AND OTHER STATUTES
Duration (hour)	18	18	18	18	18
SLO-1	*Explore the historical background and rationale behind the Bankruptcy Law Reforms Committee's report and the subsequent need for the Insolvency and Bankruptcy Code, 2016.*	*Attain a comprehensive understanding of the concept of restructuring equity and debt, including the various methods such as debt restructuring, equity infusion, and debt-to-equity swaps.*	*Examine the legal framework and procedures involved in the initiation of liquidation for corporate debtors, including the roles and responsibilities of the liquidator.*	*Examine the governing framework of the insolvency and resolution process in the context of non-performing assets (NPAs).*	*Analyse the applicability of the Insolvency and Bankruptcy Code (IBC) to foreign companies operating in India, including the criteria and procedures involved.*
SLO-2	*Describe the overall scheme and key concepts outlined in the Insolvency and Bankruptcy Code, including its objectives and scope.*	*Analyze the implications of restructuring on stakeholders such as shareholders, creditors, and management.*	*Analyse the powers and duties conferred upon liquidators during the liquidation process, including asset management and distribution.*	*Identify the eligibility criteria for participation in the Pre-Packaged Insolvency Resolution Process (PPIRP).*	*Analyse the Cross-border Insolvency Framework and its relevance to foreign companies, exploring how it impacts their assets, liabilities, and operations across different jurisdictions.*
SLO-3	*Analyze the definitions of important terms and concepts within the Insolvency and Bankruptcy Code, such as "resolution plan," "committee of creditors," and "insolvency resolution process."*	*Acquire proficiency in addressing identity management issues in the context of e-commerce, ensuring legal compliance and data security.*	*Conduct in-depth examinations into the concept of a liquidation estate and the intricacies involved in the distribution of assets among creditors.*	*Analyzing the approval process for resolution plans within the PPIRP framework.*	*Assess the structures and scenarios that are likely to trigger cross-border insolvency provisions, enabling participants to identify potential risks and challenges faced by multinational corporations.*
SLO-4	*Tutorial: To arrange for discussion on the findings and recommendations of the Bankruptcy Law Reforms Committee.*	*Tutorial : Analyze cases, compare regulations, and develop compliance strategies for ARC's, culminating in group presentations.*	*Tutorial: Engage PG students in an advanced workshop focusing on legal research methodologies for understanding the concept of liquidation and its significance in corporate insolvency resolution.*	*Tutorial: Conduct detailed examination of the eligibility criteria for initiating PPIRP and the procedural requirements for filing an application.*	*Tutorial : Explore Practical Challenges in Cross-Border Insolvency: Identifying and addressing practical challenges faced by stakeholders in cross-border insolvency cases, including coordination among multiple jurisdictions and recognition of foreign proceedings.*

SLO-5	*Practice: Conduct Students discussion to analyze and interpret legal provisions governing the contents of resolution plans under the Insolvency and Bankruptcy Code, identifying key elements and requirements.*	*Practice: Organize a symposium where students present their research findings on recent developments and legal challenges in IBC regulations, fostering discussions on emerging trends.*	*Practice: Organize discussions examining the procedures and mechanisms for adjudicating insolvency resolution and liquidation cases for corporate entities.*	*Practice:Examine the approval process for resolution plans under PPIRP, including the role of creditors and regulatory authorities.*	*Practice: Case Studies and Practical Examples: Reviewing case studies and practical examples of cross-border insolvency cases to illustrate key concepts, challenges, and solutions.*
SLO-6	*Practice: Participants conduct group discussion on the submission process for resolution plans, including understanding the documentation required and the procedural steps involved.*	*Practice: Students will engage in role-playing exercises to simulate negotiation and mediation processes among creditors, debtors, and other parties involved in corporate restructuring, enhancing their conflict resolution skills.*	*Practice: Examining the procedures and mechanisms for adjudicating insolvency resolution and liquidation cases for corporate entities.*	*Practice: Discussion on the options available to banks and financial institutions for resolving disputes related to NPAs, including application to the Tribunal or Appellate Tribunal.*	*Practice: Conduct a hands-on simulation on Emerging Trends and Developments: Analyzing emerging trends and developments in cross-border insolvency, including the impact of globalization, technology, and regulatory reforms.*
SLO-7	*Identify the institutions established under the Insolvency and Bankruptcy Code, 2016, and explain their roles and responsibilities in the insolvency resolution process.*	*Conduct legal analyses of compromise and arrangement in the context of corporate restructuring, including its legal framework and applicability under company law.*	*Comprehend the process of dissolution of a corporate debtor following successful liquidation proceedings.*	*Explore the mechanisms and regulations governing Asset Reconstruction Companies (ARCs).*	*Trace the evolution of cross-border insolvency regimes globally, examining historical developments and key milestones that have shaped international insolvency laws.*
SLO-8	*Comprehend the legal provisions governing the contents, submission, and approval of resolution plans under the Insolvency and Bankruptcy Code.*	*Evaluate the advantages and disadvantages of utilizing compromise and arrangement as a restructuring mechanism.*	*Acquire knowledge of the procedures governing voluntary liquidation and the steps involved in initiating such proceedings.*	*Examine the Security Interest (Enforcement) Rules of 2002 and their implications for banks.*	*Explore global developments in cross-border insolvency, including trends, challenges, and best practices adopted by various countries and international organizations.*
SLO-9	*Analyze the procedure for submitting and approving resolution plans, including the role of the Committee of Creditors and the steps involved in the approval process.*	*Gain insights into the legal complexities of acquisition, takeover, and change of management, elucidating their significance in corporate restructuring and control transitions.*	*Explore the effects of liquidation on the corporate debtor and its stakeholders, including creditors, shareholders, and employees.*	*Evaluate the options available to banks for dealing with NPAs, including SARFAESI Act and Debt Recovery Tribunals (DRT).*	*Studying the foreign applicability of IBC regulations, understanding their principles and implications for cross-border insolvency proceedings.*
SLO-10	*Tutorial: Analyze hypothetical scenarios related to the submission and approval of resolution plans under the Insolvency and Bankruptcy Code, applying legal provisions and considering the interests of stakeholders.*	*Tutorial: Assign scenarios, for Students to develop skills in drafting and reviewing legal documents, including compromise agreements, acquisition proposals, and asset sale contracts, necessary for implementing restructuring plans.*	*Tutorial: Conduct a tutorial exploring the functions of appellate authority and the process for filing appeals against decisions of the NCLT.*	*Tutorial –Explore asset reconstruction companies (ARCs) and their role in acquiring and resolving distressed assets.*	*Tutorial – Conduct a workshop guiding students in formulating legal strategies for examination of foreign assets, liabilities, and operations, and structures likely to trigger cross-border insolvency provisions.*
SLO-11	*Practice: Groups to Practice evaluating and assessing resolution plans for approval within the framework of the Insolvency and Bankruptcy Code, considering the interests of various stakeholders and compliance with legal requirements.*	*Practice: Establish a research forum where students delve into the complexities of e-commerce taxation laws, presenting their findings on global and regional tax implications for online businesses.*	*Practice: Conduct discussion for understanding the procedures and criteria for initiating insolvency resolution for individual and partnership debtors.*	*Practice: Draft resolution plans and restructuring proposals in compliance with regulatory requirements and stakeholder interests.*	*Practice: Discussion on the applicability and relevance of international standards and best practices in shaping domestic insolvency laws and regulations.*

SLO-12	*Practice: Learners to develop a comprehensive checklist or template for documenting resolution plans, incorporating all necessary elements and considerations specified in the Insolvency and Bankruptcy Code and related regulations.*	*Practice: Students to present case studies and participate in discussions to critically evaluate the effectiveness of fast-track processes in facilitating timely and efficient corporate restructuring outcomes, considering legal, financial, and ethical considerations.*	*Practice: Students to present analysis of the powers and duties of the resolution professional appointed for individual and partnership insolvency cases.*	*Practice: Engage in a hands-on workshop simulating negotiation and mediation sessions to facilitate consensus among creditors, debtors, and resolution applicants in the resolution process.*	*Practice: Organize case studies and scenario analysis to understand the practical implications of cross-border insolvency provisions on multinational corporations and foreign entities operating in India.*
SLO-13	*Compile the necessary documentation required for the submission and approval of a resolution plan under the Insolvency and Bankruptcy Code.*	*Examine the legal and regulatory requirements governing acquisitions and takeovers, including disclosures and approval processes.*	*Analyse the role of the National Company Law Tribunal (NCLT) as the adjudicating authority for insolvency resolution and liquidation of corporate persons, including its jurisdictional scope.*	*Explore the application process to the Tribunal/Appellate Tribunal for resolution of NPAs.*	*Examine the World Bank Principles for Effective Insolvency and Creditor Rights and the Asian Development Bank (ADB) principles of Corporate Rescue and Rehabilitation, gaining insights into international standards and guidelines for insolvency regimes.*
SLO-14	*Demonstrate knowledge of the appearance and participation requirements for stakeholders involved in the insolvency resolution process, including creditors, debtors, and resolution professionals.*	*Attain proficiency in legal frameworks for consumer protection in e-commerce, ensuring fair practices and addressing disputes.*	*Examine the criteria and process for the appointment of liquidators, along with the determination of their remuneration.*	*Assess the implications and considerations for closure of PPIRP proceedings.*	*Analyze the perspectives and approaches of different countries towards cross-border insolvency, including case studies from the UK, Canada, UAE, Singapore, and other relevant jurisdictions.*
SLO-15	*Evaluate the significance of obtaining approval for a resolution plan within the framework of the Insolvency and Bankruptcy Code, considering its implications for creditors, debtors, and the overall economy.*	*Develop awareness of jurisdictional issues in e-commerce and acquire skills in international trade dispute resolution, considering legal frameworks and mechanisms.*	*Analyse the grounds for lodging appeals against orders of liquidation, the process for appealing to higher authorities such as the Supreme Court on questions of law, and the penalties for fraudulent conduct during the liquidation process.*	*Analyze the intricacies of approval processes for resolution plans within the PPIRP framework, enabling participants to evaluate and devise strategies for successful resolution of distressed assets.*	*Comprehend the United States Bankruptcy Code and its significance in the context of cross-border insolvency, comparing and contrasting its provisions with international standards and practices.*
SLO-16	*Tutorial: to conduct legal research to develop a framework for documenting resolution plans, ensuring compliance with regulatory standards and addressing specific requirements outlined in the Code.*	*Tutorial: Simulate a scenario to analyze case studies and real-life examples to understand the practical challenges and successful outcomes associated with fast-track corporate restructuring processes.*	*Tutorial: Facilitate a seminar guiding students through complex cross-border IPR dispute resolution scenarios, applying advanced legal principles and examining international frameworks.*	*Tutorial: Examining financial statements, due diligence reports, and asset valuation exercises to assess the viability and feasibility of resolution plans.*	*Tutorial: Evaluate practical challenges and strategies for managing cross-border insolvency cases, including coordination among multiple jurisdictions, recognition of foreign proceedings, and enforcement of judgments.*
SLO-17	*Practice: Participate in mock appearances before relevant authorities or stakeholders involved in the insolvency resolution process, honing communication and negotiation skills while presenting or defending resolution plans.*	*Practice: Facilitate a roundtable discussion focused on legal research in understanding the challenges of key stakeholders involved in the proceedings.*	*Practice: Draft and review liquidation documents, including notices, resolutions, and reports, required for initiating and completing the liquidation process.*	*Practice: Analyze case studies and real-world examples to understand the practical application of the discussed frameworks and processes.*	*Practice: Discuss emerging trends and developments in cross-border insolvency, such as the impact of globalization, technological advancements, and regulatory reforms on multinational insolvency proceedings.*

SLO-18	*Practice: Apply the procedural steps outlined in the Insolvency and Bankruptcy Code for the submission of resolution plans to a hypothetical insolvency scenario, ensuring compliance with documentation requirements and timelines.*	*Practice: Assign students to analyze legal precedents related to the functions performed by the IBBI, including formulating regulations, promoting transparency, and enhancing confidence in the corporate insolvency resolution process.*	*Practice: Conduct due diligence and asset valuation exercises to facilitate the distribution of assets among creditors during liquidation.*	*Practice: Formulate strategies for effective management and resolution of NPAs within the legal and regulatory framework provided.*	*Practice: Formulate strategies and recommendations for stakeholders involved in cross-border insolvency cases, including legal practitioners, insolvency professionals, creditors, and multinational corporations, to effectively navigate complex international insolvency issues.*

Resources			
1.	*V.S. Datey, Taxmann's Insolvency and Bankruptcy Code Ready Reckoner (Taxmann Publications Private Limited, 2023)*	*2.*	*R.P. Vats et al., Taxmann's Law & Practice of Insolvency & Bankruptcy (2 Vols.), (Taxmann Publications Private Limited, 2022)*
3.	*Narendra Kumar, Key to Insolvency and Bankruptcy Code: Practice and Procedures (LexisNexis, 2022)*	*4.*	*Indian Institute of Banking and Finance, Resolution of Stressed Assets with Special Emphasis on Insolvency and Bankruptcy Code 2016 (Taxmann Publications Private Limited, 2020)*
5.	*Ashish Makhija, Insolvency and Bankruptcy Code of India (Lexis Nexis, 2018)*		

Rationale (CLR)	*The purpose of learning this course is to:*
CLR-1	*Enable students to develop advanced expertise in derivatives, including understanding the basics, types, and jurisprudential aspects of derivatives markets, with a focus on concepts of forward market, pricing, and trading mechanisms, and distinguishing forward prices vs. future prices.*
CLR-2	*Develop a comprehensive understanding of bankruptcy laws, with a focus on the Insolvency and Bankruptcy Code, 2016, and its significance for learners.*
CLR-3	*Enhance legal proficiency for learners by bridging the gap between theoretical knowledge and practical application of cross-border insolvency frameworks, specifically examining the applicability of the Insolvency and Bankruptcy Code (IBC) to foreign entities and assets*
CLR-4	*Attain advanced expertise for learners in applying insolvency resolution mechanisms to individual and partnership firms, providing practical insights into resolving insolvency for non-corporate entities.*
CLR-5	*Cultivate advanced legal proficiency for learners by exploring topics such as eligibility for the Pre-packaged Insolvency Resolution Process (PPIRP), approval of resolution plans, closure of PPIRP, non-performing assets (NPAs), asset reconstruction companies (ARCs), and security interest enforcement rules, to gain insight into distressed asset and insolvent company regulations*

Outcomes (CLO)	*At the end of this course, Students will be able to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
		1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
		Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLO-1	*Develop a comprehensive understanding of the historical evolution of bankruptcy laws, including the key findings and recommendations outlined in the Report of the Bankruptcy Law Reforms Committee, and recognize the necessity for the enactment of the Insolvency and Bankruptcy Code, 2016, in addressing systemic challenges in the resolution of insolvency cases.*	✓	✓		-	2	8	7	3	-	-	1	1	3	-	2	2	1	2	3
CLO-2	*Attain advanced legal proficiency and comprehensive knowledge of the Insolvency and Bankruptcy Board of India, including its establishment, powers, and functions.*	✓	✓	✓	-	2	5	5	3	-	-	1	2	3	-	1	2	1	2	3
CLO-3	*Attain advanced expertise in applying knowledge of insolvency resolution mechanisms to individual and partnership firms, understanding the unique challenges and considerations associated with resolving insolvency for non-corporate entities.*	✓	✓	✓	✓	3	85	75	3	2	3	1	3	3	-	1	1	2	1	3
CLO-4	*Cultivate advanced legal proficiency by analyzing non-performing assets (NPAs) and the role of asset reconstruction companies (ARCs) in acquiring and resolving distressed assets.*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	2	3	3	3	3
CLO-5	*Acquire a profound understanding of the key international instruments and guidelines such as the UNCITRAL Legislative Guide on Insolvency Laws, UNCITRAL Model Law on Cross-Border Insolvency, World Bank Principles for Effective Insolvency and Creditor Rights, and ADB Principles of Corporate Rescue and Rehabilitation to understand their significance in shaping cross-border insolvency frameworks.*	✓	✓	✓	✓	3	85	75	3	2	1	2	3	3	-	2	3	3	3	3

Assessment Method (Max. Marks: 100)												
		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
Level of Thinking		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Technology		*Pedagogy / Andragogy*		*Sustainable Development*	
Simulations	✓	*Clarification/Pauses*	✓	*Good Health & Well Being*	✓
Presentation Tools					
Learning Management System		*Group Discussion*	✓	*Quality Education*	✓
		Hands-on Practice	✓		
		Debate	✓		
		Interactive Lecture	✓		
		Brainstorming	✓		

Designers					
Professional Experts		Higher Institution Experts		Internal Experts	
1	*<name>, <industry name>, <email id>*	1	*<name>, <institution name>, <email id>*	1	*<name>, SRMIST, <email id>*
2	*<name>, <industry name>, <email id>*	2	*<name>, <institution name>, <email id>*	2	*<name>, SRMIST, <email id>*

Code	PLCL24304T	Title	CORPORATE TAXATION	Category	Professional Core (Elective)	L	T	P	C
						3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses		Co-requisite Courses	Nil	Progressive Courses	Nil	Data Book / Codes/Standards	

Title & Content	*FUNDAMENTALS OF CORPORATE TAXATION*	*INCOME TAX*	*WEALTH TAX AND SALES TAX, EXCISE, CUSTOMS AND SERVICE TAX*	*GOODS AND SERVICE TAX*	*INTERNATIONAL TAXATION*
Duration (hour)	18	18	18	18	18
SLO-1	*Identify the constitutional principles influencing the development of income tax regulations.*	*Identify the distinctions between Indian companies, domestic companies, and foreign companies, gaining insights into legal classifications and implications for taxation.*	*Analyse the principles of chargeability under wealth tax and the significance of valuation dates in wealth computation.*	*Comprehend the rationale behind the implementation of Goods and Services Tax (GST), recognizing the need for a unified and simplified taxation system.*	*Identify the foundational concepts of international taxation, understanding its significance and the evolving landscape in the era of globalization.*
SLO-2	*Explain and comprehend the scope and implications of extra-territorial applications in income tax laws.*	*Explain the unique features of public sector companies and those in which the public is substantially interested (Section 2(18)), analyzing their tax implications.*	*Examine the distinction between various categories of assets and understand the concept of deemed assets, enhancing their ability to identify taxable wealth components.*	*Examine the different types of GST and their respective rates, developing a comprehensive knowledge of the GST taxation structure.*	*Explore the historical development of international taxation principles, recognizing key milestones that have shaped its current framework.*
SLO-3	*Analyse fundamental principles that underlie tax laws*	*Comprehend special provisions for Infrastructure Capital Companies, undertakings in SEZs, and 100% Export Oriented Units (Sections 10A, 10AA, 10B, 10BA), and applying them to real-world scenarios.*	*Analyze assets exempt from wealth tax, demonstrating knowledge of criteria for exclusion from taxable wealth.*	*Gain a comprehensive understanding of the broader scope of supply under GST, distinguishing between goods and services and understanding the nuances of taxable supply.*	*Analyze the influence of globalization on international taxation, identifying trends, challenges, and opportunities presented by a connected global economy.*
SLO-4	*Tutorial: Explore the historical development of tax laws within the constitutional framework.*	*Tutorial: Discuss comprehensive understanding of different types of companies and their tax implications.*	*Tutorial: Engage in hands-on experience in computing wealth tax and applying valuation techniques.*	*Tutorial: Analyze the classification of goods and services for GST, understanding the nuances of different types and their corresponding rates.Topics:*	*Tutorial: Examine the legal frameworks governing international taxation, exploring key treaties, agreements, and legal principles.*
SLO-5	*Practice: Analyze case studies to understand the constitutional foundation of tax laws in a specific jurisdiction.*	*Practice: Examine different types of companies, including Indian, domestic, foreign, public sector, and those in which the public is substantially interested.*	*Practice: Examine computing wealth tax and applying valuation techniques to diverse assets.*	*Practice: Evaluate the calculation of GST for various types of supplies, distinguishing between goods and services and applying the relevant GST rates.*	*Practice: Analyze historical international tax cases, identifying key legal principles, and understanding the evolution of international taxation concepts.*
SLO-6	*Practice: Engage in discussion on landmark cases illustrating the interpretation and application of these principles.*	*Practice: Explore special provisions related to Infrastructure Capital Company, newly established undertakings in SEZs, and export-oriented units (Sections 10A, 10AA, 10B, 10BA).*	*Practice: Engage in hands-on exercises to understand the step-by-step process of filing wealth tax returns and complying with regulations.*	*Practice: Simulate the GST registration process, completing the necessary documentation, and understanding the exemptions and exceptions.*	*Practice: Examine and compare different transfer pricing methods to real-world scenarios, ensuring accuracy in determining arm's length prices.Activities: Case simulations, hands-on exercises, and group*

					discussions on transfer pricing challenges.
SLO-7	*Comprehend the legal understanding of how tax laws apply to different corporate structures.*	*Analyze case studies and practical scenarios to calculate taxes on profit and gains of business or profession, developing proficiency in computing business income taxes.*	*Gain an understanding of the treatment of debts owed in wealth computations and gain proficiency in asset valuation methods for accurate wealth assessment.*	*Examine the difference between taxable supplies of goods and services, gaining insights into the criteria that determine the taxability of a supply.*	*Examine the legal frameworks governing international taxation, focusing on bilateral and multilateral agreements that shape cross-border taxation policies.*
SLO-8	*Discuss essential components of taxation, including deductions, exemptions, and allowances.*	*Analyze the principles of capital gains taxation, distinguishing between short-term and long-term gains, and apply this knowledge to practical examples.*	*Determine the imposition of wealth tax, the importance of registration, and security requirements, ensuring awareness of legal obligations.*	*Examine the concept of 'aggregate annual turnover,' a crucial parameter for GST compliance, and its implications on the GST registration threshold.*	*Explore the role and importance of Double Taxation Avoidance Agreements, exploring their provisions and impact on cross-border taxation.*
SLO-9	*Comprehend scenarios representing various business structures and income sources.*	*Evaluate the loss scenarios, applying set-off provisions, and strategically planning for the carry-forward of losses.*	*Gain an understanding on how to preparing returns, undergoing assessments, and calculating tax liabilities with interest, ensuring compliance with wealth tax regulations.*	*Analyze the impact of GST on the import and export of goods and services, gaining insights into the complexities and compliance requirements in international trade.*	*Analyze tax treaties and their interpretation, focusing on the principles of interpretation that influence cross-border tax relations.*
SLO-10	*Tutorial: Conduct in-depth discussions on fundamental tax principles and their applications.*	*Tutorial: Explain the taxation of business income, capital gains, and the intricacies of loss set-off and carry-forward.*	*Tutorial: Discuss the process of filing wealth tax returns and compliance procedures.*	*Tutorial – Discuss step-by-step walkthrough of the GST registration process, understanding the required documentation and potential exemptions.*	*Tutorial – Analyze the practical application of transfer pricing methods, ensuring accurate determination of arm's length prices.*
SLO-11	*Practice: Conduct a workshop on essential concepts and provisions related to companies under the Income Tax Act.*	*Practice: Engage in practical exercises, analyzing financial statements and scenarios to compute taxable income and capital gains.*	*Practice: Explore the ways in which objections can be raised, file appeals, and resolve disputes related to wealth tax assessments.*	*Practice: Analyze case studies to assess the impact of GST on import and export transactions, considering compliance requirements and implications.*	*Practice: Analyse double taxation scenarios, applying the principles of Double Taxation Avoidance Agreements (DTAA) to resolve issues and ensure fair tax treatment.*
SLO-12	*Practice: Explain and discuss essential components of taxation, such as deductions, exemptions, and allowances.*	*Practice: Analyze case studies involving loss situations, determine the eligibility for set-off, and compute the impact on future tax liabilities.*	*Practice: Examine scenarios involving charge of customs duty, preparation of bills of entry, and adherence to import/export regulations.*	*Practice: Engage in hands-on exercises to file GST returns online, understanding the different types of returns and the submission process.*	*Practice: Engage in developing tax planning strategies involving tax havens, exploring legal structures and implications for international tax planning.Activities: Case simulations, scenario analysis, and group discussions on tax planning with tax havens.*
SLO-13	*Explore the lessons for effective tax planning strategies for individuals.*	*Explain incentives and deductions available under Section 80, evaluating their impact on tax liabilities.*	*Acquire knowledge and skills related to raising objections, filing appeals, and resolving disputes in the context of wealth tax assessments.*	*Comprehend the GST return filing process, understanding the various types of returns and the online procedures involved in compliance.*	*Examine the role of tax havens in international tax planning, understanding their features, legal structures, and implications on global taxation.*
SLO-14	*Analyze the impact of legislative changes on individuals and businesses.*	*Understand the consequences of penalties and prosecutions related to non-compliance, analyzing case studies to identify potential legal implications.*	*Gain an understanding of the implications of penalties and offences, ensuring awareness of consequences and preventive measures in wealth tax matters.*	*Comprehend the concept of E-way bills, exploring their significance in the movement of goods and services, and understanding the implementation process*	*Explore various tax avoidance strategies employed globally, gaining insights into countermeasures and anti-avoidance provisions implemented by tax authorities.*

SLO-15	*Explore the ethical dimensions of taxation for individuals and corporations.*	*Comprehend the tax implications related to income received from Venture Capital Companies and Venture Capital Funds, applying Section 115U to practical situations.*	*Comprehend the processes of levy, collection, and procedural aspects of wealth tax, including search, seizure, and confiscation procedures.*	*Identify common challenges in GST compliance and explore best practices to ensure accurate and timely adherence to GST regulations.*	*Examine the challenges posed by Base Erosion and Profit Shifting, exploring the OECD's BEPS Action Plan and its impact on international tax principles.*
SLO-16	*Tutorial: Provide an overview of tax planning strategies for companies under the Income Tax Act.*	*Tutorial: Explain the incentives, deductions, and special provisions available to companies under Section 80 and other relevant sections.*	*Tutorial: Analyze case scenarios, simulate objection filing and appeal processes, and engage in discussions on dispute resolution strategies.*	*Tutorial: Gain an understanding of the practical insights into the implementation of E-way bills for the seamless movement of goods, understanding the key details and compliance considerations.*	*Tutorial: Analyze contemporary challenges in international taxation through real-world case studies, exploring solutions and best practices.*
SLO-17	*Practice: Evaluate participants' ability to navigate complexities in determining tax on business income.*	*Practice: Evaluate case studies to identify eligible incentives and deductions, calculating the resulting tax benefits.*	*Practice: Discussion related to service tax, understanding exemptions, and handling service tax implications on government departments and public authorities.*	*Practice: Analyze generating E-way bills for the movement of goods, understanding the necessary details and compliance requirements.*	*Practice: Identify and address BEPS challenges, applying OECD's BEPS Action Plan to real-world scenarios.*
SLO-18	*Practice: Enhance participants' critical thinking and problem-solving skills in addressing complex tax issues for companies.*	*Practice: Assign and analyze case studies involving dividend distribution and venture capital income, calculating tax liabilities.*	*Practice: Examine penalties and offences in wealth tax, customs duty, and service tax scenarios.*	*Practice: Analyze case studies presenting real-world GST compliance challenges, identifying issues, and proposing solutions.*	*Practice: Engage in discussion on scenario analysis, and presentations on future trends in global taxation.*

Resources			
1.	*Vinod K. Singhania,Monica Singhania, Corporate Tax Planning & Business Tax Procedures with Case Studies (Taxmann's, 2023)*		
2.	*Avadhesh Ojha & Nisha Bhandari, Hand Book of Corporate Taxation (Tax Publishers' 2020)*		
3.	*Quick Guide to Tax and Corporate Laws in India Including Allied Laws (Bloomsbury India, (2018)*		
4.	*Vinod K. Singhania,Monica Singhania, Corporate Tax Planning & Business Tax Procedures with Case Studies (Taxmann's, 2023)*		
5.	*Avadhesh Ojha & Nisha Bhandari, Hand Book of Corporate Taxation (Tax Publishers' 2020)*		

Rationale (CLR)	*The purpose of learning this course is to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
		1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
CLR-1	*Acquire a foundational understanding of income tax laws, including constitutional backgrounds, extra-territorial applications, and fundamental principles, with a specific focus on provisions for companies under the Income Tax Act, providing practical insights for tax planning and compliance, including navigating complexities in business income taxation.*																			
CLR-2	*Equip participants with advanced knowledge and practical skills in navigating complex taxation scenarios for various types of companies, as they explore legal classifications, special provisions, and intricate taxation principles, enabling them to optimize tax positions.*																			
CLR-3	*Provide participants with a comprehensive understanding of wealth tax, customs duties, and service tax compliance, as they explore principles of wealth tax, customs duty calculations, and service tax implications, equipping them with the knowledge and skills for accurate computation, adherence to compliance procedures, and effective dispute resolution*																			
CLR-4	*Equip participants with comprehensive knowledge and practical proficiency in Goods and Services Tax (GST) compliance, covering GST types, rates, registration, international trade impact, return filing, and E-way bill implementation for effective navigation.*																			
CLR-5	*Equip participants with advanced proficiency in international taxation, covering historical contexts, legal frameworks, transfer pricing, and DTAA, addressing contemporary challenges and trends to prepare them for the dynamic global tax landscape.*																			
Outcomes (CLO)	*At the end of this course, Students will be able to:*	Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLO-1	*Apply the foundational knowledge and practical skills necessary to navigate and interpret income tax laws effectively, fostering competence in both individual and corporate taxation scenarios.*	✓	✓		-	2	85	75	3	-	-	1	-	3	-	2	2	1	2	3
CLO-2	*Demonstrate advanced proficiency in navigating complex taxation scenarios for diverse company types, enabling effective tax optimization through understanding legal classifications, provisions, and intricate principles, while enhancing practical skills with case studies on profit, capital gains, loss management, and incentives.*	✓	✓	✓	-	2	85	75	3	2	1	2	2	3	-	1	2	1	2	3
CLO-3	*Exhibit adeptness in wealth tax computation, customs duties, and service tax, guiding assessment processes, ensuring compliance, and managing implications for government entities, empowering participants to contribute to organizational tax compliance.*	✓	✓	✓	✓	3	85	75	3	1	3	1	3	3	-	1	1	2	1	3
CLO-4	*Master GST compliance through precise classification, streamlined registration, international trade management, independent return filing, E-way bill implementation, and resolving compliance challenges effectively.*	✓	✓	✓	✓	3	85	75	3	3	2	3	3	3	-	2	3	3	3	3
CLO-5	*Equip participants with a comprehensive understanding of international taxation principles, addressing historical context, legal frameworks, specific mechanisms like transfer pricing and DTAA, and contemporary challenges and trends in the context of globalization.*					3	85	75	3	3	2	3	3	3	-	3	3	3	3	3

Strategies		
Technology	*Pedagogy / Andragogy*	*Sustainable Development*

Simulations	✓	*Clarification/Pauses*	✓	*Good Health & Well Being*	✓
Presentation Tools					
Learning Management System		*Group Discussion*	✓	*Quality Education*	✓
		Hands-on Practice	✓		
		Debate	✓		
		Interactive Lecture	✓		
		Brainstorming	✓		

Professional Experts		Higher Institution Experts		Internal Experts	
1	*Aarthi Sivanandh,AZB Partners*	1		1	*Anandkumar, Assistant Professor*
2					

Assessment Method (Max. Marks: 100)

Level of Thinking		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Code	PLCL24305T	Title	COMMERCIAL ARBITRATION: LAW AND PRACTICE	Category		Professional Elective Courses (E)	L	T	P	C
							3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses		Co-requisite Courses		Progressive Courses		Data Book / Codes/Standards	

Title & Content	*INTRODUCTION*	*COMPETENCE AND JURISDICTION OF ARBITRAL TRIBUNAL*	*PROCEDURAL REGULARITIES IN ARBITRATION*	*INTERNATIONAL COMMERCIAL ARBITRATION: TRANSNATIONAL PERSPECTIVES*	*MODERN TRENDS IN ARBITRATION IN INDIA*
Duration (hour)	18	18	18	18	18
SLO-1	*Develop a foundational understanding of Nature & Meaning of Commercial Arbitration*	*Attain a comprehensive understanding of the Institutional Arbitration*	*Critically analyze and evaluate the concept of Notice of Arbitration and Principles of Natural Justice*	*Achieve a nuanced comprehension of Concept and nature and emergence of International Commercial Arbitration*	*Demonstrate an advanced understanding of Legislative Trends*
SLO-2	*Gain comprehensive knowledge of Interpretation of International Commercial Arbitration*	*Develop expertise in managing legal aspects of Ad hoc Arbitration*	*Demonstrate advanced expertise in dissecting intricate Determination of Rules of Procedure for Reasoned Decision/Awards*	*Conduct advanced research on the Dispute resolution in international trade*	*Conduct a critical analysis of Arbitration & Conciliation Act (Amendment Acts), 2015 & 2019*
SLO-3	*Analyze the Two Theoretical Models: National order approach and the denationalized autonomous approach*	*Acquire proficiency in addressing and identity Institutional v. Ad hoc Arbitration*	*Conduct in-depth examinations of Settlement of Disputes*	*Develop expertise in scrutinizing domestic I Important legal terms used in international commercial arbitration*	*Demonstrate a Comparative analysis of 1996 Act and recent Amendments*
SLO-4	*Tutorial: Analyze a recent case, discussing regulatory aspects and legal frameworks of International Commercial Arbitration*	*Tutorial: Analyze cases, compare regulations for Option of Arbitration for Parties*	*Tutorial: Engage in an advanced workshop focusing on Judicial Approach: Legality & Fairness in Arbitral Awards*	*Tutorial: Explore International Arbitration Institutions and their functions*	*Tutorial: Explore legal frameworks and implications in an advanced tutorial on Evolution of Arbitration laws in India*
SLO-5	*Practice: Students conduct an in-depth analysis of key features of International Arbitration and its scope*	*Practice: Organize a symposium where students present their research findings on Institutional v. Ad hoc Arbitration and its uses*	*Practice: Organize a symposium for LLM students to present and discuss advanced legal research on Procedural v. Substantive Law in Arbitration*	*Practice: Role-play different scenarios of comparison between institutional versus ad-hoc rules of arbitration*	*Practice: Engage in a detailed analysis of legal frameworks and implications, encouraging critical thinking and advanced understanding.*
SLO-6	*Practice: Participants conduct an in-depth analysis of various theories associated with International Arbitration*	*Practice: Assign students different cases to study on Institutional v. Ad hoc Arbitration*	*Practice: Establish a research clinic for LL.M. scholars to investigate and analyze the evolving jurisprudence behind Applicability and choice of Law by Parties for Arbitration*	*Practice: Conduct a mock where participants analyze New Challenges in Arbitration*	*Practice: Conduct a hands-on simulation where students formulate legal strategies for Making Model law as per Law commissions suggestions on Arbitration*
SLO-7	*Comprehend the legal aspects of Jurisdictional Theory and*	*Conduct legal analyses of issues related to Appointment of Arbitrators*	*Acquire proficiency in addressing and identify the Choice of Law and*	*Undertake comprehensive research on the Investment Arbitration &*	*Explore the Major Judicial Decisions Finality & Enforcement of Arbitral*

	Contractual theory		*Applicable Law in Arbitration*	*Trade and Role of Multilateral Trade Institutions*	*Awards*
SLO-8	*Assess the jurisprudential review of Hybrid theory and Autonomous Theory*	*Master the legal dimensions of Parties appointing the Arbitrator and by Court*	*Attain advanced proficiency in Rule of Fairness in Arbitration*	*Conduct a critical assessment of the Contemporary Trends: Applicability of Laws and Types of laws applicable in international commercial arbitration*	*Analyze the legal implications of Enforcement of Foreign Awards in India*
SLO-9	*Delve into the Uses of Concepts in International Commercial Arbitration*	*Gain insights into the legal complexities in Termination of Arbitrators*	*Demonstrate sophisticated skills in analyzing Rules of Major Arbitral Institutions*	*Conduct advanced academic research on the Governing law of arbitration*	*Explore the legal considerations surrounding Finality of Arbitral Awards*
SLO-10	*Tutorial: Simulate a hypothetical scenario to explore legal considerations, contract and break down the critiques of various theories*	*Tutorial: Assign scenarios to students to understand and discuss the cases where arbitrators are appointed by parties and by court*	*Tutorial: Conduct a tutorial exploring sophisticated strategies for Recent Trends of Arbitration in India, Judicial Approach -Scope & Extent of Judicial Intervention in Arbitration*	*Tutorial – Dive into the legal intricacies of Law applicable to the substantive and procedural issues*	*Tutorial – An in-depth discussion on Judicial decisions influenced by Foreign Arbitral Awards*
SLO-11	*Practice: Groups delve into the cases of International Commercial Arbitration and its mechanism*	*Practice: Establish a research forum where students delve into legal dimensions of appointment of arbitrators*	*Practice: Simulate cross-border IPR negotiations, challenging LLM students to navigate complex legal issues related to Extent of Judicial Intervention in Arbitration*	*Practice: Organize a research symposium where students present findings on Enforcing the choice of law clause, Party Autonomy: Choice of law (Seat Theory)*	*Practice: conduct an interactive workshop focusing on legal leadership in Setting aside of domestic awards*
SLO-12	*Practice: Learners explore jurisdictional nuances through the critiques of International Arbitration*	*Practice: Conduct a review session where students explore the process of Appoint of Arbitrators and termination*	*Practice: Thematic Legal Writing Workshop: Crafting Advanced Writings on National & International Experience*	*Practice: Engage in a hands-on workshop exploring practical applications of Choice of national law , Conflict Rules in Regulating International Commercial Arbitration*	*Practice: Organize a debate-style activity where students discuss and analyze legal perspectives on Non-Enforcement of Foreign Awards*
SLO-13	*Explore the legal implications of Lex fori/Lex Arbitri*	*Develop legal competence in Validity of Arbitration Agreement*	*Attain an expert-level understanding of the Provisions under Arbitration & Conciliation Act, 1996*	*Undertake an in-depth exploration of the UNCITRAL Model law on International Commercial Arbitration*	*Examine the legal frameworks governing the Emerging Structure of Public Policy: National & Transnational Perspectives*
SLO-14	*Analyze the legal aspects of Choice of Law, Conflict of Law/ Applicable Law*	*Attain proficiency in legal frameworks for Basic Principles of Arbitration Agreement and its essentials*	*Exhibit advanced legal research competence, delving deeply into Judicial Approach towards Arbitration in India*	*Perform an analytical study of the Concept of Judicial intervention to arbitration*	*Apply the Regulation of Judicial & Legislative Trends in India as Per Model Law*
SLO-15	*Comprehensive understanding of the Party Autonomy and Freedom Contract*	*Develop awareness of jurisdictional issues in Jurisdiction of Arbitral Tribunal*	*Showcase an advanced appreciation of The Comparative Perspective*	*Develop expertise in the Reference to arbitration*	*Showcase advanced legal study of Foreign award- meaning &Concept and The International Conventions for recognition and enforcement of arbitral awards*
	Tutorial: Facilitate a discussion of	*Tutorial: Simulate an insight to*	*Tutorial: Facilitate a seminar guiding*	*Tutorial: Examine legal aspects of*	*Tutorial: conduct a seminar focusing*

SLO-16	*Reciprocity /Sovereignty in International Commercial Arbitration*	*Doctrine of Separability in International and Domestic Arbitration*	*students through complex Theories of International Commercial Arbitration in India*	*domain disputes, analyzing the Interim measures available in Arbitration*	*on Reciprocity and commercial reservation*
SLO-17	*Practice: Students perform legal research to identify relevant legal precedents and case law in Conflict of Law and Law Applied for International Arbitration*	*Practice: Facilitate a roundtable discussion focused on Principle of competence*	*Practice: International Dispute Resolution Symposium on Legislative Trends in major Jurisdictions*	*Practice: Simulate domain dispute resolution scenarios applying principles from the General policy for enforcement, review and refusal of foreign award in India*	*Practice: Conduct a workshop exploring the legal implications of Indian law- scope and applicability*
SLO-18	*Practice: Participants engage in legal research to benchmark Unification/Harmonization in International Commercial Arbitration*	*Practice: Assign students to analyze Jurisdictional Competence and Arbitral Process*	*Practice: Advanced Roundtable on India's role in International Commercial Arbitration*	*Practice: Participate in a legislative drafting challenge where students draft sections aligning with international and domestic legal regimes International model and Indian model Law*	*Practice: Engage students in an exercise highlighting legal considerations in Recognition and enforcement of annulled awards*

Resources			
1.	*Gary B Born, International Arbitration; Law and Practice (Wolters Kluwer, 2021)*	2.	*Russell on Arbitration (Sweet & Maxwell, 2015)*
3.	*Margaret L Mose, The Principles and Practice of International Commercial Arbitration (Cambridge University Press, 2017)*	4.	*Julian D.M. Lew et al. Comparative International Commercial Arbitration (Kluwer Law International, 2003)*
5.	*Redfern and Hunter on International Arbitration (Oxford University Press, 2022)*	6.	*Justice R S Bachawat's Law of Arbitration & Conciliation (Lexis Nexis, 2017)*
7.	*Dushyant Dave, Matin Hunter et.al; Arbitration in India (Wolters Kluwer, 2021)*	8.	*Rao P C & William Sheffield, Alternative Dispute Resolution What it is and How it Works (Universal Law Publishing, 2015)*

Rationale (CLR)	*The purpose of learning this course is to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
CLR-1	*Equip students with advanced expertise in drafting and reviewing arbitration documents, fostering effective collaboration with offshore counsel across various arbitral, judicial, supervisory, and enforcement proceedings.*	1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
CLR-2	*Elevate students' legal proficiency by leveraging insights from the rules of leading international arbitral institutions like the ICC, LCIA, and SIAC for arbitration proceedings.*																			
CLR-3	*Enable students to attain advanced expertise in the Indian framework concerning international commercial arbitrations, whether seated in India or abroad.*																			
CLR-4	*Foster students' advanced legal proficiency by mastering the operational mechanisms and international and domestic regulatory frameworks of international arbitration institutions.*																			
CLR-5	*Enable students to gain advanced legal proficiency in comprehending judicial and legislative trends in arbitration, both domestically and internationally.*																			
Outcomes (CLO)	*At the end of this course, Students will be able to:*	Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLO-1	*Develop advanced legal expertise in the concept and scope of arbitration in commercial disputes, both in India and internationally*	✓	✓		-	*2*	*85*	*75*	*3*	-	-	*1*	-	*3*	-	*2*	*2*	*1*	*2*	*3*
CLO-2	*Attain advanced legal proficiency in various domains, including distinguishing between domestic and international arbitration laws and procedures and staying updated on recent legal developments.*	✓	✓	✓	-	*2*	*85*	*75*	*3*	*2*	*1*	*2*	*2*	*3*	-	*1*	*2*	*1*	*2*	*3*
CLO-3	*Acquire advanced expertise in commercial arbitration as an effective dispute resolution method in the modern world, especially in international transactions.*	✓	✓	✓	✓	*3*	*85*	*75*	*3*	*1*	*3*	*1*	*3*	*3*	-	*1*	*1*	*2*	*1*	*3*
CLO-4	*Cultivate advanced legal proficiency by mastering international and domestic regulatory frameworks of UNCITRAL model law and Domestic laws and its applicability*	✓	✓	✓	✓	*3*	*85*	*75*	*3*	*3*	*2*	*3*	*3*	*3*	-	*2*	*3*	*3*	*3*	*3*
CLO-5	*Gain advanced understanding of recent developments in India regarding International Commercial Arbitration and the recognition of Foreign Awards.*					*3*	*85*	*75*	*3*	*3*	*2*	*3*	*3*	*3*	-	*3*	*3*	*3*	*3*	*3*

Assessment Method (Max. Marks: 100)

Level of Thinking		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Strategies					
Technology		Pedagogy / Andragogy		Sustainable Development	
Simulations	✓	Clarification/Pauses	✓	Good Health & Well Being	✓
Presentation Tools					
Learning Management System		Group Discussion	✓	Quality Education	✓
		Hands-on Practice	✓		
		Debate	✓		
		Interactive Lecture	✓		
		Brainstorming	✓		

Designers					
Professional Experts		Higher Institution Experts		Internal Experts	
1	*Mr. Ajay Bhargava, Associate, Khaitan and Co., New Delhi*	1	*Prof. Inbavijayan Veeraraghavan, Visiting Professor, TNDALU & IMU.*	1	*Anandkumar, Assistant Professor*
2	*Mr. S. Ravi Shankar, Arbitration lawyer, Supreme Court of India.*	2	*Dr. Ajar Rab, Visiting Professor, NLSIU Bangalore*	2	*<name>, SRMIST, <email id>*

Code	PLCL24306P	Title	MINI PROJECT	Category		Core Practical (P)	L	T	P	C
							0	1	4	3

Course Nature: Practical					
Assessment Method (Max Marks:100)					
End-semester	Assessment Tools	Written- Submission	Presentation	Viva Voce	Total
	Marks	60	20	20	100
Total Max Marks					100

Code	PLCL24401T	Title	LAW OF INVESTMENT AND FOREIGN EXCHANGE	Category	Professional Elective Courses (E)	L	T	P	C
						3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses	Nil	Co-requisite Courses	Nil	Progressive Courses	Nil	Data Book / Codes/Standards	

Title & Content	HISTORY AND DEVELOPMENT OF INVESTMENT LAW	INVESTMENT IN NON-BANKING FINANCIAL INSTITUTIONS (NBFC)	ALTERNATIVE INVESTMENT FUNDS	FOREIGN EXCHANGE CONTROL REGIME IN INDIA AND DISPUTE RESOLUTION	FOREIGN INVESTMENT AND PROTECTION
Duration (hour)	18	18	18	18	18
SLO-1	*Acquire knowledge of fundamental principles and concepts of investment law, laying the groundwork for a comprehensive study of securities regulations.*	*Acquire knowledge of the nature of Non-Banking Financial Institutions (NBFI) and their crucial role in the financial sector.*	*Comprehend Alternative Investment Funds (AIFs), explaining their role in the financial markets and how they differ from traditional investment options.*	*Examine the intricate aspects of foreign exchange regulation and acquire knowledge of the fundamental differences between FEMA (Foreign Exchange Management Act) and FERA (Foreign Exchange Regulation Act).*	*Examine the significant role of international economic law in navigating the complex global economic landscape.*
SLO-2	*Explore the historical development of securities regulations, tracing the roots from early financial markets to the complex regulatory frameworks of today.*	*Explore the legal foundations governing NBFI, analyzing key statutes and regulations that shape their operations, ensuring a solid grasp of the regulatory environment.*	*Examine the regulatory landscape governing AIFs, exploring the legal frameworks and compliance requirements that shape their operation.*	*Explore the historical context behind the evolution of foreign exchange regulations in India, tracing the transition from FERA to FEMA and the reasons behind this legislative shift.*	*Identify the essence of International economic law encompasses a wide range of rules and regulations that govern cross-border economic transactions and interactions between states.*
SLO-3	*Examine essential legal terms and concepts pertinent to investment and securities, providing a solid foundation for subsequent chapters and practical application.*	*Examine the intricacies of obtaining licenses and authorizations for NBFI operations, examining the criteria and procedures set forth by relevant regulatory bodies.*	*Explore the various categories of AIFs, such as hedge funds, private equity funds, and real estate funds, emphasizing the distinct characteristics and investment strategies associated with each.*	*Deduce the primary objectives of FEMA, which include facilitating external trade and payments, promoting orderly development and maintenance of the foreign exchange market, and fostering a conducive environment for foreign investment.*	*Examine the historical development of regulatory frameworks provides insights into the changing dynamics of international economic relations.*
SLO-4	*Tutorial: Deduce the roles and responsibilities of government agencies in regulating investment and securities markets, including their historical evolution and contemporary functions.*	*Tutorial: Deduce the principles and practices of corporate governance within NBFI, emphasizing regulatory expectations and the importance of maintaining ethical standards.*	*Tutorial: Explore the structural components of AIFs, detailing the process of their formation, including legal documentation, fund managers, and investor relations.*	*Tutorial: Conduct a detailed comparative analysis of FERA and FEMA, highlighting the key differences in their approach, scope, and impact on businesses and individuals involved in foreign transactions*	*Tutorial: Comprehend international economic law, exploring its evolution and key principles. will delve into the legal structures that facilitate cross-border economic activities.*
SLO-5	*Practice: Delve into various theories and models that underpin investor protection, examining how legal frameworks have evolved to safeguard investor interests.*	*Practice: Investigate the unique risks associated with NBFI activities, and how regulatory frameworks mandate effective risk management strategies to ensure financial stability.*	*Practice: Examine the diverse investment strategies employed by AIFs, ranging from traditional asset classes to more complex and niche opportunities.*	*Practice: Identify the entities designated as 'authorized persons' under FEMA and comprehend their roles in facilitating foreign exchange transactions.*	*Practice: Gain an understanding of multilateral trade agreements, such as the World Trade Organization (WTO), highlighting their importance in regulating trade relations among nations.*
SLO-6	*Practice: Explore international perspectives on securities regulation, emphasizing the interconnected nature*	*Practice: Examine the specific capital adequacy requirements imposed on NBFI to safeguard against financial*	*Practice: Discuss risk mitigation and management strategies within the context of AIFs, considering the unique*	*Practice: Delve into the realm of capital account transactions, exploring the regulations and restrictions imposed by*	*Practice: Examine Bilateral Investment Treaties, exploring how these agreements are crafted to protect and*

	of global financial markets and the need for harmonized legal frameworks.	instability, exploring methods for compliance.	challenges and uncertainties associated with alternative investments.	FEMA to ensure the stability of the country's economic and financial system	promote investments between two countries, fostering economic cooperation.
SLO-7	Gain insights into the diverse range of financial instruments, from traditional stocks and bonds to modern derivatives, and their significance in contemporary investment landscapes.	Focus on the regulatory measures in place to protect consumers engaging with NBFI, emphasizing transparency, fair practices, and dispute resolution mechanisms.	Deduce the methodologies used for valuing alternative assets within AIFs, highlighting the importance of accurate valuation in the fund management process.	Examine the concept of current and capital account convertibility.	Explore ISDS mechanism, a vital component of international investment law, to learn about its role in resolving disputes between investors and state
SLO-8	Examine the legal structures governing different market, such as brokers, investment advisors, and issuers, to comprehend their roles and obligations.	Explore the stringent AML and CTF regulations applicable to NBFI, emphasizing the importance of due diligence and reporting in preventing financial crimes.	Explore the methods AIFs employ to raise capital and maintain positive relationships with investors, emphasizing transparency and communication.	Explore the adjudication process and penalties associated with violations of FEMA provisions, emphasizing the importance of compliance in the international financial landscape.	Acquire an understanding of the interplay between international and national regulatory frameworks is crucial.
SLO-9	Analyze the legal obligations related to risk disclosure, emphasizing the importance of transparent communication between issuers and investors.	Gain insights into the various investment products and services offered by NBFI and additionally acquire knowledge of the regulatory framework that governs their development and distribution.	Examine the legal and compliance aspects of AIFs, including regulatory reporting, disclosure requirements, and adherence to anti-money laundering (AML) laws.	Explore the regulatory framework governing Foreign Direct Investment (FDI) under FEMA, analyzing the policies aimed at attracting and regulating foreign capital inflow.	Examine how international economic and investment laws incorporate sustainable practices.
SLO-10	Tutorial: Investigate historical cases of securities fraud, learning from past instances to appreciate the evolving strategies for detecting and preventing fraudulent activities.	Tutorial: Examine the regulations promoting fair market conduct and ethical practices within the NBFI sector, ensuring a level playing field for all market.	Tutorial: Analyze the tax implications associated with AIFs, addressing both fund-level and investor-level considerations to provide a comprehensive Acquire knowledge of.	Tutorial: Gain insights into the regulations governing External Commercial Borrowings (ECB) under FEMA, examining the criteria and conditions for availing foreign loans.	Tutorial: Examine the role of global financial institutions in shaping international economic law and investment practices, emphasizing their influence on economic stability.
SLO-11	Practice: Trace the historical development of securities exchanges, from traditional floor-based trading to modern electronic platforms, Acquire knowledge of the regulatory implications of these changes.	Practice: Deduce the supervisory mechanisms in place for NBFI, exploring the powers and responsibilities of regulatory bodies in enforcing compliance and ensuring accountability.	Practice: Explore the metrics and benchmarks used to assess the performance of AIFs, emphasizing the importance of accurate reporting to stakeholders.	Practice: Examine the importance of compliance with FEMA provisions for businesses engaging in international trade, emphasizing the need for a robust framework to navigate foreign exchange transactions.	Practice: Explore the intersection of law, human rights, and corporate responsibility, the session will discuss how regulatory frameworks address ethical considerations in international business.
SLO-12	Practice: Assess the impact of technological advancements on securities markets and the legal challenges posed by innovations such as high-frequency trading and blockchain technology	Practice: Analyze the legal considerations and challenges associated with NBFI engaging in cross-border operations, including regulatory harmonization and compliance with international standards.	Practice: Examine the various exit strategies employed by AIFs, including initial public offerings (IPOs), secondary market sales, and other mechanisms for maximizing returns.	Practice: Deduce the pivotal role played by the Reserve Bank of India (RBI) in implementing and enforcing foreign exchange regulations, ensuring stability and transparency in the financial system.	Practice: Engage in discussions pertaining to the concept of global economic governance, examining how various entities collaborate to create a stable and fair international economic environment.
SLO-13	Examine legal provisions designed to protect the rights and interests of minority shareholders, promoting a fair and equitable investment environment.	Explore the legal aspects of technological advancements and innovations within NBFI, addressing issues such as digital banking, fintech partnerships, and data protection.	Compare and contrast AIF regulations and practices across different jurisdictions, providing insights into the global landscape of alternative investments.	Explore the anti-money laundering measures incorporated in FEMA to prevent illicit financial activities and maintain the integrity of the foreign exchange market.	Comprehend how nations and entities ensure adherence to international economic and investment laws.
SLO-14	Articulate the primary objectives and scope of the ICSID Convention.	Acquire profound knowledge of the regulatory framework established by the	Discuss the growing importance of ESG factors in AIF investment decisions,	Stay abreast of the latest developments and amendments in FEMA,	Analyse regulatory challenges faced in emerging markets and how international

		Chit Funds Act, 1982.	*exploring how environmental, social, and governance criteria impact fund management.*	*acknowledging the dynamic nature of foreign exchange regulations and their continuous evolution to meet contemporary challenges.*	*frameworks address these issues.*
SLO-15	*Gain an understanding on formulation of effective market entry strategies for international investment.*	*Examine the legal frameworks governing crisis management and resolution for NBFI, ensuring a comprehensive Acquire knowledge of procedures in times of financial distress.*	*Articulate the primary objectives and goals of the Nitin Desai Committee as outlined in its recommendations.*	*Analyze case studies to illustrate the practical implications of FEMA regulations, fostering a deeper Acquire knowledge of of how businesses navigate the complexities of foreign exchange compliance.*	*Analysis of the case studies to provide practical insights into the application of regulatory frameworks, illustrating their impact on international economic and investment scenarios.*
SLO-16	*Tutorial: Comprehend the scope and implications of the Trade-Related Investment Measures (TRIM) agreement under the WTO, specifically focusing on how it prohibits certain performance requirements imposed by member countries on foreign investors and their investments.*	*Tutorial: Examine the primary objectives of the Money Circulation Schemes (Banning) Act, 1978, including the prevention and prohibition of unscrupulous money circulation schemes that exploit individuals and threaten the financial stability of the public.*	*Tutorial: Identify and discuss the legal requirements and compliance standards that mutual funds must adhere to in order to operate within the confines of the law.*	*Tutorial: Through discussions, examine the concept of Foreign Institutional Investment, including the types of institutional investors involved (such as mutual funds, hedge funds, and pension funds).*	*Tutorial: Engage in discussions to explore the various types of sanctions, such as economic, diplomatic, and military sanctions, deduce the nuances of each type and their impact on international relations.*
SLO-17	*Practice: Investigate the intersection of law and ethics in investment decisions, emphasizing the importance of responsible and ethical conduct in the financial industry.*	*Practice: Anticipate future regulatory developments and emerging trends in the NBFI sector, ensuring are equipped to adapt to a dynamic and evolving regulatory landscape.*	*Practice: Articulate the key features of Unit Trusts, such as the pooling of funds, issuance of units, and the role of UTI in managing and investing these funds.*	*Practice: Differentiate between key mechanisms of international investment dispute resolution, such as Investor-State Arbitration (ISA), State-State Arbitration, and alternative dispute resolution methods.*	*Practice: Examine the ethical considerations surrounding sanctions, particularly their impact on civilian populations.*
SLO-18	*Practice: Explore emerging trends and anticipate future challenges in the field of investment and securities law.*	*Practice: Examine the mechanisms in place for the enforcement of the Money Circulation Schemes (Banning) Act, 1978.*	*Practice: Conclude the session with an interactive segment, to engage in discussions, ask questions, and seek clarification on various aspects of AIF law and practice.*	*Practice: Critically evaluate the evolving landscape of investment treaty arbitration. This involves exploring recent trends, policy changes, and emerging challenges in the field.*	*Practice: Conduct interactive discussions and a Q&A segment, to clarify doubts and test their knowledge of the intricate regulatory frameworks governing international economic and investment laws.*

Resources			
1.	***Prescribed Books*** *Vivienne Bath and Luke Nottage, Foreign Investment and Dispute Resolution Law and Practice in Asia (Routledge, 2012)*	1.	***Books for Reference*** *Rodrigo Polanco, The Return of the Home State to Investor-State Disputes: Bringing Back Diplomatic Protection? (Cambridge University Press, 2019)*
2.	*M.Y. Khan, Indian Financial System (The Mc Graw-Hill company, 2019)*	2.	*Sudhir Kochhar, Reporting & Compliances under Indian Foreign Exchange Laws (FEMA & Allied Laws) (Bloomsbury Professional India, 2020)*
3..	*Taxmann, Foreign Exchange Management Manual (Taxmann Publication Pvt. Ltd., 2019)*	3.	*Gomez, Clifford, Financial Markets, Institutions and Financial Services (PHI Learning Pvt. Ltd., 2010)*
4.	*Schreuer, C.H., (2009) the ICSID Convention: A Commentary: A Commentary on the Convention on the Settlement of investment Disputes between States and Nationals of Other States. Cambridge University Press: Cambridge.*	4.	*Shan, W., (ed) (2012) The Legal Protection of foreign investment: A Comparative Study, Oxford.*
5.	*Law Of Investment and Securities- Dr. S.R.Myneni*	5.	*Foreign Direct Investment and Globalization - Deals with FDI investments in India and FDI outflows from India-Ramni Taneja*

Assessment Method (Max. Marks: 100)

Level of Thinking		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Strategies					
Technology		Pedagogy / Andragogy		Sustainable Development	
Simulations	✓	Clarification/Pauses	✓	Good Health & Well Being	✓
Presentation Tools					
Learning Management System		Group Discussion	✓	Quality Education	✓
		Hands-on Practice	✓		
		Debate	✓		
		Interactive Lecture	✓		
		Brainstorming	✓		

Rationale (CLR)	*The purpose of learning this course is to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
CLR-1	*Enable students to gain a profound understanding of the historical factors and events that shaped the emergence of modern investment law, including the rise of colonialism, globalization, and the expansion of multinational corporations.*	1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
CLR-2	*Equip students to explore the nature, functions, and roles of Non-Banking Financial Institutions, including their regulatory framework, business models, and significance in the financial system.*	Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLR-3	*Enable students to identify and analyze different types of AIFs, such as hedge funds, private equity funds, real estate funds, and venture capital funds, including the legal requirements and considerations involved in the formation and structuring of these funds.*																			
CLR-4	*Equip students to examine the fundamental principles and objectives of foreign exchange control, including its role in regulating international transactions, promoting economic stability, and managing currency fluctuations.*																			
CLR-5	*Enable students to identify and assess the risks associated with foreign investment, including political, economic, and legal risks, and develop strategies to mitigate these risks..*																			
Outcomes (CLO)	*At the end of this course, Students will be able to:*																			
CLO-1	*Evaluate the role of international treaties, agreements, and conventions in shaping investment and foreign exchange laws.*	✓	✓		-	*2*	*85*	*75*	3	-	-	1	-	3	-	2	2	1	2	3
CLO-2	*Analyze the regulatory framework governing NBFCs and their compliance requirements.*	✓	✓	✓	-	*2*	*85*	*75*	3	2	1	2	2	3	-	1	2	1	2	3
CLO-3	*Comprehend the compliance obligations and governance practices applicable to AIFs, including requirements related to disclosure, reporting, and investor protection*	✓	✓	✓	✓	*3*	*85*	*75*	3	1	3	1	3	3	-	1	1	2	1	3
CLO-4	*Examine the compliance requirements imposed on individuals, businesses, and financial institutions operating in India with respect to foreign exchange transactions, including documentation, reporting, and approval procedures*	✓	✓	✓	✓	*3*	*85*	*75*	3	3	2	3	3	3	-	2	3	3	3	3
CLO-5	*Gain a profound understanding about the mechanisms available to protect foreign investments, such as investor-state dispute settlement (ISDS)*	✓	✓	✓	✓	*3*	*85*	*75*	3	3	2	3	3	3	-	3	3	3	3	3

Designers					
Professional Experts		Higher Institution Experts		Internal Experts	
1	<name>, <industry name>, <email id>	1	*Dr. M L Shankar Kaarmukilan, TNNLU*	1	<name>, SRMIST, <email id>
2					

Code	PLCL24402T	Title	COMPETITION LAW	Category		Professional Elective Courses (E)	L	T	P	C
							3	1	2	5

Course Offering Department	School of Law	Pre-requisite Courses		Co-requisite Courses		Progressive Courses		Data Book / Codes/Standards	

Title & Content	INTRODUCTION TO COMPETITION LAW	ANTI-COMPETITIVE AGREEMENTS	REGULATION OF ABUSE OF DOMINANT POSITION AND REGULATION OF COMBINATIONS	CORPORATE LAW AND ANTITRUST REGULATIONS: LEGAL DYNAMICS	ENFORCEMENT MECHANISM AND EMERGING TRENDS
Duration (hour)	18	18	18	18	18
SLO-1	*Develop a foundational understanding of the historical development and objectives of competition law in key jurisdictions including the USA, UK, Europe, and India..*	*Attain a comprehensive understanding of the appreciable adverse effect, horizontal and vertical agreements, cartelization, and other key terms in competition law.*	*Attain a comprehensive understanding of the concept of dominance in the market and its relevance in the context of the Competition Act 2002.*	*Gain a comprehensive understanding of antitrust regulations and their role in shaping corporate decision-making processes, particularly within the context of board governance.*	*Grasp the various enforcement mechanisms established by the Competition Act 2002, including the roles of the Competition Commission of India (CCI), Director General of Investigation (DGI), and Competition Appellate Tribunal (CAT).*
SLO-2	*Analyze the relevant provisions of the Sherman Antitrust Act and its significance in shaping competition law in the United States..*	*Apply the effects doctrine to analyze the potential anti-competitive effects of a given agreement or behavior.*	*Demonstrate advanced expertise in dissecting and identifying the criteria used to define relevant markets and analyze their significance in determining market dominance.*	*Explore the influence of competition law on corporate governance structures and practices, including the duties and responsibilities of board members in ensuring compliance with antitrust regulations.*	*Analyze the constitution, powers, and functions of the CCI, including its authority to investigate anti-competitive practices, impose penalties, and promote competition advocacy.*
SLO-3	*Evaluate the evolution of competition law in India, including an overview of the Monopolies and Restrictive Trade Practices (MRTP) Act, 1969.*	*Analyze the characteristics and implications of concerted practices and parallel behavior in competition law enforcement.*	*Evaluate various forms of abusive conducts prohibited under the Competition Act 2002, including predatory pricing, exclusive dealing, and refusal to deal.*	*Review the key antitrust considerations that arise in evaluating corporate transactions such as mergers, acquisitions, and joint ventures, including the criteria used to assess potential anti-competitive effects.*	*Examine the jurisdiction of the CCI in adjudicating cases related to competition law violations and understand the appellate process for challenging CCI decisions before the Competition Appellate Tribunal (CAT).*
SLO-4	*Tutorial: Analyze a recent competition law case, discussing regulatory aspects and legal frameworks of competition law.*	*Tutorial: Analysis of real-world cases involving horizontal agreements among competitors.*	*Tutorial: Discussion on the concept of dominance in the market and its relevance in competition law, using examples to illustrate how market power can be abused.*	*Tutorial: Discussion on the duties and responsibilities of board members in overseeing antitrust compliance.*	*Tutorial: Explore legal frameworks and implications in an advanced tutorial on Competition Law.*
SLO-5	*Practice: Students conduct an in-depth analysis of key competition law legislations, examining their provisions, amendments, and relevant legal precedents.*	*Practice: Create hypothetical scenarios illustrating the formation and operation of cartels, and propose strategies for detecting and combating them.*	*Practice: Organize a symposium for LLM students to present and discuss the relevant markets, abusive conducts, penalties, and prevention measures.*	*Practice: Conducting a mock board meeting to review antitrust compliance policies and procedures.*	*Practice: Discussion on the jurisdiction of the CCI in adjudicating competition law cases and handling appeals.*

SLO-6	*Practice: Participants conduct legal research on the regulatory frameworks and procedures of CCI.*	*Practice: Assign students to develop a framework for assessing the relevant market and determining market power in a specific industry.*	*Practice: Discuss strategies and best practices for preventing abuse of dominance in the market, including compliance programs, internal controls, and training initiatives.*	*Practice: Scenario-based exercises to identify potential antitrust risks in pricing strategies, distribution channels, and contractual agreements.*	*Practice: Students conduct an in depth analysis of the adjudication process followed by the CAT, including procedural requirements and timelines.*
SLO-7	*Examine the recommendations and implications of the Raghavan Committee Report on competition policy and law in India.*	*Examine the elements of predatory behavior and discriminatory practices in the context of abuse of dominant position.*	*Examine the penalties imposed for engaging in abusive conducts under the Competition Act 2002 and their implications for businesses.*	*Analyze how competition law influences pricing strategies adopted by companies, including discussions on price-fixing, predatory pricing, and the implications of antitrust regulations on pricing decisions.*	*Explore the role and responsibilities of the DGI in conducting investigations into alleged violations of competition law, gathering evidence, and assisting the CCI in enforcement actions.*
SLO-8	*Evaluate the importance of international cooperation in enforcing competition law, including relevant provisions in WTO agreements.*	*Evaluate the legality and potential anti-competitive effects of a resale price maintenance agreement in a given market context.*	*Explore strategies and measures for preventing abuse of dominance in the market, including compliance programs and competition advocacy.*	*Conduct a critical assessment of the UNCITRAL Model Law on Electronic Commerce 1996, exploring its significance and effectiveness in regulating electronic transactions.*	*Evaluate the concept of competition advocacy and its importance in promoting competition culture and informing policy decisions, both in India and other foreign jurisdictions.*
SLO-9	*Delve into the establishment, composition, and duties of the Competition Commission of India (CCI) as the primary regulatory authority for competition matters.*	*Gain insights into the effectiveness of competition law provisions in deterring predatory pricing practices.*	*Differentiate between merger, acquisition, amalgamation, and takeover as different forms of combinations in the corporate context*	*Examine the impact of competition law on distribution channels, including vertical agreements, exclusive dealing arrangements, and territorial restrictions, and discuss their compliance with antitrust regulations.*	*Analyze the relationship between intellectual property rights (IPR) and competition law, examining issues such as anti-competitive behavior related to patent misuse, abuse of dominant position, and technology transfer agreements.*
SLO-10	*Tutorial: Discussion to understand the importance of international cooperation in enforcing competition law, focusing on relevant provisions in WTO agreements and their impact on domestic competition policies.*	*Tutorial: Assign scenarios, for Identification of anti-competitive practices and their impact on market competition.*	*Tutorial: Conduct a tutorial explaining the procedures followed by competition authorities in investigating alleged violations of the Competition Act 2002, imposing penalties, and enforcing compliance with regulatory provisions.*	*Tutorial – Dive into the ethical considerations and corporate social responsibility in the context of antitrust regulations, examining case studies to understand the ethical implications of antitrust violations and the importance of ethical behavior in promoting fair competition and compliance.*	*Tutorial – Case studies highlighting successful competition advocacy campaigns and their impact on market competition.*

SLO-11	*Practice: Simulate a mock inquiry procedure of the Competition Commission of India (CCI), exploring its investigatory powers and decision-making process.*	*Practice: Simulation of a cartel formation scenario, followed by discussions on detection methods and enforcement strategies.*	*Practice: Analyze real-world case studies of companies found guilty of abusive conduct under the Competition Act 2002, examining the specific behaviors that led to penalties and the resulting impact on competition and consumers.*	*Practice: Participants will review antitrust considerations in evaluating corporate transactions, including mergers, acquisitions, and joint ventures, and understand how competition law influences decision-making processes to mitigate potential anti-competitive effects.*	*Practice: Conducting a simulated investigation into an alleged violation of competition law, with participants taking on roles as investigators, legal advisors, and respondents.*
SLO-12	*Practice: Design a competition advocacy campaign aimed at raising awareness about the benefits of competition and combating anti-competitive practices.*	*Practice: Conducting a mock investigation into bid rigging and collusive bidding practices.*	*Practice: Create a hypothetical merger scenarios involving horizontal, vertical, and conglomerate mergers, considering their potential effects on competition and applying the criteria outlined in the Competition Act 2002.*	*Practice: Participants will analyze the impact of competition law on corporate governance structures and practices, evaluating the duties and responsibilities of board members in overseeing antitrust compliance and mitigating legal risks.*	*Practice: Drafting investigation reports and presenting findings to a mock CCI panel for adjudication.*
SLO-13	*Explore the procedure for inquiry and the powers vested in the CCI for investigating anti-competitive practices and promoting fair competition.*	*Analyze case studies of bid rigging and collusive bidding, identifying the parties involved, the impact on competition, and potential enforcement actions.*	*Classify mergers into horizontal, vertical, and conglomerate categories and assess their potential impact on competition.*	*Explore the intersection of contractual agreements and antitrust regulations, focusing on issues such as restrictive covenants, non-compete clauses, and their implications for competition.*	*Explore the intersection of international trade law and competition law, focusing on issues such as anti-dumping measures, competition policy in trade agreements, and the role of international organizations in promoting competition.*
SLO-14	*Analyze the role and function of the Competition Fund in supporting initiatives aimed at promoting competition and consumer welfare.*	*Examine the factors that influence the determination of relevant markets and assess their significance in competition law analysis.*	*Analyze the combinations covered under the Competition Act 2002 and the regulatory framework governing such transactions.*	*Discuss ethical considerations and corporate social responsibility in the context of antitrust regulations, emphasizing the importance of ethical behavior, fair competition, and adherence to legal norms.*	*Examine the unique challenges posed by digital markets to competition law enforcement, including issues related to platform dominance, data privacy, interoperability, and algorithmic collusion.*
SLO-15	*Evaluate the overarching principles of competition policy and its role in promoting market efficiency, innovation, and consumer welfare.*	*Develop a set of guidelines for businesses to ensure compliance with competition law regulations, considering various types of anti-competitive agreements and behaviors.*	*Understand the penalties for non-compliance with merger regulations under the Competition Act 2002 and the role of competition authorities in enforcement.*	*Evaluate the role of corporate social responsibility practices in promoting antitrust compliance and fostering a culture of competition advocacy within organizations.*	*Assess the impact of digitalization on competition law enforcement, including the need for regulatory adaptation, the role of big data analytics, and challenges in detecting and prosecuting anti-competitive behavior in digital markets.*

SLO-16	*Tutorial: Facilitate a discussion on competition laws across different jurisdictions, highlighting key similarities, differences, and challenges.*	*Tutorial: Construct a model framework for competition authorities to investigate and prosecute cases of abuse of dominant position effectively.*	*Tutorial: Analyze selected case studies illustrating instances of abusive conduct or problematic combinations under the Competition Act 2002, discussing the legal arguments, enforcement actions, and outcomes of each case.*	*Tutorial: Participants will comprehend the role of antitrust regulations in shaping board decision-making processes, particularly in ensuring compliance with competition law and fostering fair competition practices.*	*Tutorial: Discussion on regulatory approaches and enforcement strategies tailored to address competition concerns in digital markets.*
SLO-17	*Practice: Engage in a role play exercise simulating the allocation process of the Competition Fund, considering various proposals and their potential impact on competition and consumer welfare.*	*Practice: Engage in Group exercise to identify examples of exclusive supply agreements in various industries.*	*Practice: Engage in a role-play activity where participants take on the roles of competition authorities, businesses, and consumer advocates to simulate the process of investigating alleged abusive conducts, imposing penalties, and ensuring compliance with the Competition Act 2002.*	*Practice: Analyze real-world case studies of companies found guilty of antitrust violations, examining the ethical implications, legal consequences, and lessons learned from each case.*	*Practice: Designing a competition advocacy campaign aimed at raising awareness about competition law and promoting a culture of fair competition.*
SLO-18	*Practice: Participants engage in a debate discussing different perspectives on competition policy, considering economic efficiency, consumer welfare, and regulatory challenges.*	*Practice: Assign students practical workshop on defining relevant markets using market definition tools and methodologies.*	*Practice: Simulate a regulatory compliance exercise where participants draft compliance policies and procedures aimed at preventing abuse of dominance in the market, considering relevant provisions of the Competition Act 2002.*	*Practice: Discussion on Identifying best practices and strategies for ensuring compliance with antitrust regulations, including the development of antitrust compliance programs, training initiatives, and internal controls.*	*Practice: Hands-on workshop on investigation techniques employed by the DGI, including evidence collection, witness interviews, and document analysis.*

Resources			
1	*Ramappa. T., Competition Law in India- Policy, Issues and Development (Oxford University Press, New Delhi, 2021)*	*2*	*Taxmann, Competition Laws Manual with Case Laws Digest (Taxmann Publications Private Limited, 2023)*
3	*Richard Whish et al., Competition Law (OUP Oxford, 2021)*	*4*	*Dr. Versha Vahini, Textbook on Indian Competition Law (LexisNexis, 2020)*
5	*Ariel Ezrachi, Competition and Antitrust Law (OUP, Oxford, 2021)*	*6*	*Mark Fenwick et al., Leniency in Asian Competition Law (Cambridge University Press, 2022)*
7	*S.M. Dugar, Guide to Competition Act (Lexis Nexis Butterworth India, 2020)*	*8*	*Barry J Rodger et al., Competition Law and Policy in The EU and UK (Routledge, 2021)*

Rationale (CLR)	*The purpose of learning this course is to:*
CLR-1	*Provide students advanced expertise in competition law across various jurisdictions, including the USA, UK, Europe, and India, through an examination of historical development and objectives.*
CLR-2	*Enable students to focus on competition law enforcement and case studies to give students practical insights into legal principles and anti-competitive practices.*
CLR-3	*Equip students to attain advanced expertise in regulating combinations under the Competition Act, 2002, analyzing mergers' competitive implications on market structures and consumer welfare.*
CLR-4	*Equip students with knowledge and analytical skills to navigate antitrust regulations, evaluate implications for corporate governance, and propose compliance strategies.*
CLR-5	*Enable students to Cultivate advanced legal proficiency by mastering skills to navigate competition law enforcement mechanisms and assess their effectiveness in ensuring market competition and consumer welfare.*

Outcomes (CLO)	*At the end of this course, learners will be able to:*	Depth				Attainment			Program Learning Outcomes (PLO)											
		1	2	3	4	1	2	3	1	2	3	4	5	6	7	8	9	10	11	12
		Conceive	Design	Implement	Operate	Level of Thinking	Expected Proficiency (%)	Expected Attainment (%)	Disciplinary Knowledge	Critical Thinking	Problem Solving	Analytical Reasoning	Research-related Skills	Communication Skills	Cooperation/Team Work	Digital Literacy	Self-directed Learning	Moral and Ethical Reasoning	Leadership Qualities	Life Long Learning
CLO-1	*Develop advanced legal expertise in the primary objectives behind the enactment of competition laws and their significance in promoting market competition, consumer welfare, and economic efficiency.*	✓	✓		-	2	85	75	3	-	-	-	1	3	-	2	2	1	2	3
CLO-2	*Attain advanced legal proficiency in the effectiveness of competition law enforcement mechanisms in investigating and penalizing anti-competitive behavior, such as bid rigging, collusive bidding, tie-in arrangements, exclusive supply agreements, resale price maintenance agreements, predatory pricing, and discriminatory practices.*	✓	✓	✓	-	2	85	75	3	2	2	2	3	3	-	2	2	1	2	3
CLO-3	*Attain advanced expertise in the regulatory framework governing combinations under the Competition Act, 2002, including pre-merger notification requirements, approval processes, and penalties for non-compliance.*	✓	✓	✓	✓	3	85	75	3	2	3	1	3	3	-	1	2	2	1	3
CLO-4	*Cultivate advanced legal proficiency by mastering the knowledge and skills necessary to understand the multifaceted role of antitrust regulations in corporate decision-making, governance, and business practices, while also considering ethical considerations and corporate social responsibility in the context of competition law compliance.*	✓	✓	✓	✓	3	85	75	3	3	2	2	3	3	-	2	3	3	3	3
CLO-5	*Acquire advanced legal proficiency by mastering international and domestic regulatory frameworks through case studies and practical examples, students will analyze the intersection of competition law with IPRs and international trade law, explore regulatory responses to emerging challenges in digital markets, and assess the implications for competition policy and consumer welfare in India and other jurisdictions.*	✓	✓	✓	✓	3	85	75	3	3	3	2	3	3	-	3	3	3	3	3

Assessment Method (Max. Marks: 100)												
		Continuous Learning Assessment (CLA 40% Weightage)								Final Exam	
Level of Thinking		Model Exam (20%)		Assignment/ Seminar/Group discussion/ Presentation		Participation in seminar/ Conference/ Publication		Participation in class room/co-curricular & Para curricular activities			
		(20%)		(10%)		(5%)		(5%)		(60%)	
1	Remember	40%	-	40%	-	30%	-		-	40%	-
2	Understand										
3	Apply	40%	-	40%	-	40%	-		-	40%	-
4	Analyze										
5	Evaluate	20%	-	20%	-	30%	-		-	20%	-
6	Create										
Total		100 %		100 %		100 %		100 %		100 %	

Strategies						
	Technology		Pedagogy / Andragogy		Sustainable Development	
	Simulations	✓	Clarification/Pauses	✓	Decent Work and Economic Growth	✓
	Presentation Tools					
	Learning Management System		Group Discussion	✓	Quality Education	✓
			Hands-on Practice	✓		
			Debate	✓		
			Interactive Lecture	✓		
			Brainstorming	✓		

Designers					
Professional Experts		Higher Institution Experts		Internal Experts	
1	*Adv. M.M. Sharma, Vaish Associates*	1	*Dr. Avirup Bose, Professor, Jindal Global Law School*	1	*Ms. Vidya Menon, Assistant Professor, School of Law, SRM IST*
2					

Code	PLCL24403P	Title	DISSERTATION	Category		Core Practical (P)	L	T	P	C
							0	2	20	12

Course Nature: Practical					
Assessment Method (Max Marks:100)					
End-semester	Assessment Tools	Written- Submission	Presentation	Viva Voce	Total
	Marks	60	20	20	100
Total Max Marks					100